



Central Depository Services (India) Limited

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CDSL/OPS/REPRTS/DP/5813

March 15, 2016

REVISED FILE FORMATS OF STATEMENT OF TRANSACTION AND HOLDING (DPC9)

CDSL is pleased to inform DPs that the DPC9 report - Statement of transaction and holding has been enhanced to incorporate the following:

- SEBI's directive for printing ISIN full name and the Liquidation / Winding Up status of Listed companies in transaction and holding reports sent to BOs.
- Providing other additional information as per suggestions received from DPs.

Enhanced Features:

[A] Reports - DPC9, DP05, DPC5 & DPG5

Provision of following additional information:-

- ISIN Full Name instead of ISIN Short Name along with the Liquidation / Winding Up status for listed company as informed to CDSL by stock exchanges.
- ISIN security type e.g EQ, Debt, MF etc.
- Status of nomination in the demat account. (Registered / Not Registered)
- Email id recorded in the demat account. If the email id is not recorded then "Please provide" will be given.
- Mobile number recorded in the demat account. The first six digits will be masked and only last 4 digits will be provided.
- SMS alert flag. Will be provided as Yes / No. If the BO sub-status is not allowed for SMS registration then SMS alert flag will not be provided e.g. For CM account / NRI account. If the BO sub-status is allowed for SMS registration and the BO is not registered for SMS alerts then "Please avail SMS alert facility" will be provided.
- ISIN wise valuation in the holding statement.
- Provision for additional fields viz., Transaction ID and DIS fields have been made in file format, however data will be populated in subsequent release.

[B] Set Up of DPC9:

- DPs can set up DPC9 for particular settlement ID for CM accounts.
- DPs can choose the sort order of the report either security type wise or as per the existing sort order of ISIN name.



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DPs are advised to note that the aforesaid changes (as applicable) will also be reflected in the following:

1. ISIN master report - CD03

The "ISIN Complete" field is being renamed to "Liquidation / Winding Up status". The possible values in this field are:

0 – Not Under Liquidation / Winding Up

1 – Under Liquidation / Winding Up

2 – Reference BIFR

2. High Value Transactions report- DPG1

ISIN full name will be provided. If the transaction contains Delivery Instruction Slip Number then the same will be shown in Transaction type field. If DIS number is not present then transaction type will be shown.

3. Dormant Monitoring and transactions report -DPF7

ISIN full name will be provided.

The revised file formats are as follows:-

- ☐ **Annexure – A : DP05** – Daily Transaction & Holding Report
- ☐ **Annexure – B : DPD5** – Name and address for BO in DP05 report.
- ☐ **Annexure - C : DPC9** – Online Statement of Transaction and Holding
- ☐ **Annexure - D : DPD9** – Name and address for BO in DPC9 report.
- ☐ **Annexure – E : DPC5** – Monthly Report of TXN cum Holding
- ☐ **Annexure - F : DPF8** - Name and address for BO in DPC5 report.
- ☐ **Annexure - G : DPG5** – Quarterly Holding Report for non transacted BO
- ☐ **Annexure - H : DPF9** - Name and address for BO in DPG5 report.
- ☐ **Annexure - I : DPG1** -- High Value Report
- ☐ **Annexure - J : DPF7** -- Dormant Account Monitoring and Transaction Report
- ☐ **Annexure - K : CD03** -- ISIN Master Details

The enhanced DPC9 and other related reports are scheduled for release on EOD of **Friday, April 01, 2016**. All the above reports generated in EOD of Friday, April 01, 2016 will be as per the new format. **DPs are advised to make necessary changes in their back office software prior to the release date.**



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Queries regarding this communiqué may be addressed to CDSL – Helpdesk on (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-1261, or 2272-2075. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Nayana Ovalekar
Sr. Vice President – Operations

Report Documentation

Report ID : DP05

Report Description : Daily Transaction & Holding Report

Module : BO-ISIN

Report Format : (Specify in same sequence as Unformatted layout)

