Central Depository Services (India) Limited

Convenient Dependable Secure

COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/989 **November 13, 2007**

MODIFICATIONS TO ACCOUNT OPENING FORMS AND DELIVERY INSTRUCTION SLIPS

DPs are advised to refer to communiqué no. CDSL/OPS/DP/988 dated November 13, 2007 regarding Guidelines on Anti-Money Laundering Measures. As mentioned in our aforesaid communiqué, it has been decided to modify the Account Opening Form (AOF) and the Delivery Instruction Slip (DIS) to incorporate inter alia, the following details as directed by Financial Intelligence Unit-India (FIU-IND) to the Depositories:

1. Incorporate the "**Financial Status**" and "**Nature of Business**" of BOs in the AOF.
2. Incorporate "**Consideration**" and "**Reason / Purpose**" in cases of transfers from one BO account to another not related to market trades in DIS.

Accordingly, CDSL has incorporated the details in the Account Opening Form for Individuals (see **Annexure 2.1**), Account Opening Form for entities other than Individuals (see **Annexure 2.2**) and DIS–Combined (see **Annexure 6.2**) and DIS Off-market (see **Annexure 6.3**)

The amendments / changes incorporated in the AOF & DIS are given below:

1. Account Opening Form (for Individuals)

(Annexure 2.1 of CDSL's DP Operating Instructions)

Additional information has been sought from account holders, which include details of their occupation, nature of business and financial status.

2. Account Opening Form (for entities other than Individuals)

(Annexure 2.2 of CDSL's DP Operating Instructions)

- same as point **1** above -

3. Delivery Instruction Slip (Combined : On-Market and Off-Market)

(Annexure 6.2 of CDSL's DP Operating Instructions)

Additional information with regard to Off-market transactions, i.e. transfers from BO-(Investor) account to BO-(Investor) account, is required to be entered by the BOs. If the Off-market transaction is for consideration, the amount would have to be mentioned. If

there is no consideration involved, then the reason would have to be furnished under options given therein.

4. **Delivery Instruction Slip (Off-Market)**
(Annexure 6.3 of CDSL's DP Operating Instructions)
- same as point **3** above -

DPs will be allowed to use the old AOFs and DIS until March 31, 2008 or till such time the old AOFs and DIS stocks last, whichever is earlier. In the interim, DPs are advised to ensure that the additional information sought from account holders, for opening of new accounts, is taken on record on a separate sheet, duly signed by the account holder(s). In the case of Off-Market trades, the details of transactions may be taken on the face of the DIS or on a separate sheet duly signed by the account holders.

Further, DPs should obtain the additional details as prescribed in the revised AOF for existing accounts whenever any existing account is identified in the alerts generated by CDSL or by DPs under PMLA as specified by FIU-IND.

Further, an option to choose the SMS Alert and/or ***e****asi* facility has also been included in the Account Opening Forms to facilitate registration for the same. DPs are also advised to enclose a Nomination Form (see **Annexure 2.4**), along with the Account Opening Form, at the time of opening of the accounts, in order to promote nomination facility.

Queries regarding this communiqué may be addressed to:

- **CDSL-Helpdesk** on telephone no. (022) 2272-3333 (extn. 8642, 8427, 8663, 8624, 8693, 8625, 8639), direct (022) 2272-1261, (022) 3246-2767, (022) 2272-2075 or email ID: helpdesk@cdslindia.com.
- the undersigned on telephone (022) 2272-3333 (extn. 8650), Direct nos. (022) 3246-2559, (022) 2272-2008 or email ID: iyerk@cdslindia.com.

sd/-

K H Iyer
Assistant Vice President – Operations
& Principal Officer

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off'' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION** / **MODIFICATION** (Please cancel out what is not applicable).

BOID | | | | | | | | | | | | | | | | |

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent | +91 | | | | | | | | | |

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures Sole / First Holder Second holder Third Holder

Place: _____ Date: _____

Annexure 2.1

Application Form for Opening a Demat Account
❑ Individual ❑ NRI ❑ Foreign National

Depository Participant Name/Address

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y	
DP Internal Reference No.											
DP ID									Client ID		

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a Demat Account in my / our name as per the following details: -

Sole / First Holders Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	❑Mr. ❑Mrs. ❑Ms. ❑Other	Suffix	
Correspondence Address			
City		State	
Country		PIN	
Telephone No.	Fax No.	Mobile No.	
PAN			
E-mail ID			
Permanent Address (if different from Correspondence Address)			
City		State	
Country		PIN	
Telephone No.		Fax No.	
E-mail ID			

Joint Holders – Second Holder's Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	❑Mr. ❑Mrs. ❑Ms. ❑Other	Suffix	
Permanent Address			
City		**State**	
Country		**PIN**	
Telephone No.	Fax No.	Mobile No.	
PAN			
E-mail ID			

