**CDSL/AUDIT/DP/POLCY/2026/818** **November 20, 2026**

# SUBMISSION OF VAPT ACTION TAKEN REPORT FOR CLOSURE OF FINDINGS

Depository Participants (DPs) are advised to refer to Communique No. **CDSL/OPS/DP/POLCY/2024/468 dated August 21, 2024,** regarding closure of findings identified during the Vulnerability Assessment and Penetration Testing (VAPT).

In this regard, CDSL has developed a facility for online submission of VAPT Action Taken Report (ATR) through the Audit Web Portal.

DPs are advised to follow the guidelines as provided in **Annexure A** for submission of the **VAPT ATR** through the Audit Web Portal. DPs are required to submit the VAPT ATR **on or before November 30, 2026.**

All Depository Participants are advised to take note of the above and ensure submission of VAPT ATR within the prescribed timeline and strict adherence to the applicable compliance requirements.

Failure to submit the VAPT ATR within the prescribed timeline shall be treated as non-compliance, and the applicable penalty shall be imposed as per **CDSL Communique No. CDSL/AUDIT/DP/POLCY/2025/105 dated February 12, 2025.**

Queries regarding this communiqué may be sent to dpinfosec@cdslindia.com, or you may contact us on our landline numbers: 022-62343576 / 022-62343461.

**For and on behalf of**
**Central Depository Services (India) Limited**

**sd/-**

**Urmila Chougule**
**Senior Manager - Audit, Inspection & Compliance**

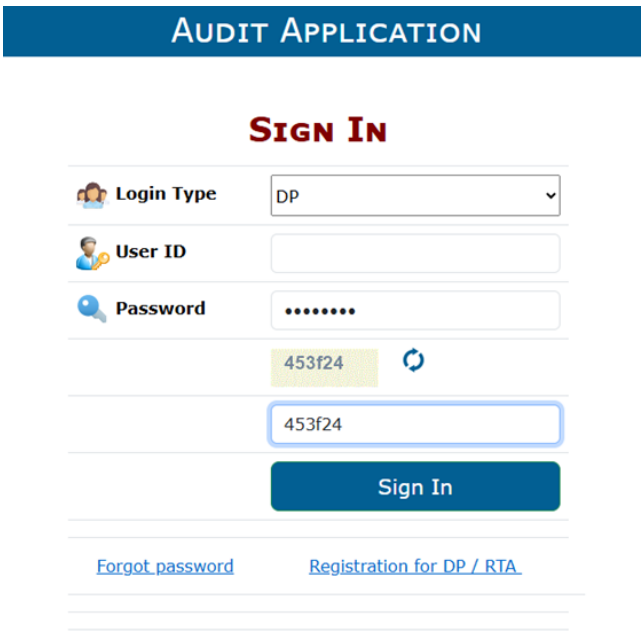

Classification - Internal

**Annexure A**

**Guidelines for Online Submission of VAPT Action Taken Report**

**VAPT Auditor needs to be mapped before submission**

1. Select "DP" as Login Type. Enter your user id and password. Complete the Captcha and then Sign In.




**2.** Enter the OTP received on the registered mobile number and email id.



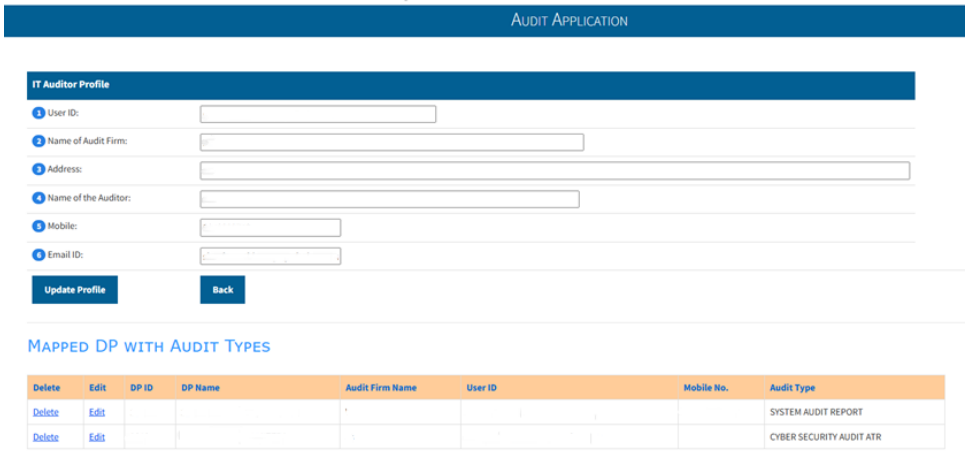


Classification - Internal

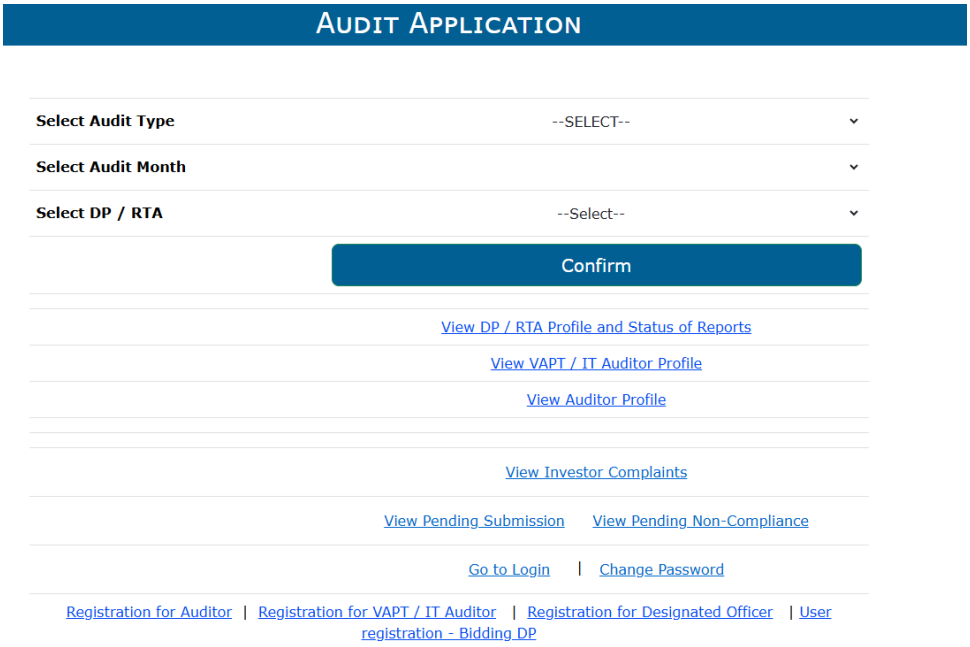
3. Then click on **"View DP / RTA Profile and Status of Reports".**



