



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/REPRT/2021/68

February 16, 2021

### INCREASE IN WIDTH OF PLEDGE SEQUENCE NUMBER

DPs are aware that presently in CDSL system length of field viz. 'Pledge Sequence Number (PSN)' is 8 digits. Due to system enhancement, CDSL has decided to increase length of field PSN from 8 digits to 10 digits for Pledge, Margin Pledge, Repledge and Margin Funding Pledge transactions

Increase in width of Pledge Sequence Number field from 8 digits to 10 digits will result in following changes:

Sr. No.	Description	Annexure #
1	Common Upload File Format	Annexure – A
2	DPM6 – Success / Failure Report	Annexure – B
3	DP36 – Pledge Master Report	Annexure – C
4	DP37 – Online Pledge Master Report	Annexure – D
5	DP57 – Pledge	Annexure – E1
6	DP57 – Unpledge	Annexure – E2
7	DP57 – Auto Unpledge	Annexure – E3
8	DP57 – Confiscate	Annexure – E4
9	DPC9 – Online Statement of Transaction and Holding	Annexure – F
10	DPN6 – Pledge Pending Authenticated Transactions for DP	Annexure – G

Changes have been highlighted for quick reference. DPs are requested to disseminate the said changes to their Clearing Member clients and ensure to make necessary back-office changes if any, on or before **March 12, 2021**. The said changes will be effective from **March 13, 2021 SOD**.

Queries regarding this communiqué may be addressed to:

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com).

sd/-

**Prashant Kokate**  
Asst. Vice President – Operations

**Features**

- Multiple Upload Types can be entered in the same file.
- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

**Upload ID** : **18**

**File Name** : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

**Sample File Name:**

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

**Header Record**

**Input Type** : **M**andatory [**M**] / **O**ptional [**O**]

**Header record will contain:**

Field Description	Field Type	Field Length	Input Type
DP ID	Number	6	M
Operator ID	Char	6	M
Total No. of Records	Number	6	M
File Extension	Number	3 / 4 / 5	M
Business Date	Date	8	M

**Sample Record Format:**

021200DPADM 00000112318042015

**Detail Record : Pledge Upload**

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = P]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee • M – Modify	<Subtp>	</Subtp>
Free / Lock-in Flag	Char	1	O • F – Free • L – Lockin [Applicable if Pledge Sub Type is 'S']	<Lcksts>	</Lcksts>
Lock-in ID	Number	16	O [Applicable if Pledge Sub Type is 'S' and Free / Lock-in Flag is 'L']	<Lckid>	</Lckid>
Pledge Request Form Number	Char	16	O [Applicable and Mandatory if Pledge Sub Type is 'S']	<Prf>	</Prf>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' or 'C' or 'E']	<Qty>	</Qty>
Value	Number	15,2	O [Applicable if Pledge Sub Type is 'S']	<Val>	</Val>
Pledge Expiry Date	Date	8 [DDMMY YYY]	O [Applicable if Pledge Sub Type is 'S' or 'M']	<Xpry>	</Xpry>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Pledgor Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ref>	</Ref>
Agreement Number	Char	20	O [Applicable if Pledge Sub Type is 'S' or 'M' (direct transactions)]	<Agrmt>	</Agrmt>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)]	<Remk>	</Remk>
Pledge Sequence Number	Number	10	[Will be null for Pledge Type 'P' and Pledge Sub Type 'S' (for newly setup Pledge) else mandatory]	<Psn>	</Psn>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MI SS	<Rcvdt>	</Rcvdt>
Pledge Identifier	Char	2	O Will be valid for Pledge Type 'P' and pledge Sub type 'S' Possible Values MP – Margin Pledge MR – Margin Repledge	<PldgIdntfr >	</PldgIdntfr >
Exchange ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Xchg>	</Xchg>
UCC Details	Char	11	M / O Mandatory only if Pledge Identifier present	<Ucc>	</Ucc>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Segment ID	Char	2	M / O Mandatory only if Pledge Identifier present CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – All Segments	<Seg>	</Seg>
CC ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Clr>	</Clr>
CM ID	Char	8	M / O Mandatory only if Pledge Identifier present	<Mmb>	</Mmb>
TM ID /CP ID	Char	12	M / O Mandatory only if Pledge Identifier present If TM ID is provided, Entity Identifier will be TM. If CP ID is provided, Entity Identifier will be CP	<Tm>	</Tm>
Entity Identifier	Char	2	M / O Mandatory only if Pledge Identifier present TM- TM ID CP- CP ID	<EntIdntfr>	</EntIdntfr>
Margin Pledge Sequence Number	Number	10	M / O Mandatory only if Pledge Identifier is MR – Margin Repledge	<MarPsn>	</MarPsn>

