



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/555

June 8, 2005



Electronic Access To Securities Information

1. CDSL presently offers the facility of Internet based service "electronic Access to Securities Information" (easi) for the DPs, which enables beneficial owners / clearing members to view their holdings and transaction details through Internet. This information is directly available from CDSL system and is accessible from anywhere, anytime through CDSL website www.cdslindia.com. It has now been decided to make it mandatory for all DPs/ branches to register for "easi" services with effect from September 1, 2005, so that the demat account details are easily made available to the BOs.
2. The following facilities are available through "easi":
 - Viewing, downloading and printing of details of holdings in a demat account as and when required. The information, of holdings and status of transactions is updated every 15 minutes. Status of transaction(s) executed in the last 15 days can be viewed.
 - Valuation of holding(s), and total portfolio valuation, based on the previous day's closing prices on BSE.
 - Online overdue/ shortages position to Clearing Members.
3. Further, DPs are not required to send the transaction statement on monthly basis to the BOs who are registered for "easi". The transaction statement can be sent to them on quarterly basis resulting in cost saving for the DPs. Registration of BOs to "easi" services reduces the inquiries at the DP end. Moreover, BOs will have an enhanced comfort level when information is disseminated to them directly from the CDSL system.
4. CDSL has maintained its very reasonable registration charges for the "easi" facility. The **one-time** registration charge for "easi" service is Rs.20,000/- for the main DP and Rs.2,000/- for the branch.
5. DPs are requested to complete their registration formalities for "easi" on or before 31.8.2005. The duly filled registration form (annexure A) along with the registration charges should be sent to CDSL on or before 14th August, 2005. The procedure for registration is enclosed herewith as 'Annexure B'.



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6. As “easi” services provide additional facilities to the BOs as mentioned above, DPs are advised to encourage the BOs to take advantage of the said facility. DPs are also advised to display the posters / banners and other literatures prominently in their premises, so as to enlighten the BOs of this internet based “easi” facility.

7. DPs are advised to comply with the above instructions. In case of any clarification the DPs may contact the Helpdesk at extn. 8624, 8663, 8639, 8427, 8662, 8626 at 22723333.

Sd/-

K H Iyer
Asst. Vice-President –Operations

Encl: As above



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Annexure A

Central Depository Services (India) Ltd. (CDSL)

16th Floor, Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai – 400 023.

Phone 22723333 Fax: 91 – 22 – 22723199

Website <http://www.cdslindia.com>

Electronic Access to Securities Information (easi) Registration Form-Depository Participant (DP)

Name of the DP								
DP ID								
SEBI Registration Number								
Address								
Tel. No								
Email Address of the DP								
Name of the Authorised Signatory								
Designation of the Authorised Signatory								

We would like to register to **easi** service of CDSL. We hereby agree to the terms and conditions annexed hereto for availing the said services. We are aware that **easi** services provides only limited facility and can not be treated as contingency for CDAS (Central Depository Accounting System). We also agree to take necessary action to make available the **easi** services to our BOs who have registered with CDSL as subscriber.

Date ____/____/____

Place _____

Signature _____
(Authorised Signatory & Stamp of DP)

(For use of CDSL)

Details and Signatures Checked by

Approved by

DP Activated by



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Central Depository Services (India) Ltd (CDSL)

Electronic Access to Securities Information (easi)

Annexure to Registration Form

Part A

1. **Facilities through easi** (Electronic Access to Securities Information) - easi provides DP limited facility as compared to CDAS and services, which CDSL may decide to provide from time to time in its absolute discretion. The availability / non-availability of a particular service shall be advised either through email, web site of CDSL or through written communication
2. **Application:** The application for availing of the easi service and the registration thereof shall be made in physical form (i.e., by a hard copy). The acceptance or acknowledgment of receipt of the application by CDSL does not automatically imply acceptance of the application for availing of the easi service. CDSL shall be entitled at its sole discretion to either accept or reject an application. CDSL will from time to time advise about the Internet Software (such as Browser), required for using easi. There will be no obligation on CDSL to support all versions of the Internet Software.
3. **Registration Procedure:**
 - a) In order to get registered to easi a DP shall fill in and submit the Registration Form to CDSL.
 - b) CDSL would activate the DP on verification of the Registration Form.
 - c) DPID would be the login ID for DP.
 - d) On activation a password would be generated for the Login ID, the password would be mailed to the Email ID of the DP as mentioned in the DP Registration Form. For the purpose of security it is advised that DP should provide a exclusive Email ID which is accessed by them only. CDSL shall not be responsible for leakage of password, if the Email ID is accessed by person other than BO.
 - e) On receiving password a DP can avail easi service by accessing the internet site <http://www.cdslindia.com>.
 - f) The DP is required to enter the login ID and Password.
 - g) If the DP is logging into easi for the first time, the password should be changed immediately.
 - h) The DP is advised to take following measures in order to make easi more secure and safe.
 - Change the password immediately after first login.
 - Do not use common/dictionary words for password.
 - Password should be minimum 8 digits containing alphanumeric characters.
 - Keep your password confidential, never reveal/share the same with anyone.
 - Do not write your password anywhere.
 - While entering the password for accessing easi make sure that no one can see the same.
 - Before moving to the other website logout from easi.
 - After accessing the easi immediately delete all files in the folder "C:\Windows\Temporary Internet Files".
 - DPs are advised to access easi from their own PC, as third party PCs may contain software to reveal password.
 - Change your password at regular intervals.



