



Central Depository Services (India) Limited

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COMMUNIQUE TO REGISTRAR & TRANSFER AGENTS

CDSL/OPS/RTA/CAIPO/2021/130

October 06, 2021

DISTINCTIVE NUMBERS (DN) MANDATORY FOR INTER DEPOSITORY CORPORATE ACTIONS (CA)

Issuers /RTAs are hereby requested to note that in respect of corporate actions executed where the debit/credit of equity shares of Listed Companies is between the depositories, it shall henceforth be mandatory to provide the distinctive numbers in the corporate action file being uploaded for execution.

Currently the same shall be applicable in respect of the below mentioned corporate action types:

CDSL CA TYPE CODE	CDSL CA TYPE
28	Transfer From Unclaimed Suspense/ Escrow
54	Transfer Of Lock In Shares
55	Account Shifting-Inactive ISIN
59	Transmission Of Lock In shares
63	Transmission Inactive ISIN
68	Transfer Of Shares to IEPF (NSDL to CDSL)
69	Transfer Of Shares Nsdl Iepf
72	Suspense Account Debit(iepf)
76	Transfer Of Shares From Trust To Employee
79	Offer For Sale / Disinvestment By GOI
81	Transfer Of Lock In Other Than Account Shifting
77	Transfer u/s 236

Issuers/RTAs are further advised to note the following:

- DN ranges entered in the corporate action file uploaded under above CA types should mandatorily be existing ranges in the DN Database with hold type as 'Demat'.
- CA type codes against above CA types applicable at other Depository will be shared by the other Depository.
- In respect of the data file being uploaded for IEPF transfer from NSDL to CDSL i.e. upload 46, distinctive number ranges will have to be mandatorily provided. Changes in the existing file format of upload 46 is attached herewith as Annexure A.



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- Only those DN ranges that are entered in the debit corporate action file should be entered in the corresponding credit corporate action file uploaded for the successful records. As the processing of credit corporate action can be at either of the Depositories, the DN ranges that are used in the debit corporate action file must essentially be utilized in the credit corporate action file being executed either at the same depository or the other depository.

Issuers/ RTAs are advised to take note of the above and ensure that necessary changes are incorporated in their back office, if any.

Tentative date of live release shall be October 15, 2021.

Queries regarding this communiqué may be addressed to:

- ❑ **CDSL – Operations** on telephone numbers (022) 2305-8764, 2305-8638 or 2305-8507. Emails may be sent to: caipo1@cdslindia.com
- ❑ **CDSL – Helpdesk** on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com

sd/-

Vinifer Kodia
AVP – Operations

FILE FORMAT: NSDL to IEPF transfer Data File

File Name : 46<<RTA ID>>_NSDL_IEPF_DTLS_<<DDMMYYYYHH24MISS>>

E.g. 46107_NSDL_IEPF_DTLS_26102017154100

Input Type : **Mandatory / Optional**

Header Record: This record will contain:

FIELD DESCRIPTION	FIELD TYPE	INPUT TYPE	FIELD SIZE	REMARKS
RECORD IDENTIFICATION	NUMBER	M	2	VALUE = 01
RTA ID	NUMBER	M	6	RTA ID
RTA INTERNAL REFERENCE NUMBER	CHAR	O	16	
ISIN	CHAR	M	12	
CA TYPE	NUMBER	M	4	68 – Trf of Shares to IEPF
BOARD APPROVAL DATE	DATE	O	8	DDMMYYYY
EXECUTION DATE	DATE	M	8	DDMMYYYY
TOTAL DEBIT QUANTITY	NUMBER	M	(16,3)	
TOTAL DEBIT LOCK-IN QUANTITY	NUMBER	O	(16,3)	
FILLER			(16,3)	
TOTAL CREDIT QUANTITY	NUMBER	M	(16,3)	
TOTAL CREDIT LOCK-IN QUANTITY	NUMBER	O	(16,3)	
TOTAL NUMBER OF (02) DETAIL RECORDS	NUMBER	M	5	
TOTAL NUMBER OF (03) DETAIL RECORDS	NUMBER	M	5	
FILLER	NUMBER	O	5	
CIN /BCIN NUMBER	CHAR	M	21	CIN
EMAIL ID OF COMPANY	CHAR	M	50	Email ID Of Company/Company Secretary
FINANCIAL YEAR TO WHICH THE DIVIDEND RELATES	CHAR	M	9	YYYY-YYYY (E.g. 2008-2009 for the financial year 2008-2009)
TOTAL NOMINAL AMOUNT OF SHARES	NUMBER	M	(16,3)	Total Nominal Value Of Shares

