



Central Depository Services (India) Limited

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COMMUNIQUE TO REGISTRAR & TRANSFER AGENTS

CDSL/OPS/RTA/POLCY/2024/8

January 12, 2024

AMENDMENTS TO RTA OPERATING INSTRUCTIONS CHAPTER - 6

Issuer / RTAs are advised to note that amendments have been effected in CDSL's RTA Operating Instructions Chapter - 6 Costs, Charges and Deposits to incorporate the charges for Electronic Gold Receipt [EGR]. and charges for tariff structure for Social Stock Exchange (SSE) to be levied on RTAs.

Charges for EGR are based on Ministry of Finance (Department of Economic Affairs) New Delhi, notification dated 24th December 2021. EGR was added to the securities "means an electronic receipt issued based on deposit of underlying physical gold"

The said amendments to the RTA Operating Instructions are attached herewith with track changes mode [Refer Annexure - A].

Queries regarding this communiqué may be addressed to: **CDSL – Helpdesk** Emails may be sent to: helpdesk@cdslindia.com and telephone number 08069144800.

sd/-

Nilesh Shah
Asst. Vice President – Operations

Annexure - A

Sr.no	Existing Instructions	Amended Instructions
Chapter 6: Costs, Charges and Deposits		
6.8	A charge @ Rs. 10/- per credit and/or a charge of Rs.10/- per debit to/from a BO account subject to a minimum of Rs.1000/- per file. However, in case of split or consolidation or capital reduction only one side will be charged. Debits / Credits attempted to invalid BOIDs will attract charge. Additional fee up to Rs. 20,000/- will be levied for customized CA processing request.	A charge @ Rs. 10/- per credit and/or a charge of Rs.10/- per debit to/from a BO account subject to a minimum of Rs.1000/- per file. A charge @ Rs.10/- per record subject to a minimum of Rs. 300/- per Allotment / CA file to be charged in case of ISIN of Social Stock Exchange (SSE) However, in case of split or consolidation or capital reduction only one side will be charged. Debits / Credits attempted to invalid BOIDs will attract charge. Additional fee up to Rs. 20,000/- will be levied for customized CA processing request.
6.18	Software maintenance charges - An Issuer / RTA shall be levied Rs.50,000/- per annum as software maintenance charge. The fees would be pro-rated on a monthly basis for the first financial year.	Software maintenance charges - An Issuer / RTA / Vault Manager (VM) shall be levied Rs.50,000/- per annum as software maintenance charge. The fees would be pro-rated on a monthly basis for the first financial year.
6.19	Charges for retrieval of old data - For retrieval of past data / reports as per the user requirement, the Issuer/RTA will be charged Rs.5,000/- per request. If the data is required in a particular format, the Issuer/RTA will be charged Rs.5,000/- plus cost incurred by CDSL for software development required for computation of such data.	Charges for retrieval of old data - For retrieval of past data / reports as per the user requirement, the Issuer/RTA/ VM/SSE will be charged Rs.5,000/- per request. If the data is required in a particular format, the Issuer/RTA/ VM/SSE will be charged Rs.5,000/- plus cost incurred by CDSL for software development required for computation of such data.
6.20	Document Processing Fees for Admission of New issuer/RTA (for RTA activity) – A one-time document processing fee of Rs.20,000/- shall be levied.	Document Processing Fees for Admission of New issuer/RTA (for RTA activity) A one-time document processing fee of Rs.20,000/- shall be levied. No document processing charges shall be levied on VMs for VM activity and Issuer for admission of security under SSE.