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- k) If a DP forgets password for easi, it can request for a change in the password by following the 'Forgot your Password procedure'. The existing contract would be valid after the Replacement/change of password.

Part B

Terms and Conditions

1. **Definition:** In this document, the following words and phrases have the meaning set opposite them unless the context indicates otherwise:

- **CDSL :** means Central Depository Services (India) Limited, a company incorporated under the Companies Act, 1956 and registered under the Depositories Act 1996 with Securities and Exchange Board of India.
- **Depository Participant (DP)** means the DPs registered with CDSL.
- **Registrar and Transfer Agent ()** means registered with CDSL.
- **Issuer** means an Issuer who has established electronic connectivity with CDSL.
- **Beneficial Owner:** Means an individual/corporate/HUF, etc., who has opened a Demat account with Depository Participants of CDSL
- **easi**(Electronic Access to Securities Information): refers to the trade name of CDSL's Internet Services which enables the DP ability to enquire about the balances in Demat Account, details about transactions and other services, which CDSL may decide to provide from time to time in its absolute discretion.
- **Internet:** refers to a Global network of inter connected Computers network, each using transmission control protocol /Internet protocol or any combination thereof or such other standard network inter connection protocols as is used to transmit data that is directly or indirectly delivered to a Computer.
- **Subscriber:** means a DP identified and duly registered as a subscriber to the easi service.
- **Personal Information:** refers to the information about the Demat Account that is stored on the record of CDSL and may be retrieved in perceivable form.
- Terms used but not defined herein shall have the meaning assigned to them in the CDSL Bylaws.

2. **DP Functions** – DP hereby agrees to take all necessary actions as required in easi procedure in order to make available the easi services to BOs of DP registered with CDSL.
3. **Mailing Address:** All correspondence / delivery by CDSL shall only be made at the address and / or e-mail address as registered with CDSL.
4. **Liability:** CDSL shall not be liable to DP for any transactions occurring through the use of easi service and the DP hereby indemnifies and keep indemnified CDSL against any action, suit, proceeding initiated against it or any loss, cost or damage incurred by it as a result thereof. CDSL shall under no circumstance shall be liable to the BO/Issuer if the access is not available in the desired manner for reasons including but not limited to natural calamities, legal restraints, faults in the telecommunication network or network failure or any other reason beyond the control of CDSL. Under no circumstance shall CDSL be liable for damages whatsoever whether such damages are direct, indirect, incidental consequential and irrespective whether any claim is based



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on loss of revenue, interruption of business, or any loss of any nature and whether sustained by the BO/Issuer or any other person. Illegal or improper use of the easi service shall render the BO/Issuer liable for payment of pecuniary charges (fine) as decided by CDSL and will result in suspension/termination of the access to the easi service.