Sr. No.	Field Description	Field Type	Field Size
1	Line Identifier - "01"	Number	2
2	DPID	Number	5
3	BOID	VarChar	16
4	From Date	Char	10 (DD-MM-YYYY)
5	To Date	Char	10 (DD-MM-YYYY)
6	Line Identifier - "02"	Number	2
7	ISIN	Char	12
8	ISIN Full Name	VarChar	100
9	Settlement ID	VarChar	13
10	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
11	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
12	Line Identifier - "03"	Number	2
13	From Date	Char	10 (DD-MM-YYYY)
14	Transaction Particulars	"Opening Balance"	15
15	Transaction Qty1	Nil	0
16	Transaction Qty2	Nil	0
17	Opening Balance	Number	Max (13.3) & Min (1.3)
18	Line Identifier - "04"	Number	2
19	Transaction Date	Char	10 (DD-MM-YYYY)

Sr. No.	Field Description	Field Type	Field Size
20	Transaction Description	Char	Max (60)
21	Credit /Debit Type	Char	1 ("C" or "D")
22	Transaction Quantity	Number	Max (13.3) & Min (1.3)
23	Calculated Current Balance After Transaction	Number	Max (13.3) & Min (1.3)
24	Settlement ID	VarChar	13
25	Counter Settlement ID	VarChar	13
26	Transaction ID	Number	8
27	DIS	VarChar	16
28	Line Identifier - "05"	Number	2
29	To Date	Char	10 (DD-MM-YYYY)
30	Transaction Particulars	"Closing Balance"	15
31	Transaction Qty1	Nil	0
32	Transaction Qty2	Nil	0
33	Closing Balance	Number	Max (13.3) & Min (1.3)
34	Line Identifier - "06"	Number	2
35	Pending Since Date/ Lockin Expiry Date / Destat Setup Date	Char	10 (DD-MM-YYYY)
36	Demat/ Remat Pending/ Lockin / Pending Destat	Char	1 ("D" or "R" or "L" or "M")
37	Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin/ Destat Pending	Char	Max (24) Min (17)
38	Demat / Remat Rejected Qty or Demat/Remat Pending Qty or Lockin Qty / Pending Destat Qty	Number	Max (13.3) & Min (1.3)
39	Line Identifier - "07"	Number	2
40	ISIN	Char	12
41	ISIN Full Name	VarChar	100
42	Current Balance Quantity	Number	Max (13.3) & Min (1.3)
43	Safe Keep Balance Quantity	Number	Max (13.3) & Min (1.3)
44	Pledged Balance Quantity	Number	Max (13.3) & Min (1.3)
45	Free Balance Quantity	Number	Max (13.3) & Min (1.3)
46	Lockin Balance Quantity	Number	Max (13.3) & Min (1.3)
47	Earmarked Balance Quantity	Number	Max (13.3) & Min (1.3)

Sr. No.	Field Description	Field Type	Field Size
48	Lend Balance Quantity	Number	Max (13.3) & Min (1.3)
49	AVL Balance Quantity	Number	Max (13.3) & Min (1.3)
50	Borrowed Balance Quantity	Number	Max (13.3) & Min (1.3)
51	ISIN Level Freeze Flag + BOISIN Level Freeze Flag + Freeze Suspension Flag	Char	3
52	Settlement ID	VarChar	13
53	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
54	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
55	BO ISIN Holding Valuation	Number	16,3

Note: -

- For every Line “01”, there will be a corresponding entry of BO Name and Address in DPD5 report.
- If the BO does not have any transactions during the period and his current balance is also nil, then such BOs will not be printed in DP05 report.
- If the BO does not have any transactions during the period, but his current balance is not nil, then line “01”, line “02”, line “03”, line “05” are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
- If the BO has transactions during the period, then Line “01”, Line “02”, Line “03”, Line “04” (for each transaction, there will be one Line “04”), Line “05 are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.

5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. ***The ISIN Full Name is to be printed in DP05 Report in Line "02" for ISIN and Line "07" for Holding.***
7. ***ISIN Liquidation/Winding-Up Status will be printed in Line "02" and Line "07" in DP05 Report.***
8. ***Pending Destat Records will be printed in Line "06" for Memo Balance.***
9. ***Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.***

