



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUÉ TO REGISTRAR & TRANSFER AGENTS

CDSL/OPS/RTA/POLCY/2022/128

July 01, 2022

DISCLOSURE OF HOLDING OF SPECIFIED SECURITIES AND HOLDING OF SPECIFIED SECURITIES IN DEMATERIALIZED FORM

Issuers / RTAs are advised to refer to SEBI Circular no. SEBI/HO/CFD/PoD-1/P-CIR/2022/92 dated June 30, 2022, regarding **Disclosure of holding of specified securities and holding of specified securities in dematerialized form** [refer Annexure].

Issuers / RTAs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Nilesh Shah
Asst. Vice President - Operations



CIRCULAR

SEBI/HO/CFD/PoD-1/P/CIR/2022/92

June 30, 2022

To

All Listed Entities

All the Recognized Stock Exchanges

All the Depositories

Dear Sir / Madam,

Sub: Disclosure of holding of specified securities and Holding of specified securities in dematerialized form

1. Regulation 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), deals with the disclosure of shareholding pattern and manner of maintaining shareholding in dematerialized format.
2. Accordingly, SEBI vide Circular No. CIR/CFD/CMD/13/2015 dated November 30, 2015 (as amended) ("the Circular"), prescribed formats for disclosure of holding of specified securities and shareholding pattern under Annexure-I to the Circular.
3. In the interest of providing further clarity and transparency in the disclosure of shareholding pattern to the investors in the securities market, the Circular is being partially modified as under:
 - a. Clause 2(d) of the Circular has been amended as under:
 - i. In the disclosure of public shareholding, names of the shareholders holding 1% or more than 1% of shares of the listed entity is to be disclosed.



भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

- ii. Names of the shareholders who are persons acting in concert, if available, shall be disclosed separately.
- b. The following formats specified in Clause 5 of the Circular have been modified:
 - i. Table III - Statement showing shareholding pattern of the Public shareholder.
 - ii. Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder.

The revised formats of the aforementioned Table III and Table IV are placed at [Annexure A](#).

- c. It is also specified that all listed entities shall disclose details pertaining to foreign ownership limits in the format prescribed at [Annexure B](#) to this circular.
 - d. The format specified in the Annexure B to this circular shall be Table VI under Clause 5 of the Circular.
4. Accordingly, the Circular No. CIR/CFD/CMD/13/2015 dated November 30, 2015 stands modified to the extent as stated at paragraph no. 3 above.
5. The recognized stock exchanges and depositories are directed to take necessary steps to put in place systems for implementation of this circular. The recognized stock exchanges are directed to bring the provisions of this circular to the notice of the listed entities and also to disseminate the same on their website.
6. This Circular shall come into force with effect from the quarter ending September 30, 2022.
7. This Circular is issued in exercise of the powers conferred under Section 11 and Section 11A of the Securities and Exchange Board of India Act, 1992 read with Regulation 31 and 101(2) of Listing Regulations.



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

8. A copy of this circular is available on SEBI website at www.sebi.gov.in under the categories “Legal Framework → Circulars”.

Yours faithfully,

Yogita Jadhav
General Manager
Corporation Finance Department
Policy and Development - 1
+91 22 2644 9583
Email – yogitag@sebi.gov.in



Annexure B

Table VI - Statement showing foreign ownership limits

	<i>Board approved limits</i>	<i>Limits utilized</i>
As on shareholding date		
As on the end of previous 1st quarter		
As on the end of previous 2nd quarter		
As on the end of previous 3rd quarter		
As on the end of previous 4th quarter		