5. **Disclosure of Personal Information:** The DP agrees that CDSL or its agents may hold and process its Personal Information on computer or otherwise in connection with easi as well as for statistical analysis. The DP also agrees that CDSL may disclose, in strict confidence, to other institutions, such Personal Information as may be reasonably necessary for reasons inclusive of but not limited to the following:
 - For participation in any telecommunication network
 - In compliance with a legal directive
 - For fraud prevention purposes, etc.
6. **Proprietary Rights:** The DP acknowledges that the software underlying easi as well as other Internet related software required for accessing easi is the sole copyright of the respective vendors. The permission given by CDSL to access easi will not convey any proprietary or ownership rights in the above software. The DP shall not attempt to modify, translate, disassemble, decompile or reverse engineer the software underlying easi or create any derivative product based on such software.
7. **Communique/ Notices:** CDSL will send Communiques/ notices through easi. Communique/ notices made available through easi shall be deemed to be delivered to the DP.
8. **Change of Terms and Conditions:** CDSL has the absolute discretion to amend, alter, vary or supplement any of the terms and conditions at any time and will endeavor to give prior notice of fifteen days wherever feasible for such changes. CDSL may introduce new services within easi from time to time. The existence and availability of the new services will be notified to the DP as and when they are made available. The changed terms and conditions applicable to the new features in easi service shall be communicated to the DP. By using these new services, the DP agrees to be bound by the revised terms and conditions applicable.
9. **Non-Transferability:** The right of avail of easi to a DP is not transferable under any circumstance and shall be availed by the registered DP only.
10. **Termination of easi Service:** The DP may request for termination of the easi service any time by giving a written notice of at least 15 days to CDSL. CDSL may withdraw the easi service anytime provided the DP is given reasonable notice under the circumstances. CDSL may suspend or terminate easi service without prior notice if the DP has breached any of the terms and conditions or CDSL learns of the bankruptcy or lack of legal capacity of the DP.
11. **Notices:** CDSL and the DP may give notices in the following manner under these Terms and Conditions:
 - In writing by delivering them by hand or by sending them by post to the last address given by the DP and in the case of CDSL to the address mentioned below: **Central Depository Services (India) Ltd. (CDSL)**
16th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 023.
 - In addition, CDSL may also publish notices of general nature, which are applicable to all DPs using easi on its website. Such notices will have the same effect as if the notice was served individually to each DP



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- 12. Governing Law:** These terms and conditions and / or the use of the services provided through easi shall be governed by the laws of the Republic of India and no other nation. The DP and CDSL agree to submit themselves to the exclusive jurisdiction of the Courts located in Mumbai, India as regards any claims or matters arising under these terms and conditions. Any dispute or difference arising between the DP and CDSL shall be settled by mutual consultation / discussion, failing which the same shall be referred to Arbitration. The Arbitration proceedings shall be governed and conducted in accordance with the Indian Arbitration and Conciliation Act, 1996 and that the Arbitration proceedings shall take place in Mumbai. CDSL accepts no liability whatsoever, direct or indirect, for non-compliance with the laws of any country other than the Republic of India. The mere fact that easi service can be accessed through Internet by a DP in a country other than India shall not be interpreted to imply that the laws of the said country govern these terms and conditions.
- 13. General:** The clause headings in this agreement are only for convenience and do not effect the meaning of the relative clause. The DP shall not assign this agreement to anybody else. CDSL may subcontract and employ agents to carry out any of its obligations under this agreement.
- 14. Disclaimer:** All information provided in easi is obtained by CDSL from sources believed by CDSL to be accurate and reliable. Because of the possibility of human and technical error as well as other factors, CDSL is not responsible for any errors or omissions. The development of the products and services of CDSL is a continuous process and published information may not be up to date. The formats, update frequency and retention period of the information will be decided at the sole discretion of CDSL. It is impont to check the current position with concerned Depository Participant. All information is provided on 'as is' basis without warranty of any kind. CDSL makes no representation and disclaim all express, implied and statutory warranties of any kind to the DP and/or any third party including warranties as to accuracy, timeliness, completeness, merchantability or fitness of the information for any particular purpose. All proprietary rights in the information received shall remain the property of CDSL. Reproduction, redistribution and transmission of any information contained on the web site is strictly prohibited. Access to this web site is in confirmation that the DP has understood and accepted these terms. This service does not in any manner whatsoever undermine, compromise or substitute the provisions of the agreement entered into by the DP with CDSL.
- 15. Responsibilities of DP:** The DP shall be solely responsible for the genuineness of the identity of the BO, authentication of the signature of the BO for processing the registration of the BO to easi service made available by CDSL. CDSL shall not be responsible for any loss of whatsoever nature that may be caused to the BO for availing easi service.



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Annexure B

PROCEDURE FOR REGISTRATION - “*easi*”

- a) The registration form (Annexure B) should be submitted duly filled in and signed by Authorized Signatory/Compliance Officer at the following address –

“*easi*” Registration Cell
Central Depository Services (India) Limited
16th Floor, P J Towers,
Dalal Street,
Mumbai – 400 023.

- b) For each DP ID / Branch ID, separate application needs to be submitted.
- c) The DD/Cheque of Rs.20,000/- or Rs.2,000 as applicable should be drawn in favor of ‘Central Depository Services (India) Ltd’.
- d) DP ID / Branch DP ID will be the login ID for accessing **easi**.
- e) On registration, a password would be e-mailed to the e-mail ID declared in the application for registration. For the purpose of security, DPs are advised to provide an exclusive e-mail ID accessible only to the authorized persons in DP’s office.
- f) DP can access **easi** by entering login ID and password.