Report Documentation

Report ID : DPD5

Report Description : Names and addresses of BO in DP05

Module : BO-ISIN

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier	Number	(2) – “08”
Count	Number	(1) – “0”
Business date	Date	(8) -DDMMYYYY
System Date and Time	Char	(24)
Bo id	Char	(16)
First holder name	Char	Max (142)
Second holder name	Char	Max (142)
Third holder name	Char	Max (142)
Address 1	Char	Max (30)
Address 2	Char	Max (30)
Address 3	Char	Max (30)
City	Char	Max (25)
State	Char	Max (25)
Country	Char	Max (25)
Zip	Char	Max (10)
Cm id	Char	Max (8)
BO Frozen flag	Char	Max(1)
BO Account Status	Char	Max(40)
Product Number	Char	Max(40)
Sub Type	Char	Max(40)
Basic Service Demat Account	Char	1
Rajiv Gandhi Equity Scheme	Char	1
BO Total Valuation	Number	16,3
Nomination Status	Varchar	Max (15) Possible Values are: Registered Not Registered
Mobile No	Varchar	Max(20) (last 4 digit prefix by #####) Ex: #####1234
SMART Registration Flag	Char	1 Possible Values are: Y - Registered for SMART N - Not Registered for SMART If not applicable for SMART, then value will be NULL
Email Id of 1st Holder	Varchar	Max (50) If not present then print “Please Provide”

Report Documentation

Report ID : DPC9

Report Description : Online Statement of Transaction and Holding

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier	Varchar	(2)	"01"
DP Id.	Number	(5)	
BO Id.	Varchar	(16)	
From Date	Varchar	(10)	Format (DD-MM-YYYY)
To Date	Varchar	(10)	Format (DD-MM-YYYY)
Identifier	Varchar	(2)	"02"
ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Settlement Id	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR
Security Type	Varchar	(50)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
Identifier	Varchar	(2)	"03"
From date	Varchar	(10)	Format (DD-MM-YYYY)
Transaction Particulars	Varchar	(15)	"Opening Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Opening balance	Number	(13.3)	Max (13.3) and min length (1.3)

Annexure - C

Identifier	Varchar	(2)	"04"
Transaction Date	Varchar	(10)	
Transaction Particulars	Varchar	(60)	
Credit/Debit Type	Varchar	(1)	C/D
Transaction Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Calculated Current balance after transaction	Number	(13.3)	Max length (13.3) and min length (1.3)
Settlement Id	Varchar	(13)	
Counter Settlement Id	Varchar	(13)	
Transaction ID	Number	(8)	
Delivery Instruction Slip	Varchar	(16)	
Identifier	Varchar	(2)	"05"
To Date	Varchar	(10)	
Transaction Particulars	Varchar	(15)	"Closing Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Closing balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Identifier	Varchar	(2)	"06"
Pending Since date / Lockin expiry date / Destat Setup Date	Varchar	(10)	Format (DD-MM-YYYY)
D - Demat R -Remat / Restat L –Lockin M - Destat	Varchar	(1)	D / R/ L / M
Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin / Destat Pending	Varchar	(24)	Max (24) Min (17)
Demat / remat / Restat rejected qty or demat / remat / Restat pending qty/ Lockin Quantity / Pending Destat Quantity	Number	(13.3)	Max length (13.3) and min length (1.3) For Pending Destat if all quantity flag is "A" then quantity will be printed as 0
Identifier	Varchar	(2)	"07"

ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Current Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
SAFE KEEP Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Pledged Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Free Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Locked in Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Earmarked Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Lend Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
AVL Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Borrow Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Isin level freeze flag + Bolsin level freeze flag + freeze suspend flag	Varchar	(3)	Max length 3 (1*3) and min length 0
Settlement ID	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR
Security Type	Varchar	(4)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
BO ISIN Holding Valuation	Number	(16,3)	
Identifier	Number	(2)	“08”
Count	Number	(1)	“0”
Business date	Date	(8)	DDMMYYYY
System Date and Time	Varchar	(24)	

NOTE:

1. For every line “01”, there will be a corresponding entry of BO names and addresses in DPD9 report.

2. If the BO does not have any transactions during the time period and his current balance is also nil, then only line "01" is printed.
3. If the BO does not have any transactions during the period, but his current balance is not nil, then line "01", line "02", line "03", line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
4. If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.
5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. Report Criteria for DP:
 - Single BO
 - ISIN and Customer Type
 - ALL Bo's
 - Customer Type
 - BO Category and Customer Type
 - BO – ISIN
 - **Settlement ID (For CM BO)**
7. Naming convention of DPC9 change in case of online report if report is setup for single BO.
Updated for single BO criteria: - 08DPC9U.<BOID><DDMMYYYYHHMISS>
Other than single BOID criteria: 08DPC9U.<even no>.
8. ***The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.***
9. ***ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPC9 Report.***
10. ***Pending Destat Records will be printed in Line "06" for Memo Balance.***
11. ***Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.***