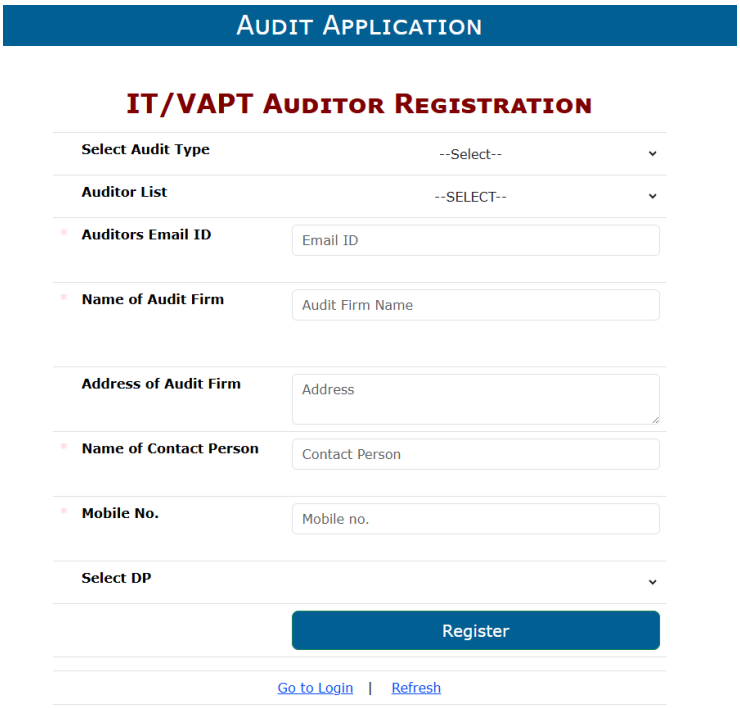

4. You can view the mapped logins for each login type on this page.



**5.** Go back. Click on **"Registration for VAPT Auditor"**




6. After Selecting **"Registration for VAPT Auditor"** below screen would be displayed.

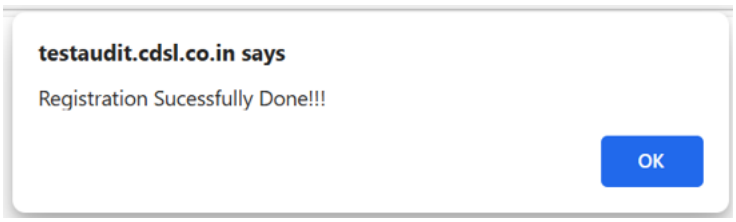


**7. Select "VAPT ATR" as the Audit Type.**

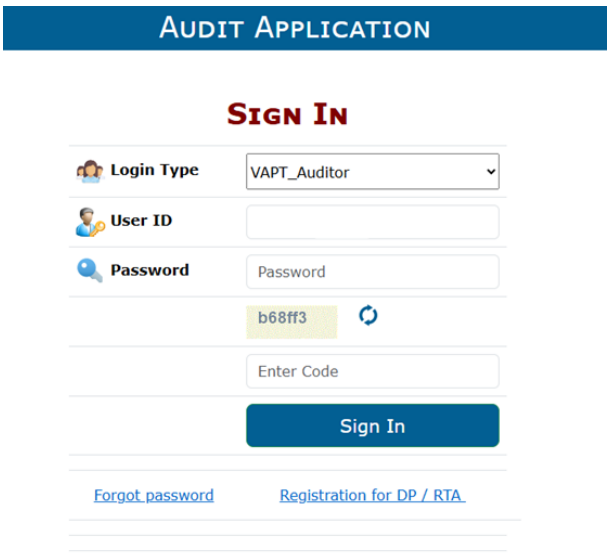
Enter All details such as Auditor's Email ID, Name of Audit Firm, Name of the contact person, Mobile Number. Select DP and then click on Register.



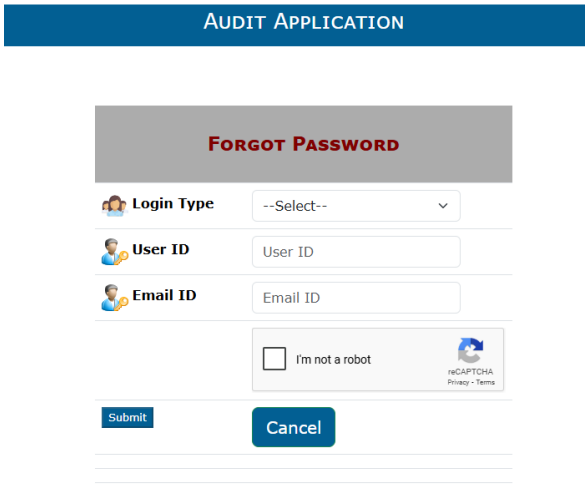

8. Once Registration is done, a pop-up box will appear intimating the same.



9. Then click on Forgot Password.

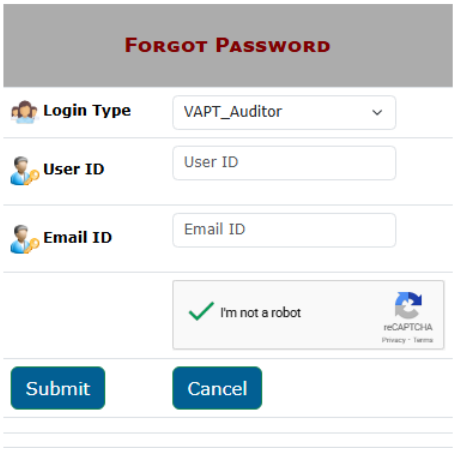



**10.** After Clicking on Forgot Password it will redirect to below screen shot.

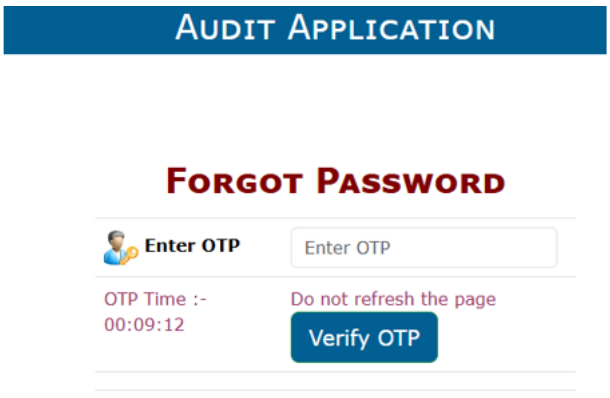


11. Select VAPT Auditor as the Login Type.

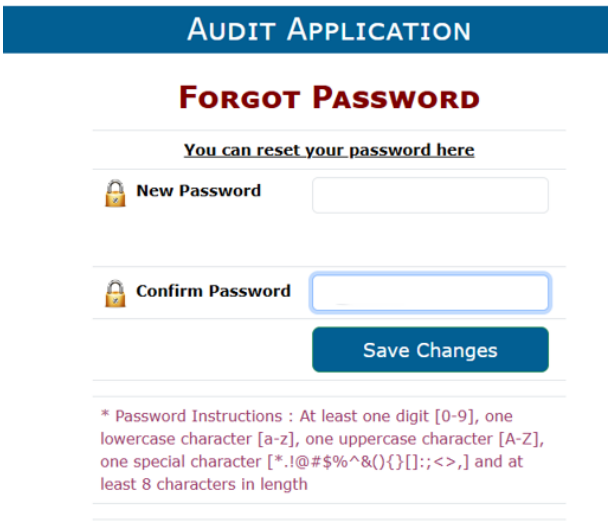
    Enter User ID and Email ID. Please note that the User ID must be email ID.
    Complete captcha verifications and then Submit.