Ex: 01~000107~Int ref

123~INE016A01012~68~20102017~26102017~1000~0~1000~0~100~L61212MH1995PLC123456~abc@abc.com~2008-2009~10000

Details Records: This record will contain following lines:

FIELD DESCRIPTION	FIELD TYPE	INPUT TYPE	FIELD SIZE	REMARKS
RECORD IDENTIFICATION	CHAR	M	2	Value = 02
RECORD LINE NUMBER	NUMBER	M	5	
CREDIT BO ID	CHAR	M	16	IEPF Demat Account Number
DEBIT DP ID	CHAR	M	8	8 Digit NSDL DP ID
DEBIT CLIENT ID	CHAR	M	8	8 Digit NSDL Client ID
DEBIT QUANTITY	NUMBER	M	(16,3)	
DEBIT LOCKIN QUANTITY	NUMBER	O	(16,3)	
DEBIT LOCK-IN CODE	NUMBER	O	2	
DEBIT LOCK-IN EXPIRY DATE	DATE	O	8	Format "DDMMYYYY"
FILLER 1			(16,3)	
CREDIT QUANTITY	NUMBER	M	(16,3)	
CREDIT LOCKIN QUANTITY	NUMBER	O	(16,3)	
CREDIT LOCK-IN CODE	NUMBER	O	2	
CREDIT LOCK-IN EXPIRY DATE	DATE	O	8	Format "DDMMYYYY" Should be same as Debit Lock-in Expiry Date
FIRST HOLDER'S NAME	CHAR	M	250	
PAN OF SOLE/FIRST HOLDER	CHAR	O	30	
SECOND HOLDER'S NAME	CHAR	O	45	
PAN OF SECOND HOLDER	CHAR	O	30	
THIRD HOLDER'S NAME	CHAR	O	45	
PAN OF THIRD HOLDER	CHAR	O	30	
GUARDIAN'S NAME	CHAR	O	45	
FILLER 2			10	
NOMINEE'S NAME	CHAR	O	45	
FILLER 3			10	
FATHER/HUSBAND'S NAME	CHAR	O	45	
FILLER 4			1	
BIRTH DATE	CHAR	O	8	DDMMYYYY
ACCOUNT TYPE DESCRIPTION	CHAR	M	100	
ACCOUNT SUB TYPE DESCRIPTION	CHAR	M	100	
OCCUPATION	CHAR	O	100	

FILLER 5			25	
CORRESPONDENCE ADDRESS LINE 1	CHAR	O	36	
CORRESPONDENCE ADDRESS LINE 2	CHAR	O	36	
CORRESPONDENCE ADDRESS LINE 3	CHAR	O	36	
CORRESPONDENCE ADDRESS LINE 4	CHAR	O	36	
CORRESPONDENCE ADDRESS PIN CODE	CHAR	O	10	
PERMANENT ADDRESS LINE 1	CHAR	M	36	
PERMANENT ADDRESS LINE 2	CHAR	O	36	
PERMANENT ADDRESS LINE 3	CHAR	O	36	
PERMANENT ADDRESS LINE 4	CHAR	O	36	
PERMANENT ADDRESS PIN CODE	CHAR	O	10	
TELEPHONE 1	CHAR	O	24	
FILLER 6			20	
FAX	CHAR	O	24	
E-MAIL ID	CHAR	O	50	
UID OF FIRST HOLDER	CHAR	O	12	
UID OF SECOND HOLDER	CHAR	O	12	
UID OF THIRD HOLDER	CHAR	O	12	
FILLER 7			12	
FILLER 8			12	
FILLER 9			100	

DNR Records: This record will contain following lines:

FIELD DESCRIPTION	FIELD TYPE	INPUT TYPE	FIELD SIZE	REMARKS
RECORD IDENTIFICATION	CHARACTER	M	2	"03"
RECORD LINE NUMBER	INTEGER	M	5	
ISIN	CHARACTER	M	12	
DEBIT/CREDIT INDICATOR	CHARACTER	M	1	D-Debit C-Credit
DISTINCTIVE NUMBERS FROM	INTEGER	M	18	
DISTINCTIVE NUMBERS TO	INTEGER	M	18	
QUANTITY	INTEGER	M	18	To DNR - From DNR + 1

NOTES:

- Tilde (~) should be used as field separators.
- Padding should not be used for any field. Only actual values to be entered.

- If any field does not have value, then 2 consecutive tildes should be entered.
- Multiple lines for DNR records line "03" is allowed.