



Central Depository Services (India) Limited

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CDSL/AUDIT/RTA/POLCY/2026/251

August 31, 2026

AMENDMENTS TO ISSUER / RTA OPERATING INSTRUCTIONS ANNEXURE 7.1

Issuers/RTAs are advised to take note of the amendments mentioned below, which have been incorporated in CDSL's Issuer/RTA Operating Instructions Annexure 7.1 – Penalty Structure for RTA / Issuers:

Operating Instructions - Annexure 7.1		
PENALTY STRUCTURE FOR RTA / ISSUERS		
Sr. No.	Nature of non-compliance	Penal Action (in ₹)
III	Miscellaneous deviations	
22	Data submitted in Internal Audit report, Quarterly Investor Grievance report or Half yearly Compliance Certificate is found to be false / incorrect	Rs. 1,000/- per occasion. Rs. 2,000/- per occasion, if same deviation is observed for consecutive period. If same deviation is observed for three consecutive period, matter would be referred to Member Committee

Queries regarding this communiqué may be addressed to CDSL – Audit, Inspection & Compliance Department on telephone nos. (022) 6234-3078, 6234-3075, 6234-3490, 6234-3102, 6234-3095.

For and on behalf of

Central Depository Services (India) Limited

Sd/-

Urmila Chougule

Senior Manager - Audit, Inspection & Compliance