Report Documentation

Report ID : DPD9

Report Description : Names and addresses of BO in DPC9

FIELD DESCRIPTION	FIELD TYPE	LENGTH	REMARKS
Identifier	Number	(2) – “08”	
Count	Number	(1) – “0”	
Business date	Date	(8) - DDMMYYYY	
System Date and Time	Char	(24)	
Bo id	Char	(16)	
First holder name	Char	Max (142)	
Second holder name	Char	Max (142)	
Third holder name	Char	Max (142)	
Address 1	Char	Max (30)	
Address 2	Char	Max (30)	
Address 3	Char	Max (30)	
City	Char	Max (25)	
State	Char	Max (25)	
Country	Char	Max (25)	
Zip	Char	Max (10)	
Cm id	Char	Max (8)	
BO Frozen flag	Char	Max(1)	
BO Account Status	Char	Max(40)	
Product Number	Char	Max(40)	
Sub Type	Char	Max(40)	
Basic Services Demat Account	Char	1	
Rajiv Gandhi Equity Savings Scheme	Char	1	
ISIN No.	Char	(12)	
ISIN Desc	Varchar	(20)	
BO Total Valuation	Number	16,3	
Nomination Status	Char	Max (15)	Possible values Registered Not Registered
Mobile Number	Char	Max(20)	Last 4 digit prefix by ##### Ex: #####1234
SMS Alert Flag	Char	1	Possible Values are : Y – Registered For SMART N – Not Registered for SMART If not applicable for SMART, then value will be NULL
Email ID of 1st holder	Char	Max(50)	Email Id of 1st Holder If not present

			<i>then print "Please Provide"</i>
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Note: ISIN No and ISIN Description will print when the user will setup DPC9 report for ISIN criteria.

ISIN No and ISIN Description will print together and will come in one tilde.

Naming convention of DPD9 change if report is setup for single BO.

Previous Convention(Single & All BO):- 08DPD9U.<even no>.

Updated for single BO criteria :- 08DPC9U.<BOID><DDMMYYYYHHMISS>

Other than single BOID criteria:: 08DPC9U.<even no>.

Email ID, Nomination Status, Mobile No. and SMART Registration Flag to be populated after BO Total Valuation Flag.

Report Documentation

Report ID : DPC5

Report Description : Monthly Report of TXN cum Holding

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

Sr. No.	Field Description	Field Type	Field Size
1	Line Identifier - "01"	Number	2
2	DPID	Number	5
3	BOID	VarChar	16
4	From Date	Char	10 (DD-MM-YYYY)
5	To Date	Char	10 (DD-MM-YYYY)
6	Line Identifier - "02"	Number	2
7	ISIN	Char	12
8	ISIN Full Name	VarChar	100
9	Settlement ID	VarChar	13
10	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
11	Security Type	VarChar	50 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
12	Line Identifier - "03"	Number	2
13	From Date	Char	10 (DD-MM-YYYY)
14	Transaction Particulars	"Opening Balance"	15
15	Transaction Qty1	Nil	0
16	Transaction Qty2	Nil	0
17	Opening Balance	Number	Max (13.3) & Min (1.3)
18	Line Identifier - "04"	Number	2
19	Transaction Date	Char	10 (DD-MM-YYYY)

Sr. No.	Field Description	Field Type	Field Size
20	Transaction Description	Char	Max (60)
21	Credit/Debit Type	Char	1 ("C" or "D")
22	Transaction Quantity	Number	Max (13.3) & Min (1.3)
23	Calculated Current Balance After Transaction	Number	Max (13.3) & Min (1.3)
24	Settlement ID	VarChar	13
25	Counter Settlement ID	VarChar	13
26	Transaction ID	Number	8
27	DIS	VarChar	16
28	Line Identifier - "05"	Number	2
29	To Date	Char	10 (DD-MM-YYYY)
30	Transaction Particulars	"Closing Balance"	15
31	Transaction Qty1	Nil	0
32	Transaction Qty2	Nil	0
33	Closing Balance	Number	Max (13.3) & Min (1.3)
34	Line Identifier - "06"	Number	2
35	Pending Since Date/Lockin Expiry Date / Destat Setup Date	Char	10 (DD-MM-YYYY)
36	Demat/Remat Pending/Lockin / Pending Destat	Char	1 ("D" or "R" or "L" or "M")
37	Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin/ Destat Pending	Char	Max (24) Min (17)
38	Demat/Remat Rejected Qty or Demat/Remat Pending Qty or Lockin Qty / Pending Destat Qty	Number	Max (13.3) & Min (1.3)
39	Line Identifier - "07"	Number	2
40	ISIN	Char	12
41	ISIN Full Name	VarChar	100
42	Current Balance Quantity	Number	Max (13.3) & Min (1.3)
43	Safe Keep Balance Quantity	Number	Max (13.3) & Min (1.3)
44	Pledged Balance Quantity	Number	Max (13.3) & Min (1.3)
45	Free Balance Quantity	Number	Max (13.3) & Min (1.3)
46	Lockin Balance Quantity	Number	Max (13.3) & Min (1.3)
47	Earmarked Balance Quantity	Number	Max (13.3) & Min (1.3)

