



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/IS/DP/POLCY/2026/646

September 18, 2026

SUBMISSION OF COMPLIANCE ON THE CLOSURE OF OBSERVATIONS IDENTIFIED DURING CYBER AUDIT

Depository Participants (DPs) are advised to refer to Communique **CDSL/IS/DP/POLCY/2026/347 May 22, 2026**, and **CDSL/IS/DP/POLCY/2026/401 June 12, 2026**, regarding the submission of Cyber Audit Report.

In line with above, any observation raised by the auditor during the audit shall be remediated on an immediate basis and should be certified by the auditor. The compliance of closure of findings identified shall be submitted to CDSL **within 3 months** from the due date of submission of report.

DPs are advised to submit compliance of closure of observations raised during the Cyber Audit for the period from **October 2025 – March 2026** on or before **September 30, 2026**. The User Manual for submission of the same is enclosed as **Annexure A**. DPs are requested to take note of the above and ensure compliance.

If the submission is not completed within the prescribed timeline, it will be treated as noncompliance, and the applicable penalty will be imposed as per **CDSL communique no: CDSL/AUDIT/DP/POLCY/2025/105 February 12, 2025**.

Queries regarding this communiqué may be sent to dpinfosec@cdslindia.com, or you may contact us on our landline numbers: 022-62343576 / 022-62343461.

For and on behalf of
Central Depository Services (India) Limited

sd/-

Mrugen Vijay Munjpara
Assistant Vice President – Information Security



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Annexure A

Guidelines to submit Cyber Audit ATR (Action Taken Report)

IT Auditor needs to be mapped before submissions

1. Select "DP" as Login Type. Enter your user id and password. Complete the Captcha and then Sign In.

2. Enter the OTP received on the registered mobile number and email id.



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3. Then click on “View DP / RTA Profile and Status of Reports.

AUDIT APPLICATION

Select Audit Type--SELECT--

Select Audit Month

Select DP / RTA--Select--

Confirm

[View DP / RTA Profile and Status of Reports](#)

[View IT Auditor Profile](#)

[View Auditor Profile](#)

[View Investor Complaints](#)

4. You can view the mapped logins for each login type on this page.

AUDIT APPLICATION

IT Auditor Profile

User ID:

Name of Audit Firm:

Address:

Name of the Auditor:

Mobile:

Email ID:

Update Profile

Back

MAPPED DP WITH AUDIT TYPES

Delete	Edit	DP ID	DP Name	Audit Firm Name	User ID	Mobile No.	Audit Type
Delete	Edit						SYSTEM AUDIT REPORT
Delete	Edit						CYBER SECURITY AUDIT ATR



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5. Go back. Click on “Registration for IT Auditor”

If the IT Auditor is already available in the dropdown, simply select the Auditor name, choose the DP name, and then click on “Register”.

AUDIT APPLICATION

Select Audit Type	--SELECT--	▼
Select Audit Month		▼
Select DP / RTA	--Select--	▼
Confirm		
View DP / RTA Profile and Status of Reports		
View IT Auditor Profile		
View Auditor Profile		
View Investor Complaints		
View Pending Submission View Pending Non-Compliance		
Go to Login Change Password		
Registration for Auditor Registration for IT Auditor Registration for Designated Officer User registration - Bidding DP		
Register Your KYC User Click Here!!!		
Sectoral Risk Assessment		

6. After Selecting “Registration for IT Auditor” below screen would be displayed.

AUDIT APPLICATION

IT AUDITOR REGISTRATION

Select Audit Type	--Select--	▼
Auditor List	--SELECT--	▼
* Auditors Email ID	Email ID	
* Name of Audit Firm	Audit Firm Name	
Address of Audit Firm	Address	
* Name of Contact Person	Contact Person	
* Mobile No.	Mobile no.	
Select DP		▼
Register		
Go to Login Refresh		

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7. Select "Cyber Security Audit ATR" as the Audit Type.

Enter All details like Auditors Email ID, Name of Audit Firm, Name of the contact person, Mobile Number. Select DP. And then click on Register.

