



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/5577

November 24, 2015

DELIVERY INSTRUCTION SLIP (DIS) ISSUANCE AND TRANSACTION PROCESSING

DPs are advised to refer to CDSL communiqué no. **CDSL/OPS/DP/ POLCY/4166** dated **January 08, 2014** advising DPs to comply with SEBI Circular no. **CIR/MRD/DP/01/2014** dated **January 07, 2014** regarding Delivery Instruction Slip (DIS) Issuance and Processing. CDSL had subsequently issued communiqué no. **CDSL/OPS/DP/POLCY/4488** dated June 09, 2014 and **CDSL/OPS/DP/POLCY/4664** dated **August 27, 2014** informing the operational modalities.

DPs were informed that all DIS issued prior to January 07, 2014 should be phased out within a period of 2 years i.e. January 06, 2016.

DPs are advised to take necessary steps to comply with aforesaid directions and ensure that clients are not put to inconvenience. Further, DPs should note that with effect from January 07, 2016 for all transactions except for transactions received through “easiest” from BO or Clearing Members (CMs), TRUST and digitally signed instructions (i.e. electronic instructions) DIS serial number will be required to be entered along with transactions, failing which the transactions will fail. DIS details so entered will be verified against DIS issuance details in the system.

DPs are advised to take necessary steps to ensure compliance with SEBI guidelines.

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk on (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-1261 or 2272-2075. Emails may be sent to: helpdesk@cdslindia.com.

Nayana Ovalekar
Sr. Vice President – Operations