



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/TRANG/2022/715

December 15, 2022

TRAINING PROGRAMME FOR COMPLIANCE OFFICERS / PRINCIPAL OFFICERS / DP OFFICIALS AND INTERNAL / CONCURRENT AUDITORS

With a view to increase the effectiveness of compliance with special focus on latest compliance requirements including PMLA, timely redressal of investor grievances, to reduce deficiencies observed in inspection and internal audit report and to make DPs aware of additional surveillance alerts and obligations of Depository Participants, a training program for Compliance officers/ Principal Officers/ DP Officials and Internal/ Concurrent Auditors have been scheduled on **21st December 2022 from 4 pm to 6 pm**. The program will be conducted online through Webex meeting.

DPs (including branch DPs) are advised to register their Compliance/ Principal Officers, other DP Officials and Internal Auditors / Concurrent Auditors for the training program using the following web link:

<https://seccomm.webex.com/weblink/register/r2206ced7030b027da1aaee90e9144573>

The same link shall be used to join the program by providing your required details under Join Event Now.

Queries regarding this training program may be addressed to 022 23058520/ 2305 8679/ 23058678/ 23058515. Emails may be addressed to: aditin@cdslindia.com/ sameerm@cdslindia.com/ urmilac@cdslindia.com/ ajitp@cdslindia.com.

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Ajit Prabhu
Sr. Manager - Audit, Inspection & Compliance