Sr. No.	Field Description	Field Type	Field Size
48	Lend Balance Quantity	Number	Max (13.3) & Min (1.3)
49	AVL Balance Quantity	Number	Max (13.3) & Min (1.3)
50	Borrowed Balance Quantity	Number	Max (13.3) & Min (1.3)
51	ISIN Level Freeze Flag + BOISIN Level Freeze Flag + Freeze Suspension Flag	Char	3
52	Settlement ID	VarChar	13
53	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
54	Security Type	VarChar	50 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
55	BO ISIN Holding Valuation	Number	16,3

Note: -

- For every Line “01”, there will be a corresponding entry of BO Name and Address in DPF8 report.
- If the BO does not have any transactions during the period and his current balance is also nil, then such BOs will not be printed in DPC5 report.
- If the BO does not have any transactions during the period, but his current balance is not nil, then line “01”, line “02”, line “03”, line “05” are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
- If the BO has transactions during the period, then Line “01”, Line “02”, Line “03”, Line “04” (for each transaction, there will be one Line “04”), Line “05 are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.

5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. ***The ISIN Full Name is to be printed in DPC5 Report in Line "02" for ISIN and Line "07" for Holding.***
7. ***ISIN Liquidation/Winding-Up Status will be printed in Line "02" and Line "07" in DPC5 Report.***
8. ***Pending Destat Records will be printed in Line "06" for Memo Balance.***
9. ***Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.***

Report Documentation

Report ID : DPF8

Report Description : Names and addresses of BO in DPC5

Module : BO-ISIN

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier	Number	(2) – “08”
Count	Number	(1) – “0”
Business date	Date	(8) –DDMMYYYY
System Date and Time	Char	(24)
Bo id	Char	(16)
First holder name	Char	Max (142)
Second holder name	Char	Max (142)
Third holder name	Char	Max (142)
Address 1	Char	Max (30)
Address 2	Char	Max (30)
Address 3	Char	Max (30)
City	Char	Max (25)
State	Char	Max (25)
Country	Char	Max (25)
Zip	Char	Max (10)
Cm id	Char	Max (8)
BO Frozen flag	Char	Max(1)
BO Account Status	Char	Max(40)
Product Number	Char	Max(40)
Sub Type	Char	Max(40)
Basic Service Demat Account	Char	1
Rajiv Gandhi Equity Scheme	Char	1
BO Total Valuation	Number	16,3
Nomination Status	char	Max (15) Possible Values are: Registered Not Registered
Mobile No	char	Max(20) (last 4 digit prefix by #####) Ex: #####1234
SMART Registration Flag	Char	1 Possible Values are: Y - Registered for SMART N - Not Registered for SMART If not applicable for SMART, then value will be NULL
Email Id of 1st Holder	char	Max (50) If not present then print “Please Provide”

Report Documentation

Report ID : DPG5

Report Description : Quarterly Holding Report for non transacted BO

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

Sr. No.	Field Description	Field Type	Field Size
1	Line Identifier - "01"	Number	2
2	DPID	Number	5
3	BOID	VarChar	16
4	From Date	Char	10 (DD-MM-YYYY)
5	To Date	Char	10 (DD-MM-YYYY)
6	Line Identifier - "02"	Number	2
7	ISIN	Char	12
8	ISIN Full Name	VarChar	100
9	Settlement ID	VarChar	13
10	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
11	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
12	Line Identifier - "03"	Number	2
13	From Date	Char	10 (DD-MM-YYYY)
14	Transaction Particulars	"Opening Balance"	15
15	Transaction Qty1	Nil	0
16	Transaction Qty2	Nil	0
17	Opening Balance	Number	Max (13.3) & Min (1.3)
18	Line Identifier - "04"	Number	2
19	Transaction Date	Char	10 (DD-MM-YYYY)