Joint Holders – Third Holder's Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	❑Mr. ❑Mrs. ❑Ms. ❑Other	Suffix	
Permanent Address			
City		**State**	

Country			**PIN**						
Telephone No.		Fax No.		Mobile No.					
PAN									
E-mail ID									

Type of Account (Please tick whichever is applicable)

Status	**Sub – Status**
❑ Individual	❑ Individual Resident ❑ Individual-Director ❑ Individual Director's Relative ❑ Individual HUF / AOP ❑ Individual Promoter ❑ Others (specify) ❑ Individual Margin Trading A/C (MANTRA)
❑ NRI	❑ NRI Repatriable ❑ NRI Non-Repatriable ❑ NRI Repatriable Promoter ❑ NRI Non-Repatriable Promoter ❑ NRI – Depository Receipts ❑ Others (specify)
❑ Foreign National	❑ Foreign National ❑ Foreign National - Depository Receipts ❑ Others (specify)

I / We instruct the DP to receive each and every credit in my / our account	[Automatic Credit] ❑ Yes ❑ No
Account Statement Requirement	❑ Daily ❑ Weekly ❑ Fortnightly ❑ Monthly

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?	□ Yes □ No

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)									
Bank Name									
Branch									
Bank Address									
City		State		Country		PIN			
Account number									
Account type	❑ Saving ❑ Current ❑ Cash Credit ❑ Others (specify)								

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

- In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder	**Second Joint Holder**	**Third Joint Holder**
Name			
Signatures			
Passport size Photograph	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)

(***Signatures should be preferably in black ink***).

[In case of minor holder, photograph of guardian has to be affixed along with minor's photograph.]

Name *	_____

***** In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Additional Details

SMS Alert Facility	❑ Yes MOBILE NO. +91 __ __ __ __ __ __ __ __ __ __ Refer to Terms & Conditions given as **Annexure-A**	❑ No
***e**asi*	❑ Yes. If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	❑ No

Details For First Holder

Date of Birth	D D M M Y Y Y Y
Nationality	❑ Indian ❑ Others (specify)
Sex	❑ Male ❑ Female
Occupation	Service {❑ Central Govt. ❑ State Govt. ❑ Public / Private Sector ❑ NGO ❑ Statutory Body} ❑ Professional ❑ Business ❑ Student ❑ Retired ❑ Housewife ❑ Others (Specify) ----------
Nature of business: (Products / services provided)	
Financial Details:	Income Range per annum: ❑ Up to Rs.1,00,000 ❑ Rs.1,00,001 to Rs.2,00,000 ❑ Rs.2,00,001 to Rs.5,00,000 ❑ More than Rs.5,00,000

Details For Joint Second Holder

Date of Birth	D D M M Y Y Y Y
Nationality	❑ Indian ❑ Others (specify)
Sex	❑ Male ❑ Female ❑ Corporate
Occupation	Service {❑ Central Govt. ❑ State Govt. ❑ Public / Private Sector ❑ NGO ❑ Statutory Body} ❑ Professional ❑ Business ❑ Student ❑ Retired ❑ Housewife ❑ Others (Specify) ----------
Nature of business: (Products / services provided)	

Details For Joint Third Holder

Date of Birth	D D M M Y Y Y Y
Nationality	❑ Indian ❑ Others (specify)
Sex	❑ Male ❑ Female ❑ Corporate
Occupation	Service {❑ Central Govt. ❑ State Govt. ❑ Public / Private Sector ❑ NGO ❑ Statutory Body} ❑ Professional ❑ Business ❑ Student ❑ Retired ❑ Housewife ❑ Others (Specify) ----------
Nature of business: (Products / services provided)	

Details of Guardian (If First Holder or Second Holder or Third Holder is a minor)

First Name			
Middle Name			
Last / Search Name			
Relationship with the applicant			
Correspondence Address			
City		State	
Country		PIN	
Telephone No.	Fax No.	Mobile No.	
PAN			
E-mail ID			

For NRIs

Foreign Address			
City		State	
Country		PIN	

==========

(Perforated Card)

DP ID										Client ID								

	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signatures			

========== (Please Tear Here) ==========

(To be filled by the Depository Participant)

Acknowledgement Receipt

Application No.: **Date:**

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

Depository Participant Seal and Signature

========== (Please Tear Here) ==========

Annexure 2.2

Application Form for Opening a Demat Account
(For entities other than Individuals)

Depository Participant Name / Address / DP ID

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID		Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

We request you to open a Demat Account in our name as per the following details: -

Name			
Search Name			
Correspondence Address			
City		State	
Country		PIN	
Telephone No.		Fax No.	
PAN			
E-mail ID			
Registered Office address (if different from Correspondence Address)			
City		State	
Country		PIN	
Telephone No.		Fax No.	
E-mail ID			

Other Holders – Second Holder Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	❑ Mr. ❑ Mrs. ❑ Ms. ❑ Other	Suffix	
Permanent Address			
City		**State**	
Country		**PIN**	
PAN			
Date Of Birth	D D M M Y Y Y Y		
E-mail ID			
Telephone no.	Fax No.	Mobile No.	