IT/VAPT AUDITOR REGISTRATION

Select Audit Type	CYBER SECURITY AUDIT ATR	▼
Auditor List	--SELECT--	▼
* Auditors Email ID	Email ID	
* Name of Audit Firm	Audit Firm Name	
Address of Audit Firm	Address	
* Name of Contact Person	Contact Person	
* Mobile No.	Mobile no.	
Select DP	--Select--	▼
Register		

8. Once Registration is done, a pop-up box will appear intimating the same.

testaudit.cdsl.co.in says

Registration Sucessfully Done!!!



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9. Then click on Forgot Password.

AUDIT APPLICATION

SIGN IN

Login TypeIT_Auditor

User ID

Password

085a24

Sign In

[Forgot password](#)[Registration for DP / RTA](#)

10. After Clicking on Forgot Password it will redirect to below screen shot.

AUDIT APPLICATION

FORGOT PASSWORD

Login Type--Select--

User IDUser ID

Email IDEmail ID

☐ I'm not a robot

SubmitCancel

11. Select IT Auditor as the Login Type.

Enter User ID and Email ID. Please note that the User ID must be email ID.

Complete captcha verifications and then Submit.

AUDIT APPLICATION

FORGOT PASSWORD

Login TypeIT_Auditor

User ID

Email ID

☒ I'm not a robot

SubmitCancel



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12. Enter the OTP received on the registered number or email id

AUDIT APPLICATION

FORGOT PASSWORD

 Enter OTP	Enter OTP
OTP Time :- 00:09:12	Do not refresh the page Verify OTP

13. Enter New password and confirm the new password. Click on Save Changes.

AUDIT APPLICATION

FORGOT PASSWORD

You can reset your password here

 New Password	
 Confirm Password	
Save Changes	

* Password Instructions : At least one digit [0-9], one lowercase character [a-z], one uppercase character [A-Z], one special character [*.!@#\$%^&(){}[]:;<>,] and at least 8 characters in length

14. After resetting password, Login as an IT Auditor.

AUDIT APPLICATION

SIGN IN

 Login Type	IT_Auditor
 User ID	
 Password	
a55ad5 	
Sign In	
Forgot password	Registration for DP / RTA

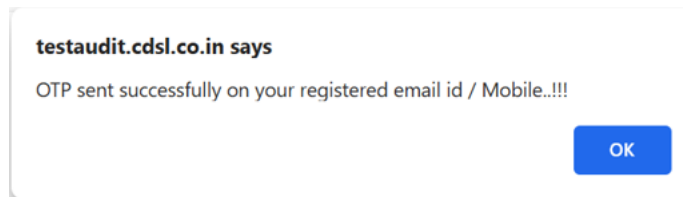


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15. You will receive the OTP on the registered mobile number and email id.



16. Enter the OTP to Login

AUDIT APPLICATION

LOGIN OTP

 Enter OTP	Enter OTP
OTP Time :- 00:09:42	Do not refresh the page Verify OTP



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IT AUDITOR LOGIN

1. Log in Into Audit application by using the below link:-

<https://auditweb.cdslindia.com/Login.aspx>

Now Sign in using 'Login Type-IT Auditor'.

Now enter User ID & Password and click on "Sign In" button.

AUDIT APPLICATION

SIGN IN

Login Type	IT_Auditor
User ID	
Password	
	06462d
	06462d
Sign In	

2. Enter the OTP received on the registered mobile no.

AUDIT APPLICATION

LOGIN OTP

Enter OTP	Enter OTP
OTP Time :- 00:09:25	Do not refresh the page Verify OTP



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3. **Enter the OTP:** You will receive the OTP on both your IT Auditor registered mobile number and email Id.

AUDIT APPLICATION

LOGIN OTP

Enter OTP

OTP Time :- 00:09:38 Do not refresh the page

4. Then select audit type “Cyber Security Audit ATR” from the Drop down.
Select Audit month, the DP ID and DP Name in the ‘**Select DP / RTA**’ tab and click on ‘**Confirm**’.