Sr. No.	Field Description	Field Type	Field Size
20	Transaction Description	Char	Max (60)
21	Credit/Debit Type	Char	1 ("C" or "D")
22	Transaction Quantity	Number	Max (13.3) & Min (1.3)
23	Calculated Current Balance After Transaction	Number	Max (13.3) & Min (1.3)
24	Settlement ID	VarChar	13
25	Counter Settlement ID	VarChar	13
26	Transaction ID	Number	8
27	DIS	VarChar	16
28	Line Identifier - "05"	Number	2
29	To Date	Char	10 (DD-MM-YYYY)
30	Transaction Particulars	"Closing Balance"	15
31	Transaction Qty1	Nil	0
32	Transaction Qty2	Nil	0
33	Closing Balance	Number	Max (13.3) & Min (1.3)
34	Line Identifier - "06"	Number	2
35	Pending Since Date/Lockin Expiry Date / Destat Setup Date	Char	10 (DD-MM-YYYY)
36	Demat/Remat Pending/Lockin / Pending Destat	Char	1 ("D" or "R" or "L" or "M")
37	Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin/ Destat Pending	Char	Max (24) Min (17)
38	Demat/Remat Rejected Qty or Demat/Remat Pending Qty or Lockin Qty / Pending Destat Qty	Number	Max (13.3) & Min (1.3)
39	Line Identifier - "07"	Number	2
40	ISIN	Char	12
41	ISIN Full Name	VarChar	100
42	Current Balance Quantity	Number	Max (13.3) & Min (1.3)
43	Safe Keep Balance Quantity	Number	Max (13.3) & Min (1.3)
44	Pledged Balance Quantity	Number	Max (13.3) & Min (1.3)
45	Free Balance Quantity	Number	Max (13.3) & Min (1.3)
46	Lockin Balance Quantity	Number	Max (13.3) & Min (1.3)
47	Earmarked Balance Quantity	Number	Max (13.3) & Min (1.3)

Sr. No.	Field Description	Field Type	Field Size
48	Lend Balance Quantity	Number	Max (13.3) & Min (1.3)
49	AVL Balance Quantity	Number	Max (13.3) & Min (1.3)
50	Borrowed Balance Quantity	Number	Max (13.3) & Min (1.3)
51	ISIN Level FreezeFlag + BOISIN Level Freeze Flag + Freeze Suspension Flag	Char	3
52	Settlement ID	VarChar	13
53	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
54	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
55	BO ISIN Holding Valuation	Number	16,3

Note: -

- For every Line “01”, there will be a corresponding entry of BO Name and Address in DPF9 report.
- If the BO does not have any transactions during the period and his current balance is also nil, then such BOs will not be printed in DPG5 report.
- If the BO does not have any transactions during the period, but his current balance is not nil, then line “01”, line “02”, line “03”, line “05” are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
- If the BO has transactions during the period, then Line “01”, Line “02”, Line “03”, Line “04” (for each transaction, there will be one Line “04”), Line “05 are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.

5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. ***The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.***
7. ***ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPG5 Report.***
8. ***Pending Destat Records will be printed in Line "06" for Memo Balance.***
9. ***Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.***

Report Documentation

Report ID : DPF9

Report Description : Names and addresses of BO in DPG5

Module : BO-ISIN

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier	Number	(2) – “08”
Count	Number	(1) – “0”
Business date	Date	(8) –DDMMYYYY
System Date and Time	Char	(24)
Bo id	Char	(16)
First holder name	Char	Max (142)
Second holder name	Char	Max (142)
Third holder name	Char	Max (142)
Address 1	Char	Max (30)
Address 2	Char	Max (30)
Address 3	Char	Max (30)
City	Char	Max (25)
State	Char	Max (25)
Country	Char	Max (25)
Zip	Char	Max (10)
Cm id	Char	Max (8)
BO Frozen flag	Char	Max(1)
BO Account Status	Char	Max(40)
Product Number	Char	Max(40)
Sub Type	Char	Max(40)
Basic Service Demat Account	Char	1
Rajiv Gandhi Equity Scheme	Char	1
BO Total Valuation	Number	16,3
Nomination Status	char	Max (15) Possible Values are: Registered Not Registered
Mobile No	char	Max(20) (last 4 digit prefix by #####) Ex: #####1234
SMART Registration Flag	Char	1 Possible Values are: Y - Registered for SMART N - Not Registered for SMART If not applicable for SMART, then value will be NULL
Email Id of 1st Holder	char	Max (50) If not present then print “Please Provide”