Other Holders – Third Holder Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	❑Mr. ❑Mrs. ❑Ms. ❑Other	Suffix	
Permanent Address			
City		**State**	
Country		**PIN**	
PAN			

Date Of Birth	D	D	M	M	Y	Y	Y	Y							
E-mail ID															
Telephone no.		Fax no.							Mobile no.						

Type of Account (Please tick whichever is applicable)

Status	**Sub – Status**
❑ Body Corporate ❑ Banks ❑ Trust ❑ Mutual Fund ❑ OCB ❑ FII ❑ CM ❑ FI ❑ Clearing House ❑ Other (Specify)	**To be filled by the DP**

Date of Incorporation	D	D	M	M	Y	Y	Y	Y							
SEBI Registration No. (If Applicable)							SEBI Registration date	D	D	M	M	Y	Y	Y	Y
ROC Registration No. (If Applicable)							ROC Registration date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (If Applicable)							RBI Approval date	D	D	M	M	Y	Y	Y	Y
Nationality	❑ Indian ❑ Others (specify)														
I / We authorize you to receive credits in my / our account without any instruction from me / us.							[Automatic Credit] ❑ Yes ❑ No								
Account Statement Requirement	❑ Daily ❑ Weekly ❑ Fortnightly ❑ Monthly														

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?	❑ Yes ❑ No

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)									
Bank Name									
Branch									
Bank Address									
City		State		Country		PIN			
Account number									
Account type	❑ Saving ❑ Current ❑ Cash Credit ❑ Others (specify)								

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

- In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

For OCBs

Foreign Address															
City							State								
Country							PIN								
Telephone No.							Fax No.								
E-mail ID															
Indian Address															
City							State								
Country							PIN								
Telephone No.							Fax No.								
E-mail ID															
Currency															
RBI Reference No.					RBI Approval Date			D	D	M	M	Y	Y	Y	Y

Clearing Members Details (To be filled by CMs only)

Name of the Stock Exchange	
Name of the CC / CH	
Trading Id	
Clearing Member ID	

Name *	_____

***** In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Additional Details:

SMS Alert Facility	❑ Yes MOBILE NO. +91 __ __ __ __ __ __ __ __ __ __ Refer to Terms & Conditions given as **Annexure-A**	❑ No
easi	❑ Yes. If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	❑ No

Details For Joint - Second Holder

Nationality	❑ Indian ❑ Others (specify)
Sex	❑ Male ❑ Female
Occupation	Service {❑ Central Govt. ❑ State Govt. ❑ Public / Private Sector ❑ NGO ❑ Statutory Body} ❑ Professional ❑ Business ❑ Student ❑ Retired ❑ Housewife ❑ Others (Specify) ----------
Nature of business: (Products / services provided)	

Details For Joint – Third Holder

Nationality	❑ Indian ❑ Others (specify)
Sex	❑ Male ❑ Female
Occupation	Service {❑ Central Govt. ❑ State Govt. ❑ Public / Private Sector ❑ NGO ❑ Statutory Body} ❑ Professional ❑ Business ❑ Student ❑ Retired ❑ Housewife ❑ Others (Specify) ----------
Nature of business: (Products / services provided)	

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			
Passport size Photograph	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)

========== Please Tear Here ==========
(Perforated Card)

DP ID									Client ID								

	First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Specimen Signature			

========== Please Tear Here ==========
(To be filled by the Depository Participant)

Acknowledgement Receipt

We hereby acknowledge the receipt of the Account Opening Application Form from: -

Name of the Sole / First Holder	
Name of the Second Holder	
Name of the Third Holder	

Depository Participant Seal and Signature

Nomination Form

To,
The Depository Participant Name
Address

Dear Sir/ Madam,

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby nominate the following person who is entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details																	
DP ID									Client ID								
Name of the Sole / First Holder																	
Name of Second Holder																	
Name of Third Holder																	

Nominee details									
First Name									
Middle Name									
Last Name									
Address									
City		State							
Country		PIN							
Telephone No.		Fax No.							
E-mail ID									
Relationship with BO (If any)									
Date of birth (If nominee is a minor)									

As the nominee is a minor as on date, I/We appoint following person to act as guardian:

First name									
Middle name									
Last name									
Address									
City		State							
Country		PIN							
Age									

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders.

This nomination is in accordance with the ***section 109 A of the companies act, 1956***, and shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: _____ Date: _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signature			

Note: Two witnesses shall attest signature(s) / Thumb impression(s).