AUDIT APPLICATION

Select Audit Type CYBER SECURITY AUDIT ATR

Select Audit Month

Select DP / RTA

5. The below screen will be displayed once the user is logged in. Enter all the details mentioned below. **All the details below will be auto populated.**

AUDIT APPLICATION

CYBER SECURITY AUDIT ATR

* Date 09-09-2026 14:39 * DP Name * DP Category

* DP ID * Period October 2025 - March 2026

Cyber Security Audit Report covers the following Branch DPIDs :-

* Is the report uploaded on the letter head of CERT-in empanelled entity with DP ID & DP Name specified. YES

* Name of CERT-in empanelled entity :

* CERT-in empanelment validity expiry Date (DD- MM-YYYY): 31-10-2027

* No of consecutive audits performed by the auditor: 2

* Date of Audit Report: 25-06-2026

If the report is not submitted within prescribed timeline, it will be treated as noncompliance & applicable penalty would be imposed



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6. Kindly note that only the non-compliant points will be displayed here

The 'IT Auditor' is given access to fill only the below mentioned fields:

- Description of Finding(s)/ Observation(s)
- Name of the system belongs to RE or third-party vendor
- Status/Nature of findings
- Risk rating (C/H/M/L) of the findings
- C/I/A affected
- Test cases used
- Root Cause Analysis
- Impact analysis
- Auditor recommendations/ Corrective actions
- Whether similar issue was reported in the last three audits
- List of documentary evidence including physical inspection/ sample size taken by the auditor should be mandatory.

1. GOVERNANCE										
Sl. No.	Standards prescribed by SEBI/CISR	Details	Description of Findings/ Observations/Reason why the TOR Clause is not applicable to the DP	Name of System belongs to RE or third party vendor	Status/Nature of findings	Risk Rating of the Findings	C/I/A affect	Test Cases Used	Root Cause Analysis	Impact
100	QVRR/S4	Has the RE allocated an adequate percentage of the total IT budget to cybersecurity? Has this allocation been mentioned under a separate budgetary head for monitoring by the Board of Directors or top-level management?			COMPLIED	-SELECT-	-SELECT-			
1000	QVRR/S4	Has the RE ensured that adequate resources are allocated and aligned with the cybersecurity risk strategy, roles and responsibilities, and policies? Whether the resources are defined in terms of budgetary allocation, people, and material, and are resourcing requirements revisited regularly based upon progress or shortfalls in the implementation of standards and reflected in the budgetary allocation?	NC	NC	NOT COMPLIED	CRITICAL	AVAILABILITY	NC	NC	NC

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Once all details have been entered, kindly click on the 'SAVE' button in every segment.

Save

Save Submit to DP Back

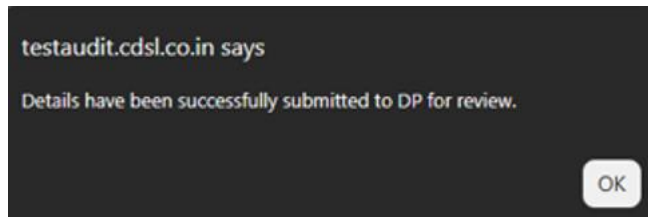
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After saving click on 'submit to DP'.

DESIGNATED OFFICER LOGIN

1. Log in Into Audit application by using the below link:-

<https://auditweb.cdslindia.com/Login.aspx>

Now Sign in using 'Login Type-Designated officer'.

Now enter User ID & Password and click on "Sign In" button.

2. **Enter the OTP:** You will receive the OTP on both your DP's registered mobile number and email Id.



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- Then select audit type “Cyber Security Audit ATR” from the Drop down.
Select the DP ID and DP Name in the ‘Select DP / RTA’ tab and click on ‘Confirm’.