Report Documentation

Report ID : DPG1
Report Description : High Value Instructions Report
Module : BO-ISIN
File Name : 11DPG1U.< BUSI_DATE> IN DDMMYYYYY FORMAT

DP Header Record:

Field Description	Field Type	Field length
01	Number	2
DP ID	Number	6
DP Name	Varchar	100
Business Date	Date	8

Transaction Header Record:

Field Description	Field Type	Field length
02	Number	2
Transaction Type (as per existing format if no DIS) or DIS <DIS NO> where DIS is present	Varchar	40

Transaction Detail Record:

Field Description	Field Type	Field Length	Field Type
03	Number	2	M
DP ID of the Main BO	Char	8	M
BO_ID of the Main BO	Char	8	M
BO First Account Holder Name	Varchar	142	M
DP ID of Counter BO	Char	8	O
BO ID of Counter BO	Char	8	O
ISIN Alpha Code	Char	12	M
ISIN Full Name	Varchar	100	M
Unique Sequence Number for Each Type of Transaction	Number	8	M
Status of Each Transaction, Depending on Transaction Type. (Description)	Varchar	40	M
Quantity For the Transaction	Number	16,3	M
Internal Reference Number	Varchar	16	M

Transaction Summary Record:

Field Description	Field Type	Field Length
04	Number	2
Total value of the Delivery Instruction Slip (DIS)	Number	18,3

Note:

- DP Header Record (Line 01): This Line will be Unique for a DP. Sub DP's/CH DP's will have this line in the report only once. Main DP's will have this line for his Sub DP's also.

- Transaction Header Record (Line 02): The in the report will be unique for a particular Transaction type.
- ***New transactions Header Record (Line 02) namely DIS-<DIS NO> is added where DIS wise grouping will be added in DPG1 report.***
- Transaction Detail Record (Line 03): There can be multiple records (Line 03) for a particular type of Transaction.
- Transaction Summary Record (Line 04): For each set of Line03 records, Line 04 will be printed
- If there are no high value transactions for all the ***FIVE group of*** transactions (***DIS wise, On Market, Off Market, Inter-Depository and Early Pay-in***) then Line 02, will be printing “No High Value Transactions entered for DD/MM/YYYY”

Report Documentation

Report ID : **DPF7**

Report Description : **Dormant Account Monitoring and Transaction Report**

Module : **BO-ISIN**

Selection criterion : **N.A.**

Sort criterion : **N. A**

Report Contents : **This report give details of the Dormant BO-ISIN account.**

FIELD DESCRIPTION	Field Type	Field Length
BO ID	Number	16
BO First Account Holder Name	Varchar	142
DP ID of the BO	Number	6
Name of DP	Varchar	100
ISIN Alpha Code	CHAR	12
ISIN Full Name	Varchar	100
Transaction Quantity	Number	16,3
Transaction Description	Varchar	60
Transaction Date	Char	8

ISIN Master Details

The following conventions are followed –

- The field separator between consecutive columns is '~' [Tilde]
- Field lengths are variable
- If two or more tilde are together, it implies that the very next column, after the first tilde is null in the database
- Dates are in character format [DD-MON-YYYY]
- The record length will be sum of all the maximum size of each column of the record

Sr. No.	Field Description	Field Type	Field Size
1	ISIN Numeric Code	Number	6
2	ISIN Alpha Code	Character	12
3	ISIN Short Name	Character	20
4	ISIN Description	Character	100
5	Issuer ID	Number	6
6	Issuer Name	Character	100
7	Issuer Address(1)	Character	30
8	Issuer Address(2)	Character	30
9	Issuer Address(3)	Character	30
10	Issuer City	Character	25
11	Issuer State	Character	25
12	Issuer Country	Character	25
13	Issuer Zip Code	Character	10
14	Issuer Phone(1)	Character	17
15	Issuer Phone(2)	Character	17
16	Issuer Fax	Character	17
17	Issuer Email	Character	80
18	Issuer Contact Person Name	Character	100
19	Contact Person Designation	Character	40
20	Contact Person Address(1)	Character	30
21	Contact Person Address(2)	Character	30
22	Contact Person Address(3)	Character	30
23	Contact Person City	Character	25
24	Contact Person State	Character	25
25	Contact Person Country	Character	25
26	Contact Person Zip Code	Character	10
27	Contact Person Phone(1)	Character	17
28	Contact Person Phone(2)	Character	17
29	Contact Person Fax	Character	17
30	Contact Person Email	Character	80
31	RTA ID	Number	6
32	RTA Name	Character	100

