



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/REPT/5880

April 21, 2016

CONSOLIDATED ACCOUNT STATEMENT (CAS) – UPDATION OF CAS MODE IN BO MODULE

DPs are advised to refer to communiqué no. CDSL/OPS/DP/REPT/4900 dated December 26, 2014, informing DPs about additional fields i.e CAS Mode, CAS Flag, Preferred Depository Flag introduced in BO module for capturing CAS related information as directed in SEBI circular no. CIR/MRD/DP/31/2014 dated November 12, 2014.

Presently for field 'CAS Mode' provided in BO module the values are as follows:

- **NO – CAS not required** i.e. BOs who have indicated negative consent for receiving CAS.
- **PH – Physical CAS required** i.e. BOs who have indicated their desire to receive CAS in physical form.
- **NULL – Default value** i.e. where no specific request is received from the BO for CAS not required / physical CAS.

We have received feedback from some of the BOs regarding non receipt of CAS. On further investigation it was found that for new accounts opened, the CAS Mode was being updated by the DP as "NO" – CAS not required by default instead of the default value "NULL". DPs are advised to note that CAS mode can be updated by DPs as 'NO' only if the BO has indicated negative consent for receiving CAS. **The list of all existing demat accounts (other than closed status) as on April 20, 2016 where the CAS mode is updated as "NO" is kept in the respective DPs billing folder as BLNG<DPID>_NOCAS_ddmmyyyy.tar.**

DPs are advised to review the list and ensure that the CAS mode is updated as "NO" only if the concerned BO has informed that he does not wish to receive CAS and for the remaining cases the CAS mode should be modified by the DP to NULL (Blank). The CAS mode can be modified through BO Modify Upload File or online. The DPs should keep on record the list of such BOIDs wrongly updated as "NO" as rectification cases and are not required to obtain modification request from the BO.



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DPS are required to ensure that the modification as mentioned above is completed before **April 30, 2016**, so that the BOs are considered for CAS eligibility for the month in case the BO holds mutual fund units in physical form i.e. Statement of Account Form.

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-1261
or 2272 2075. Emails may be sent to: helpdesk@cdslindia.com

Tara Ajit
Asst. Vice President – Operations