AUDIT APPLICATION

Select Audit Type

CYBER SECURITY AUDIT ATR

▼

Select Audit Month

▼

Select DP / RTA

▼

Confirm

- The below screen will be displayed once the user is logged in. **All the details below will be auto populated**

AUDIT APPLICATION

CYBER SECURITY AUDIT ATR

Date09-09-2020 13:09

DP Name

DP ID

PeriodOctober 2023 - March 2026

DP Category

Cyber Security Audit Report covers the following Branch DPIDs :-

Is the report uploaded on the letter head of CERT in empowered entity with DP ID & DP Name specified.

Name of CERT in empowered entity :

CERT in empowerment validity expiry Date (DD-MM-YYYY):

File of empowerment audit performed by the auditor:

Date of Audit Report:

If the report is not submitted within prescribed timeline, it will be treated as non-compliance & applicable penalty would be imposed

1. GOVERNANCE

SaveSubmit for AuditorBack

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- The ‘Designated Officer’ is given access to fill only the below mentioned fields:

- Deadline of corrective action(s)
- Management response

1. GOVERNANCE													
No.	Standards prescribed by SEC/CSO	Details	Description of Findings/ Observations/Reason why the TDR Clause is not applicable to the DP	Name of System Manager to RT or third party vendor	Status/Nature of Findings	Risk Rating of the Findings	CIS/IS effect	Test Cases Used	Root Cause Analysis	Impact Analysis	Auditor recommendations/Corrective Actions	Deadline of corrective actions	Management Response
100	GV.BS.14	Has the RT allocated an adequate percentage of the total IT budget to cybersecurity? Has this allocation been monitored under a separate budgetary head for monitoring by the Board of Directors or top level management?			COMPLIED	SELECT	SELECT					1st year 2022	
1000	GV.BS.14	Has the RT ensured that adequate resources are allocated and aligned with the cybersecurity risk strategy, roles and responsibilities, and policies? Whether the resources are defined in terms of budgetary allocation, people and material, and are ensuring requirements involved regularly based upon progress or identified in the implementation of strategy and reflected in the budgetary allocation?	NC	NC	NOT COMPLIED	CRITICAL	IRREPARABILITY	NC	NC	NC	NC	01-10-2025	This point will be completed



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6. Save the details once mentioned fields are filled.

7. After saving the details, click on submit to auditor for further review.

IT AUDITOR LOGIN

1. Once the details are submitted by the Designated officer to the Auditor, Auditor will login again by following previous step and select the DP/RTA.

CYBER SECURITY AUDIT ATR			
* Date	09-09-2026 15:19	* DP Name	
* DP ID		* Period	October 2025 - March 2026
		* DP Category	
Cyber Security Audit Report covers the following Branch DPIDs :-			
* Is the report uploaded on the letter head of CERT-in empanelled entity with DP ID & DP Name specified.		YES	
* Name of CERT-In empanelled entity :			
* CERT-In empanelment validity expiry Date (DD- MM-YYYY):		31-10-2027	
* No of consecutive audits performed by the auditor:		2	
* Date of Audit Report:		25-06-2026	
If the report is not submitted within prescribed timeline, it will be treated as noncompliance & applicable penalty would be imposed			



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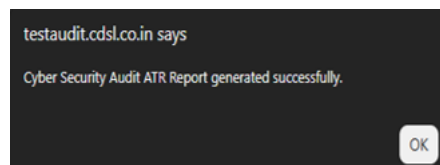
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The screen will be auto populated after login.

1. GOVERNANCE								
belongs to	Status/Nature of findings	Risk Rating of the findings	C/I/A affect	Test Cases Used	Root Cause Analysis	Impact Analysis	Auditor recommendations/Corrective Actions	Deadline of corrective action(s)
	COMPLIED	-SELECT-	-SELECT-					dd-mm-yyyy
	NOT COMPLIED	CRITICAL	AVAILABILITY	NC	NC	NC	NC	30-10-2026

- After checking the details filled by the DP, click on generate PDF to continue the submission.

