



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUE TO REGISTRAR & TRANSFER AGENTS

CDSL/OPS/RTA/SYSTEM/2017/27

May 05, 2017

SYSTEM DRIVEN DISCLOSURE

Issuers/RTAs are advised to refer to communiqué no: **CDSL/OPS/RTA/POLCY/1242** dated December 22, 2016 informing Issuers/RTAs about SEBI circular on streamlining the procedure whereby the depositories shall provide the transaction data of promoters/ promoter group on a daily basis directly to the stock exchanges for dissemination of the necessary disclosures.

Further SEBI has asked depositories to obtain the Promoter details from stock exchanges on the basis of Quarterly share holding data uploaded by Issuers / Companies with Stock exchanges and update the same in System Driven Disclosure database. In this regard following validations are incorporated in CDSL System

- ❖ CDSL will upload the Promoter details data received from exchanges wherever RTA has not uploaded the data in system driven disclosure database. Such records Source will be marked as the exchange from which data is received. RTAs as well as exchanges will receive the transaction and Holding details in RTD1 report on following day.
- ❖ If RTA has already uploaded Promoter / Promoter Group details then such records received from exchange will be ignored.
- ❖ If data is received from multiple exchanges then source of the data will contain the exchange id of all exchanges from which data is received.
- ❖ If RTA wishes to modify / add promoters data for which exchange/s has provided data earlier, RTA has to upload complete data for those issuers with flag as "S" which will replace existing data received from exchange/s.

Issuers/RTAs are advised to note that the above functionality will be released shortly and the date of release will be communicated separately.

Issuers/RTAs are advised to take note of the same and incorporate necessary changes in their back office software, if any.



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUE TO REGISTRAR & TRANSFER AGENTS

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk on (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-8625, 2272-1261 or 2272-8663. Emails may be sent to: helpdesk@cdslindia.com.

Ashish Bhatt
Asst. Vice President – Operations