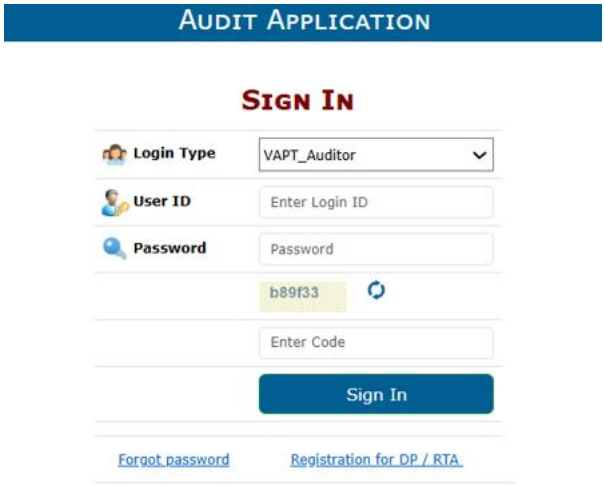

12. Enter the OTP received on the registered number or email id



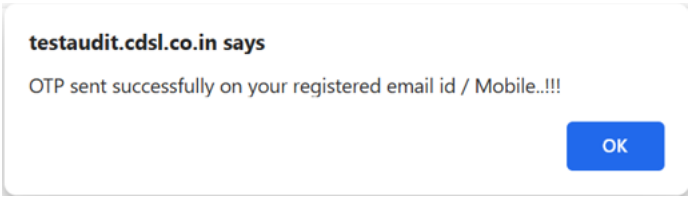

13. Enter New password and confirm the new password. Click on Save Changes.



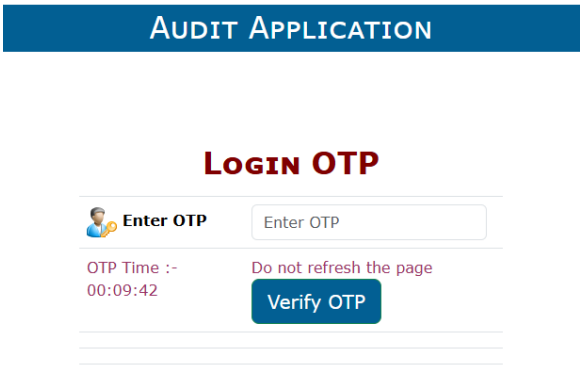
14. After resetting password, Login as a VAPT Auditor.




15. You will receive the OTP on the registered mobile number and email id.




16. Enter the OTP to Login



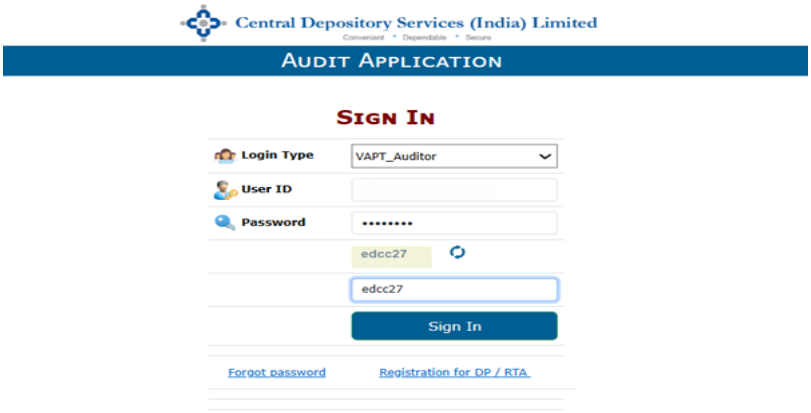
## VAPT AUDITOR LOGIN

1. Log in Into Audit application by using the below link:

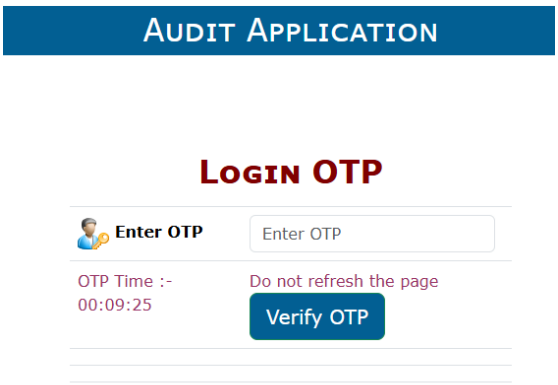
   **https://auditweb.cdslindia.com/Login.aspx**

   Now Sign in using 'Login Type- VAPT Auditor'.

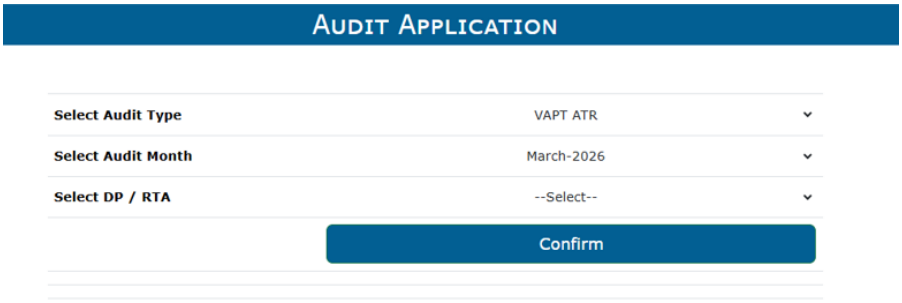
   Now enter User ID & Password and click on "Sign In" button.




