



Central Depository Services (India) Limited

Convenient Dependable Secure

COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/517

January 27, 2005

REQUIREMENT FOR A COMPLIANCE OFFICER AND TRAINING AT MAIN/ BRANCH DP

REQUIREMENT FOR A COMPLIANCE OFFICER AT MAIN/ BRANCH DP:

All DPs are advised to appoint a Compliance Officer at the DP office and at all live connected branches.

DPs are advised that the Compliance Officer appointed by the DPs for the main DP office and at their live connected branch should be:

1. an employee of the DP and
2. should have a MAPIN registration number and
3. Should be either a
 - a. Graduate with minimum two years experience in the securities market or
 - b. SSC with minimum five years experience in the securities market, or
 - c. Should be a Director

TRAINING REQUIREMENT AT MAIN/ BRANCH DP:

In order to empower the DP staff to have full knowledge of the depository system with a view to serve their clients better, it has now been decided that all DPs and their live connected branches should have –

- a. two persons trained at CDSL **or**
- b. one person should have attended training conducted by CDSL and another person should have BCCD certification.

For BCCD certification, registration forms can be downloaded from the link certification programme given on CDSL's web site www.cdslindia.com. The same can be downloaded from www.bseindia.com also. Duly filled registration forms are to be forwarded to BSE Training Institute, 21st floor, P. J. Towers, Dalal Street, Fort, Mumbai- 400 001 along with four passport size photographs of the intended participant and a demand draft of Rs. 750/- (Seven hundred and fifty only) in favour of "The stock Exchange, Mumbai" towards fees for the certification program. You may contact Mr. Ravi Shetty on tel. No. 2272 1126, extn. 8399.

For further details, please contact Ms. Ashwini Thorat (extn. 8434), Ms. Nebha M. (extn. 8611) or Mr. Laxmikant Joshi (extn. 8644).

Sd/-

Cyrus Khambata
Vice President – Business Development & Operations

CDSL : your depository