



Central Depository Services (India) Limited

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COMMUNIQUE TO REGISTRAR & TRANSFER AGENTS

CDSL/AUDIT/RTA/POLCY/2026/52

February 24, 2026

AMENDMENTS TO ISSUER / RTA OPERATING INSTRUCTIONS ANNEXURE 7.1

Issuers/RTAs are advised to take note of the amendments mentioned below, which have been incorporated in CDSL's Issuer/RTA Operating Instructions Annexure 7.1:

Operating Instructions - Annexure 7.1		
PENALTY STRUCTURE FOR RTA / ISSUERS		
Sr. No.	Nature of non-compliance	Penal Action (in ₹)
I	Operational deviation	
11	Internal audit report submitted without inclusion of management comments for deviations noted by auditors or not providing compliance duly certified by auditors <u>within 60 days from the date of initial non-compliance letter issued</u> on the observations made by the Depository.	Rs. 2,000/- per occasion plus additional Rs.1000/- for any delay per fortnight till the submission of revised report with inclusion of management comments or compliance report duly certified by auditors.

Queries regarding this communiqué may be addressed to CDSL – Audit, Inspection & Compliance Department on telephone nos. (022) 6234-3078, 6234-3075, 6234-3102, 6234-3095.

For and on behalf of
Central Depository Services (India) Limited

Sd/-

Urmila Chougule
Senior Manager - Audit, Inspection & Compliance