2. Enter the OTP received on the registered mobile no.



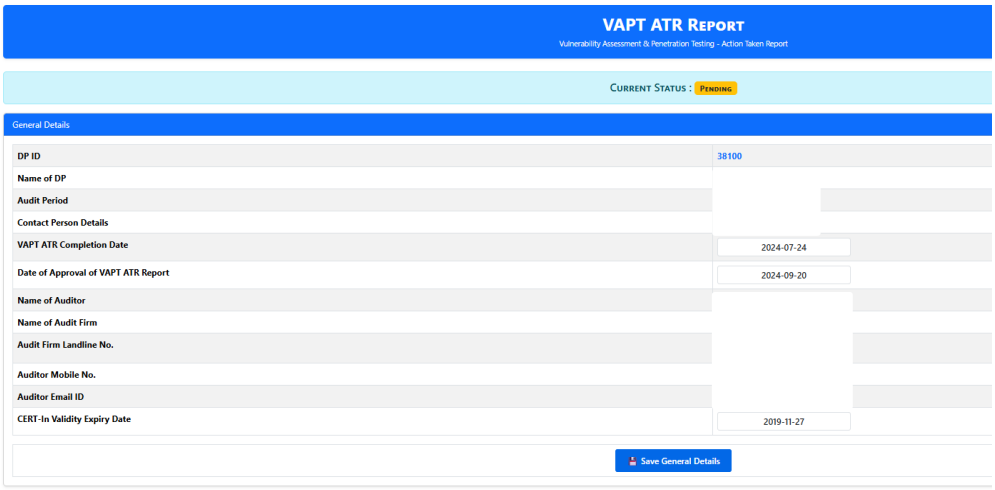

3. Select the Audit Type, Audit Month and Select the DP under the drop-down box. Then click on Confirm.



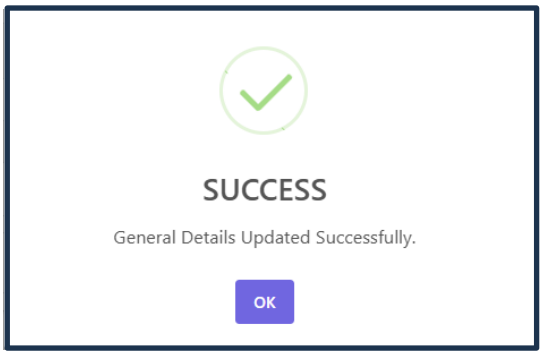



Classification - Internal

4. Enter all the required details, including VAPT ATR completion details, date of approval of the VAPT ATR Report, Audit Firm Landline Number, and CERT-In validity expiry date.



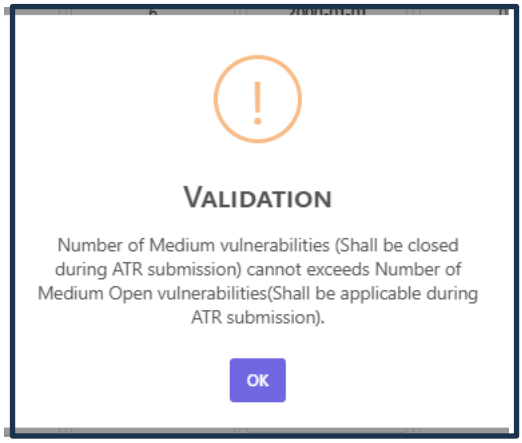

5. Click the “Save General Details” button to save the changes. Upon successful submission, the following pop-up message will be displayed:



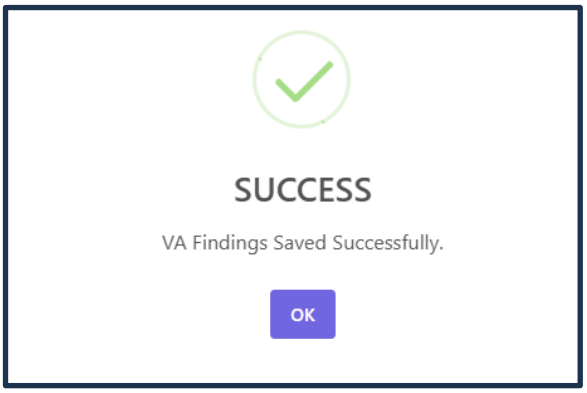

6. Enter the required fields for Vulnerability Assessment Findings Details.



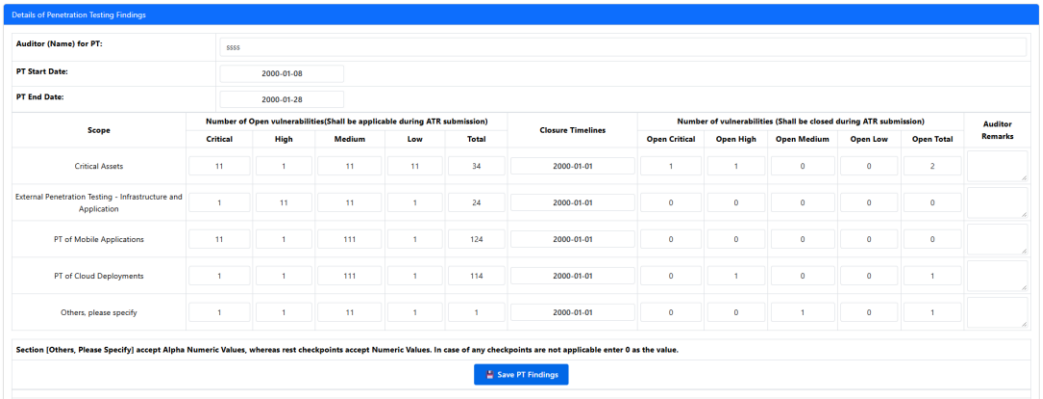
If the entered number exceeds the count of open vulnerabilities for VA & PT, the system will display the error shown below. Please ensure that the number entered does not exceed the total open vulnerabilities.




7. Click the "Save VA Findings" button to save the changes. Upon successful submission, the following pop-up message will be displayed:




8. Enter the required fields for Details of Penetration Testing findings (refer to the screenshot below for reference)


Details of Penetration Testing Findings

| | |
|---|---|
| Auditor (Name) for PT: | ssss |
| PT Start Date: | 2000-01-08 |
| PT End Date: | 2000-01-28 |

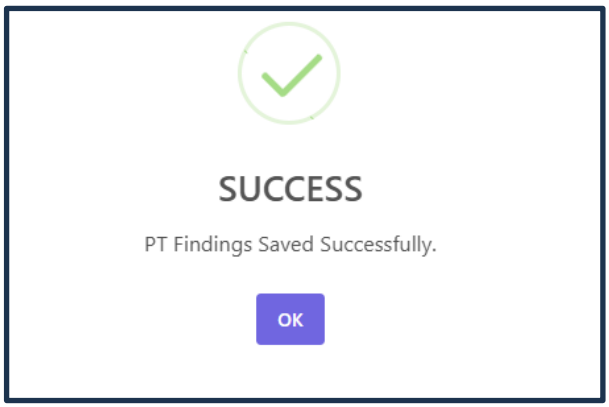
| Scope | Number of Open vulnerabilities(Shall be applicable during ATR submission) | | | | | Closure Timelines | Number of vulnerabilities (Shall be closed during ATR submission) | | | | | Auditor Remarks |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Critical | High | Medium | Low | Total | | Open Critical | Open High | Open Medium | Open Low | Open Total | |
| Critical Assets | 11 | 1 | 11 | 11 | 34 | 2000-01-01 | 1 | 1 | 0 | 0 | 2 | |
| External Penetration Testing - Infrastructure and Application | 1 | 11 | 11 | 1 | 24 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| PT of Mobile Applications | 11 | 1 | 111 | 1 | 124 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| PT of Cloud Deployments | 1 | 1 | 111 | 1 | 114 | 2000-01-01 | 0 | 1 | 0 | 0 | 1 | |
| Others, please specify | 1 | 1 | 11 | 1 | 1 | 2000-01-01 | 0 | 0 | 1 | 0 | 1 | |

Section [Others, Please Specify] accept Alpha Numeric Values, whereas rest checkpoints accept Numeric Values. In case of any checkpoints are not applicable enter 0 as the value.

