



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/3969

October 09, 2013

SEBI CIRCULAR FOR KNOW YOUR CLIENT REQUIREMENTS

SEBI has issued Circular no. **CIR/MIRSD/09/2013** dated **October 08, 2013** a copy of which is attached [see **Annexure-A**].

DPs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk on (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-1261 or 2272-2075. Emails may be sent to: helpdesk@cdslindia.com.

sdl/-

Nayana Ovaleker
Sr. Vice President – Operations



CIRCULAR

CIR/MIRSD/ 09/ 2013

October 8, 2013

- 1. All Recognized Stock Exchanges**
- 2. Stock Brokers through Recognized Stock Exchanges**
- 3. Depository Participants through Depositories**
- 4. Association of Mutual Funds in India**
- 5. Mutual funds through AMFI**
- 6. Portfolio Managers**
- 7. KYC Registration Agencies (KRAs)**
- 8. Alternative Investment Funds (AIFs)**
- 9. Collective Investment Schemes (CIS)**
- 10. Custodians**

Dear Sir/ Madam,

Sub: Know Your Client Requirements

1. Please refer to SEBI circular No. CIR/MIRSD/ 09 /2012 dated August 13, 2012, advising that Aadhaar Letter issued by UIDAI would be admissible as Proof of Address in addition to it being recognized as Proof of Identity.
2. In consultation with Unique Identification Authority of India (UIDAI) and the market participants, it has now been decided to accept e-KYC service launched by UIDAI also, as a valid process for KYC verification. The information containing relevant client details and photograph made available from UIDAI as a result of e-KYC process shall be treated as sufficient proof of Identity and Address of the client. However, the client shall have to authorize the intermediary to access his data through UIDAI system.
3. This circular is issued in exercise of powers conferred under Section 11(1) of the Securities and Exchange Board of India Act, 1992 to protect the interests of investors in securities and to promote the development of, and to regulate the securities markets.

Yours faithfully,

A. S. Mithwani
Deputy General Manager
022-26449613
Email: aliasgarm@sebi.gov.in