Details of the Witness		
	First Witness	Second Witness
Names of Witness		
Address of witness		
Signature of Witness		

(To be filled by DP)

Nomination accepted and registered wide Registration No. _____ dated _____.

For Depository Participant
(Authorised Signatory)

==========(Please Tear here) ==========

Acknowledgement Receipt

Received nomination request from :

DP ID									Client ID								
Name																	
Address																	
Nomination in favor of																	
Registration No.									Registered on	D	D	M	M	Y	Y	Y	Y

Depository Participant Seal and Signature

CDSL
Your Depository

DP Name & Address
DP ID and DP SEBI Reg. No.

Annexure 6.2

Instruction Slip for Delivery / Receipt
(To be filled in duplicate)

Serial no:----------

☐ **Delivery** ☐**Receipt**

I / We request you to **debit / credit** my / our account as under: -

Date: _ _ / _ _ / 20_ _

DP ID	**BO (CLIENT) ID ***	**First / Sole Holder's Name**

S. No.	ISIN	Security Name	QUANTITY		Instruction Reference No. [to be filled by DP]
			In figures	In words	
1	IN	IN			
2	IN	IN			
3	IN	IN			
Total Instructions Used (In words only)			**Cash Transfer**	☐ **Yes [*1]** ☐ **Not Required [*2]** ☐ **No**	

ONLY for transfers from BO (Investor) Account to another BO (Investor) account, NOT RELATED to Stock Exchange Transactions

[*1] If **yes**, consideration amount _____.

[*2] If consideration **not required**, please specify reason (as given below):

☐ Gift ☐ Transfer between two accounts of same holder ☐ Transfer between family members ☐ Others (explain):

Fill the relevant columns -

Instruction Type	**Transfer With in CDSL**		**Transfer Outside CDSL**	**For Market Trades**	
	BO-CM, CM-BO, CM-CM	**BO-BO**	**Inter Depository**	**Early Pay-in**	**Normal Pay-in**
Execution Date	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y	**NOT APPLICABLE**	**NOT APPLICABLE**
Exchange		**NOT APPLICABLE**			
Settlement type / market type		**NOT APPLICABLE**			
Settlement no.		**NOT APPLICABLE**			
CM ID	**NOT APPLICABLE**	**NOT APPLICABLE**	**NOT APPLICABLE**		
Counter DP ID / CM BP ID					**NOT APPLICABLE**
Counter Client ID					**NOT APPLICABLE**
Counter BO / CM Name					

Blank & Signed Delivery Instruction Slips should not be left with your DP/Broker

Signature of First / Sole Holder	**Signature of Second Holder**	**Signature of Third Holder**

For DPs office use only

Internal Ref. No. Signature Verified By Transaction Entered By

*Prestamped

CDSL
Your Depository

DP Name & Address
DP ID and DP SEBI Reg. No.
Instruction Slip for Delivery / Receipt
(To be filled in duplicate)

Annexure 6.3

Serial no:----------

□ **Delivery** □ **Receipt**

I / We request you to **debit / credit** my / our account as under: -

Date : _ _ / _ _ / 20_ _

DP ID	**B O (C L I E N T) I D ***	**First/ Sole Holder's Name**	

S. No.	**ISIN**	**Security Name**	**QUANTITY**		Instruction Reference No. [to be filled by DP]
			In figures	**In words**	
1	**IN**				
2	**IN**				
3	**IN**				

Total Instructions Used (In words only)	**Cash Transfer**	☐ **Yes [*1]**	☐ **Not Required [*2]**	☐ **No**

ONLY for transfers from BO (Investor) Account to another BO (Investor) account, NOT RELATED to Stock Exchange Transactions

[*1] If **yes**, consideration amount : _____.

[*2] If consideration **not required**, please specify reason (as given below):

☐ Gift ☐ Transfer between two accounts of same holder ☐ Transfer between family members ☐ Others (explain):

Fill the relevant columns -

Instruction Type	Transfer With in CDSL – Off Market		Transfer Out side CDSL – Inter Depository	
	BO-CM, CM-BO, CM-CM	**BO-BO**	**BO-BO**	**BO-CM, CM-BO, CM-CM**
Execution Date	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Exchange		**NOT APPLICABLE**	NOT APPLICABLE	
Settlement type		**NOT APPLICABLE**	NOT APPLICABLE	
Settlement no.		**NOT APPLICABLE**	NOT APPLICABLE	
Counter DP ID / CM BP ID				
Counter Client ID				
Counter BO / CM Name				

Blank & Signed Delivery Instruction Slips should not be left with your DP/Broker

Signature of First / Sole Holder	**Signature of Second Holder**	**Signature of Third Holder**

For DPs office use only

Internal Ref. No. Signature Verified By Transaction Entered By

* Prestamped