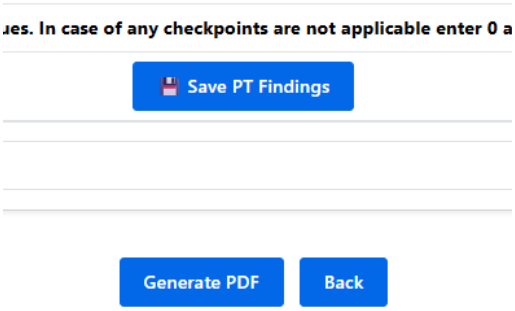
Save PT Findings


Classification - Internal

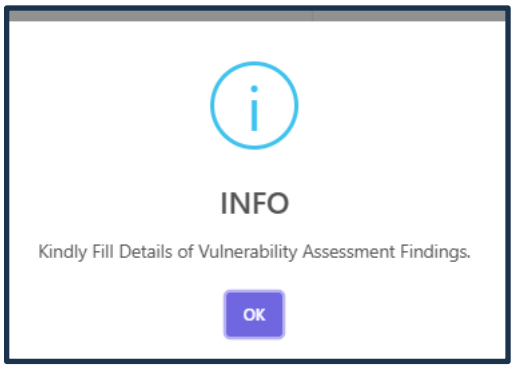
9. Click the "Save PT Findings" button to save the changes. Upon successful submission, the following pop-up message will be displayed:




10. Click the "Generate PDF" button to generate the PDF document.



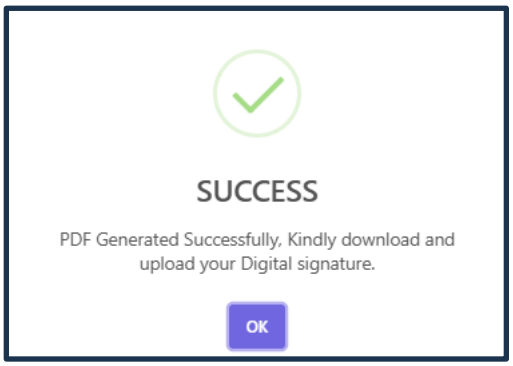

While saving, please ensure that all required fields have been duly filled in.



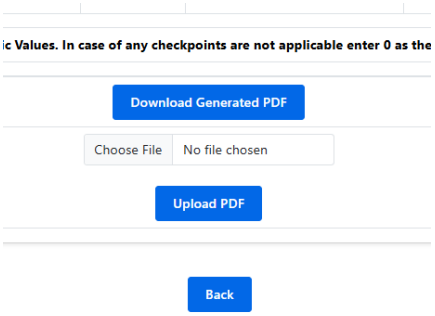


Classification - Internal

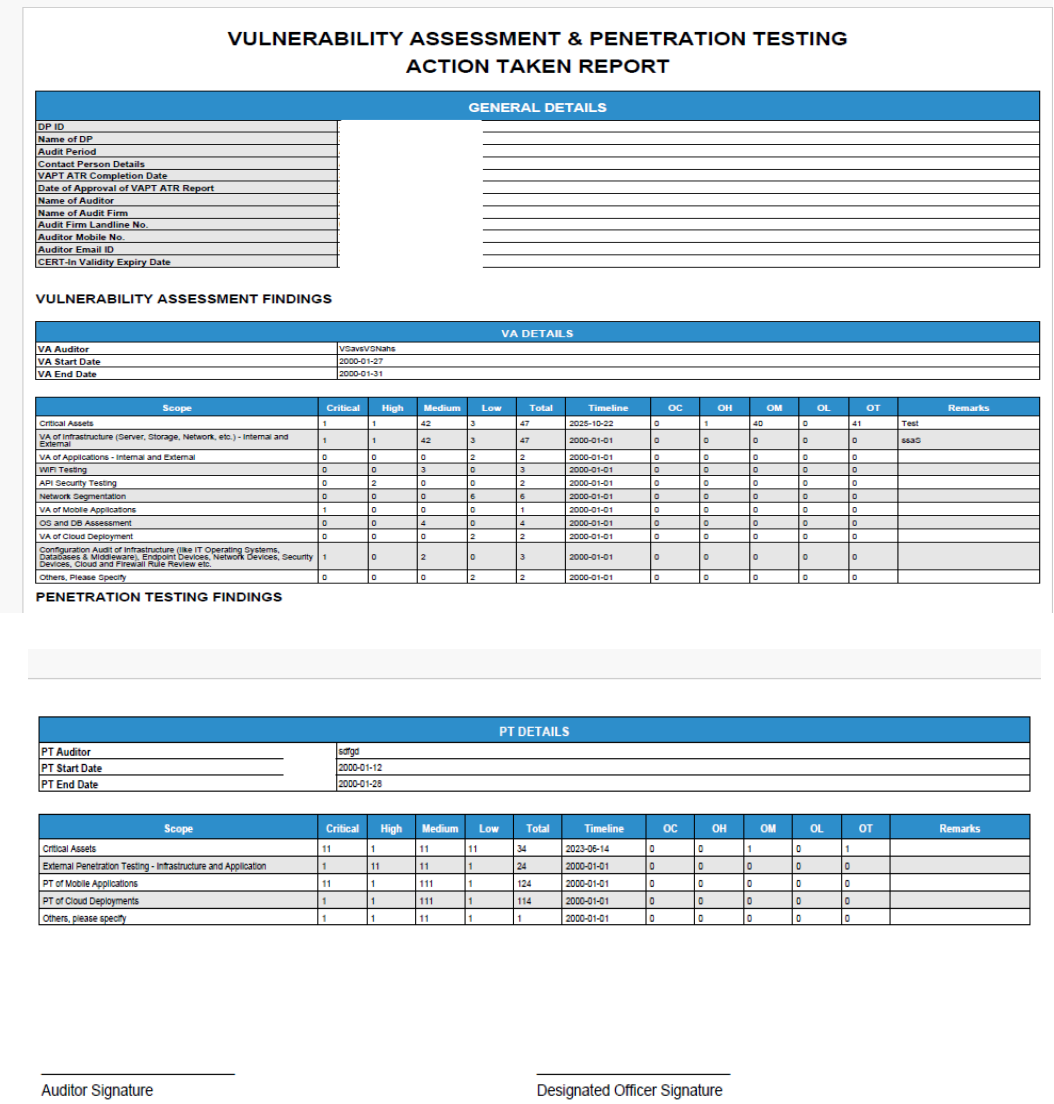
11. The report will be downloaded successfully. Refer to the screenshot below for reference.