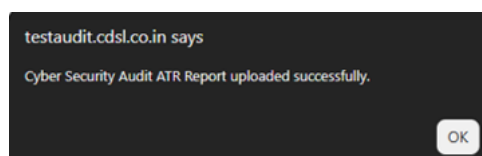
[Generate PDF](#) [Back](#)



- The PDF for the Cyber Audit ATR has been generated. Click on "**Download Report**" to view the generated PDF file.

1. GOVERNANCE		
Attach - Cyber Security Audit ATR Report		
Choose File	No file chosen	Upload Report Download Report

- Digitally sign the generated PDF, upload the signed report by clicking the "**UPLOAD REPORT**" button, and then click "**Submit to DP**".



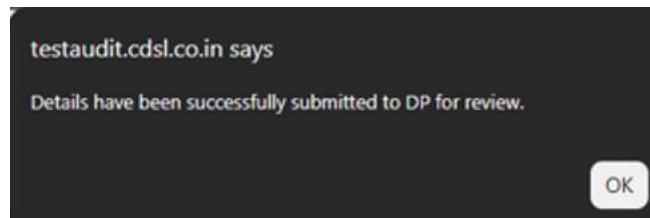


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- Click on Submit to DP after successfully uploading the Cyber audit ATR.



DESIGNATED OFFICER LOGIN

- Login via Designated officer ID of the DP, follow previous steps of DO login. Select DP/RTA and Cyber audit ATR for March 2026. Below screen will appear after successfully login.

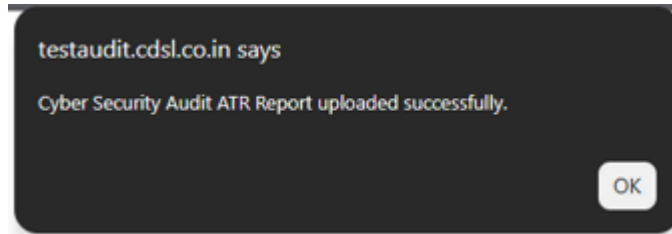
- The Designated Officer can view the auditor-signed report by selecting the "**Download Report**" option.



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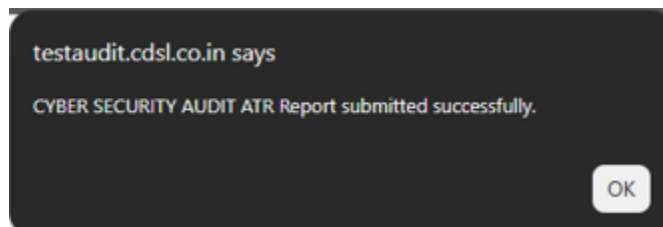
A pop-up will be displayed after successfully uploading Cyber Audit ATR.

- Before submission, click on declaration check box. Attach the DP's digital signature to the Cyber Audit ATR, upload the signed report by selecting "**UPLOAD REPORT**", and then click "**Submit to CDSL**".

☒ Declaration : I hereby declare that the information given above and in the enclosed documents is true to the best of my knowledge.

[Submit to CDSL](#)

[Back](#)



A pop-up will be displayed after successfully submitting Cyber Audit ATR.

DPs are advised to take note of the following:

- IT Auditor registration/mapping is carried out through the DP login, and the same auditor can then proceed with the submission.
- Each submission is permitted for a single auditor only.
- If you want to change your auditor, ensure the previous auditor is deleted before adding or mapping a new one through DP login.
- The audit report should be system generated from the audit web portal containing the name of the auditor, audit firm, Cert-In empanelment expiry date and valid signature.
- The audit report must include the DP Name and DP ID.
- Audit period must be clearly mentioned.
- Compliance status must be clearly stated as Complied / Not Complied / Not Applicable.



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- Kindly ensure each subpoints of the Checkpoint Description are duly filled in and **SAVED** before submitting it to CDSL. If the details are not saved prior to submission, the data may not be recorded in the system which may result in incomplete or missing information at the time of submission.

If any error is faced while submitting the report, request you to send an email on dpinfosec@cdslindia.com along with the screenshot of the error.