Sr. No.	Field Description	Field Type	Field Size
33	SEBI Registration From Date	Character	20
34	SEBI Registration To Date	Character	20
35	RTA Address(1)	Character	30
36	RTA Address(2)	Character	30
37	RTA Address(3)	Character	30
38	RTA City	Character	25
39	RTA State	Character	25
40	RTA Country	Character	25
41	RTA Zip Code	Character	10
42	RTA Phone(1)	Character	17
43	RTA Phone(2)	Character	17
44	RTA Fax	Character	17
45	RTA Email	Character	80
46	ISIN Share Name	Character	100
47	ISIN Second Name	Character	20
48	ISIN Last Name	Character	20
49	ISIN Address(1)	Character	30
50	ISIN Address(2)	Character	30
51	ISIN Address(3)	Character	30
52	ISIN City	Character	25
53	ISIN State	Character	25
54	ISIN Country	Character	25
55	ISIN Zip Code	Character	10
56	ISIN Phone(1)	Character	17
57	ISIN Phone(2)	Character	17
58	ISIN Fax	Character	17
59	ISIN Email	Character	80
60	Security Type	Number	2
61	Security Type Description	Character	100
62	Market Type	Number	2
63	Market Type Description	Character	100
64	ISIN Status	Character	1
65	ISIN Status Description	Character	100
66	Hold Demat Flag	Character	1
67	Hold Remat Flag	Character	1
68	Expiry Date	Character	11
69	Market Lot	Number	4
70	CFI Code	Number	4
71	Par Value	Number	15,2
72	Paidup Value	Number	16,3
73	Redemption Price	Number	16,3
74	Redemption Date	Character	11
75	Close Price	Number	Refer Note - 1
76	Close Date	Character	11

Sr. No.	Field Description	Field Type	Field Size
77	Issue Date	Character	11
78	Close Price Decimal Indicator	Number	1
79	Conversion Date	Character	11
80	Distinct Range Exists	Character	1
81	ISIN Decimal Code	Number	1
82	ISIN Decimal Code Description	Character	100
83	ISIN Suspension Flag	Number	1
84	ISIN Suspension Flag Description	Character	100
85	Money Due Date	Character	11
86	Liquidation / Winding Up Status	Character	1
87	Remarks	Character	80

Note – 1

- **Except** “Close Price” [Field mentioned as against Sr. No. 75] and “Par Value” [Field mentioned as against Sr. No. 71], Quantity and Amounts are printed with decimal point with maximum 13 integers and 3 decimals.
- **Except** “Par Value”, where, there is no decimal value, decimal point and decimals are not printed
- “Close Price Decimal Indicator” [Field mentioned as against Sr. No. 78] indicates the maximum number of decimals that “Close Price” can have. The value of “Close Price Decimal Indicator” could be between 3 & 9.
 - ✓ If value of “Close Price Decimal Indicator” is 3, then “Close Price” can have maximum 13 integers and if decimal value is present then a decimal point with maximum 3 digits after decimal point.
 - ✓ If value of “Close Price Decimal Indicator” is 9, then “Close Price” can have maximum 7 integers and if decimal value is present then a decimal point with maximum 9 digits after decimal point.
 - ✓ Where, there is no decimal value, decimal point and decimals are not printed

Note – 2

Field	Field Value
Distinct Range Exists	0 for No , 1 for Yes
Hold Demat Flag	0 for No , 1 for Yes
Hold Remat Flag	0 for No , 1 for Yes
Liquidation / Winding Up Status	0 – Company Not under Liquidation / winding up. 1 – Company under Liquidation / Winding up. 2 – Company Reference BIFR

Note – 3

- If the ISIN Operation Name and Address Details are not available then the RTA Name and address details are captured

Note – 4

- Value of the field “**PAR VALUE**” will be printed with decimal point with **maximum 13 integers and 2 decimals**
 - ✓ Where, there is no decimal value, decimal point and decimals with “00’ will be printed