12. Once the PDF has been generated, click the “Download Generated PDF” button to download the report.



The downloaded PDF report will appear as shown in the screenshot below.



VULNERABILITY ASSESSMENT & PENETRATION TESTING
ACTION TAKEN REPORT

| GENERAL DETAILS | |
|---|---|
| DP ID | |
| Name of DP | |
| Audit Period | |
| Contact Person Details | |
| VAPT ATR Completion Date | |
| Date of Approval of VAPT ATR Report | |
| Name of Auditor | |
| Name of Audit Firm | |
| Audit Firm Landline No. | |
| Auditor Mobile No. | |
| Auditor Email ID | |
| CERT-In Validity Expiry Date | |

VULNERABILITY ASSESSMENT FINDINGS

| VA DETAILS | |
|---|---|
| VA Auditor | VSavsVSNahs |
| VA Start Date | 2000-01-27 |
| VA End Date | 2000-01-31 |

| Scope | Critical | High | Medium | Low | Total | Timeline | OC | OH | OM | OL | OT | Remarks |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Critical Assets | 1 | 1 | 42 | 3 | 47 | 2025-10-22 | 0 | 1 | 40 | 0 | 41 | Test |
| VA of Infrastructure (Server, Storage, Network, etc.) - Internal and External | 1 | 1 | 42 | 3 | 47 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | ssaS |
| VA of Applications - Internal and External | 0 | 0 | 0 | 2 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| WiFi Testing | 0 | 0 | 3 | 0 | 3 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| API Security Testing | 0 | 2 | 0 | 0 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Network Segmentation | 0 | 0 | 0 | 6 | 6 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| VA of Mobile Applications | 1 | 0 | 0 | 0 | 1 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| OS and DB Assessment | 0 | 0 | 4 | 0 | 4 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| VA of Cloud Deployment | 0 | 0 | 0 | 2 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Configuration Audit of Infrastructure (like IT Operating Systems, Databases & Middleware), Endpoint Devices, Network Devices, Security Devices, Cloud and Firewall Rule Review etc. | 1 | 0 | 2 | 0 | 3 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Others, Please Specify | 0 | 0 | 0 | 2 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |

PENETRATION TESTING FINDINGS

| PT DETAILS | |
|---|---|
| PT Auditor | sdfgd |
| PT Start Date | 2000-01-12 |
| PT End Date | 2000-01-28 |

| Scope | Critical | High | Medium | Low | Total | Timeline | OC | OH | OM | OL | OT | Remarks |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Critical Assets | 11 | 1 | 11 | 11 | 34 | 2023-06-14 | 0 | 0 | 1 | 0 | 1 | |
| External Penetration Testing - Infrastructure and Application | 1 | 11 | 11 | 1 | 24 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| PT of Mobile Applications | 11 | 1 | 111 | 1 | 124 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| PT of Cloud Deployments | 1 | 1 | 111 | 1 | 114 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Others, please specify | 1 | 1 | 11 | 1 | 1 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |

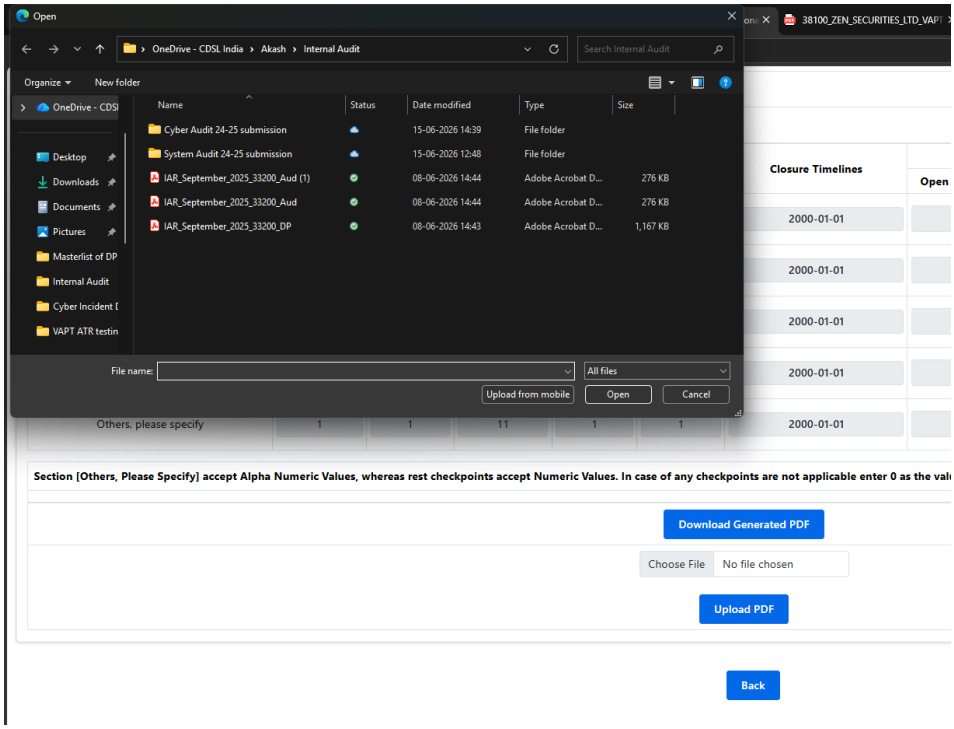
Auditor Signature

Designated Officer Signature

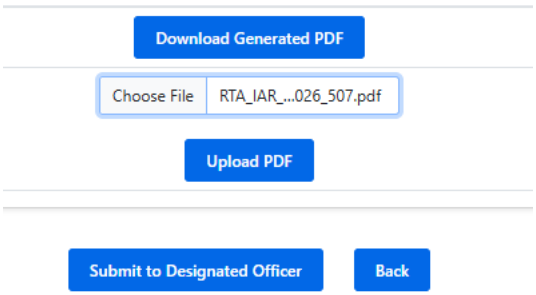


Classification - Internal

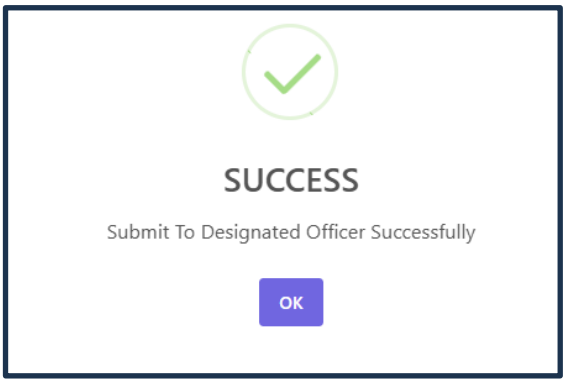
13. Click on “Choose File” and upload the downloaded PDF duly signed by the auditor.




14. To submit the signed PDF file, click the “Submit to Designated Officer” button.



Select the file and upload it. Upon successful upload of the PDF file, the screen shown below will be displayed.



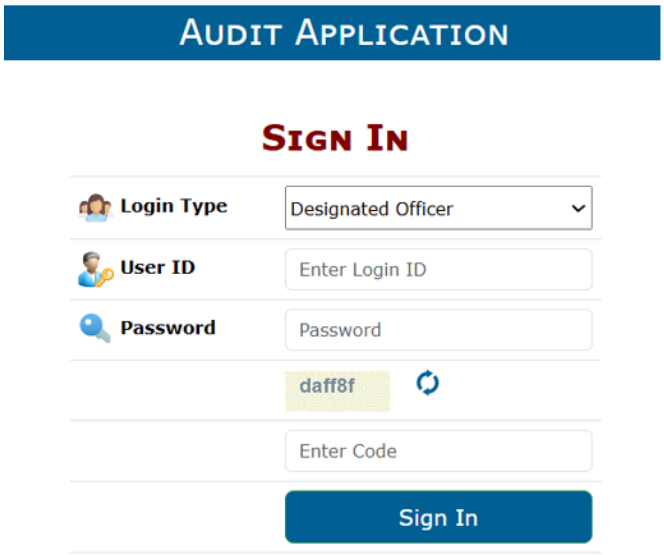
**DESIGNATED OFFICER LOGIN**

1. Log in Into Audit application by using the below link:-

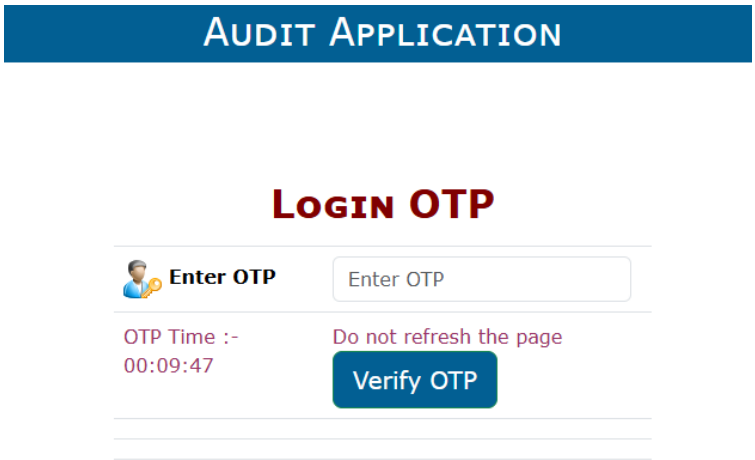
**https://auditweb.cdslindia.com/Login.aspx**

Now Sign in using 'Login Type-Designated officer'.

Now enter User ID & Password and click on "Sign In" button.




**2.** Enter the OTP: You will receive the OTP on both your DP's registered mobile number and email

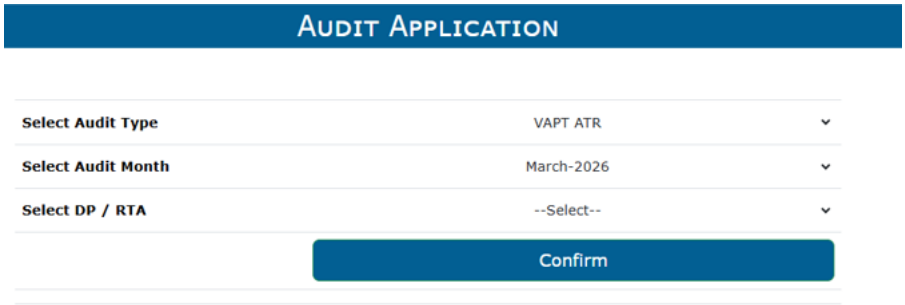




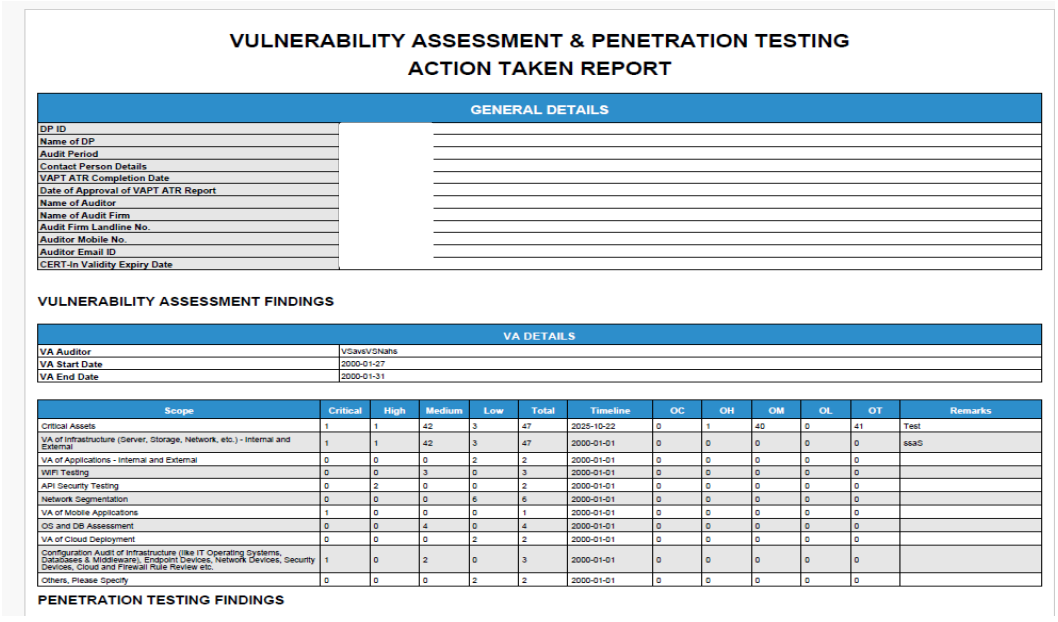
Classification - Internal

3. Then select audit type **"VAPT ATR"** from the Drop down.

Select the DP ID and DP Name in the 'Select DP / RTA' tab and click on 'Confirm'.




3. Click on **"Download Generated PDF"** to download the PDF file completed by the auditor. Refer to the screenshot below for reference.



VULNERABILITY ASSESSMENT & PENETRATION TESTING
ACTION TAKEN REPORT

| GENERAL DETAILS | |
|---|---|
| DP ID | |
| Name of DP | |
| Audit Period | |
| Contact Person Details | |
| VAPT ATR Completion Date | |
| Date of Approval of VAPT ATR Report | |
| Name of Auditor | |
| Name of Audit Firm | |
| Audit Firm Landline No. | |
| Auditor Mobile No. | |
| Auditor Email ID | |
| CERT-In Validity Expiry Date | |

VULNERABILITY ASSESSMENT FINDINGS

| VA DETAILS | |
|---|---|
| VA Auditor | VSavsVSNahs |
| VA Start Date | 2000-01-27 |
| VA End Date | 2000-01-31 |

| Scope | Critical | High | Medium | Low | Total | Timeline | OC | OH | OM | OL | OT | Remarks |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Critical Assets | 1 | 1 | 42 | 3 | 47 | 2025-10-22 | 0 | 1 | 40 | 0 | 41 | Test |
| VA of Infrastructure (Server, Storage, Network, etc.) - Internal and External | 1 | 1 | 42 | 3 | 47 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | ssaS |
| VA of Applications - Internal and External | 0 | 0 | 0 | 2 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| WiFi Testing | 0 | 0 | 3 | 0 | 3 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| API Security Testing | 0 | 2 | 0 | 0 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Network Segmentation | 0 | 0 | 0 | 6 | 6 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| VA of Mobile Applications | 1 | 0 | 0 | 0 | 1 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| OS and DB Assessment | 0 | 0 | 4 | 0 | 4 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| VA of Cloud Deployment | 0 | 0 | 0 | 2 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Configuration Audit of Infrastructure (like IT Operating Systems, DataBases & Middleware), Endpoint Devices, Network Devices, Security Devices, Cloud and Firewall Rule Review etc. | 1 | 0 | 2 | 0 | 3 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Others, Please Specify | 0 | 0 | 0 | 2 | 2 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |

PENETRATION TESTING FINDINGS

| PT DETAILS | |
|---|---|
| PT Auditor | sdfgd |
| PT Start Date | 2000-01-12 |
| PT End Date | 2000-01-28 |

| Scope | Critical | High | Medium | Low | Total | Timeline | OC | OH | OM | OL | OT | Remarks |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Critical Assets | 11 | 1 | 11 | 11 | 34 | 2023-06-14 | 0 | 0 | 1 | 0 | 1 | |
| External Penetration Testing - Infrastructure and Application | 1 | 11 | 11 | 1 | 24 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| PT of Mobile Applications | 11 | 1 | 111 | 1 | 124 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| PT of Cloud Deployments | 1 | 1 | 111 | 1 | 114 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |
| Others, please specify | 1 | 1 | 11 | 1 | 1 | 2000-01-01 | 0 | 0 | 0 | 0 | 0 | |

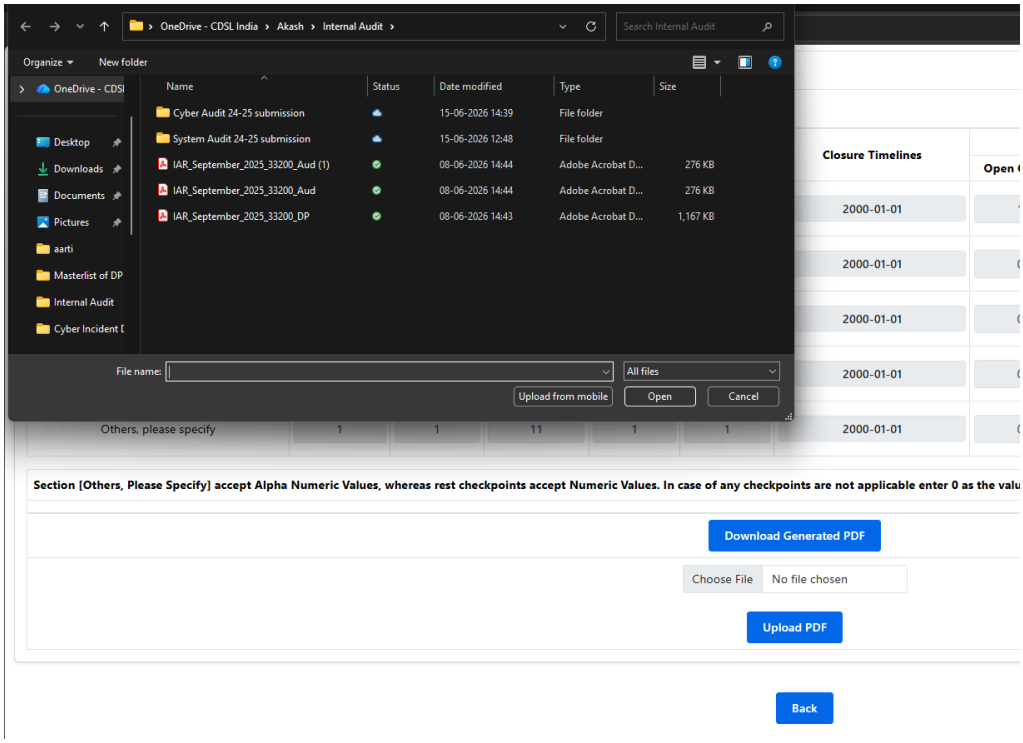
Auditor Signature

Designated Officer Signature

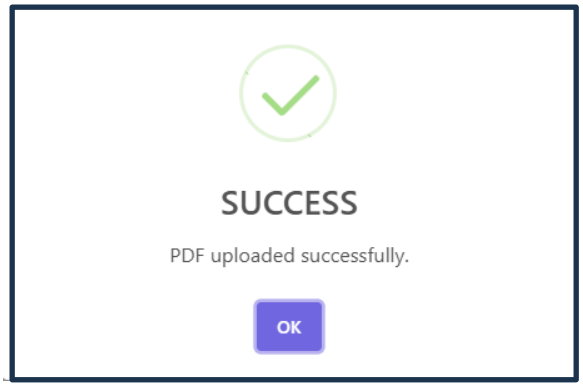


Classification - Internal

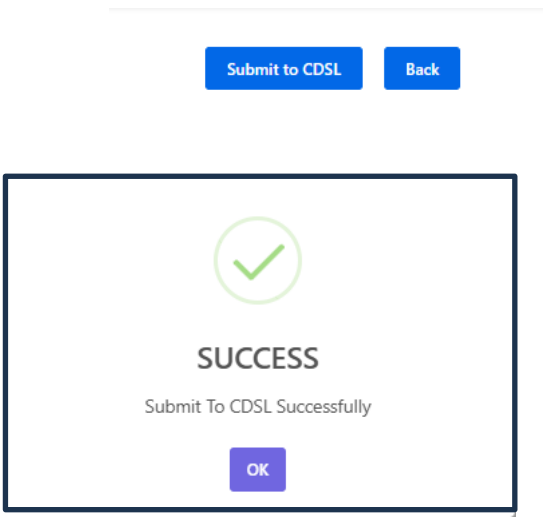
4. Click on **"Choose File"** and upload the downloaded PDF report containing both required digital signatures. **Please note that the digital signatures of the Auditor and the Designated Officer are mandatory in the VAPT ATR Report.** Refer to the screenshot below for reference.




5. Once you click the **"Upload PDF File"** button, the following pop-up message will be displayed:



6. Click on the **"Submit to CDSL"** button to submit the report.




If any error is faced while uploading the report/declaration/submitting the form/file, request you to send an email on **dpinfosec@cdslindia.com** along with the screenshot of the error**.**

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Classification - Internal