**Sample Record Format –****Pledge Setup**

```
<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>070111412812
3020</Lckid><Prf>CDPTEST002</Prf><Bnfcry>1302120000046661</Bnfcry><CtrPty>1302120000152220</
CtrPty><ISIN>INE024B01010</ISIN><Qty>10000</Qty><Val>100000</Val><Xpry>31122015</Xpry><Ref>P
```

LEDGETESTCDP</Ref><Agrmt>CDPTEST001</Agrmt><Remk>PLEDGETEST2303</Remk><Psn></Psn><Excdt>01092015</Excdt><Rcvdt>15082015173000</Rcvdt>

### Margin Pledge

<Tp>7</Tp><Usn>1</Usn><Pldgtp>P</Pldgtp><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>3171162550437020</Lckid><Prf>ABC/123</Prf><Bnfcry>1601010000012345</Bnfcry><CtrPty>1601010000067890</CtrPty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Val>100000</Val><Xpry>31122010</Xpry><Ref>ABCD</Ref><Agrmt>AGREE</Agrmt><Rmk>REMARK</Rmk><ExecDt>05092012</ExecDt><Rcvdt>15082015173000</Rcvdt><Pldgldnfr>MP</Pldgldnfr><Xchg>11</Xchg><Ucc>105717<Ucc><Seg>01</Seg><Clr>10</Clr><Mmb>10654</Mmb><Tm>10654</Tm><Entldntfr>TM</Entldntfr>

### Margin Repledge

<Tp>7</Tp><Usn>1</Usn><Pldgtp>P</Pldgtp><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>3171162550437020</Lckid><Prf>ABC/123</Prf><Bnfcry>1601010000012345</Bnfcry><CtrPty>1601010000067890</CtrPty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Val>100000</Val><Xpry>31122010</Xpry><Ref>ABCD</Ref><Agrmt>AGREE</Agrmt><Rmk>REMARK</Rmk><ExecDt>05092012</ExecDt><Rcvdt>15082015173000</Rcvdt><Pldgldntfr>MR</Pldgldntfr><Xchg>11</Xchg><Ucc>42358<Ucc><Seg>01</Seg><Clr>10</Clr><Mmb>10654</Mmb><Tm>10654</Tm><Entldntfr>TM</Entldntfr><MarPsn>1234567890</MarPsn>

### Pledge Accept

<Tp>7</Tp><Usn>1</Usn><Pldgtp>P</Pldgtp><Subtp>A</Subtp><Psn>1234567890</Psn><Bnfcry>130212000046661</Bnfcry><CtrPty>1302120000152220</CtrPty><ISIN>INE024B01010</ISIN><Qty>10000</Qty><Ctrptyref>CDP TEST</Ctrptyref><Remk>PLEDGETEST2503</Remk> <Rcvdt>15082015173000</Rcvdt>

**Detail Record : Un-pledge Upload**

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = U]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M <ul style="list-style-type: none"> <li>• S – Setup</li> <li>• A – Accept</li> <li>• R – Reject</li> <li>• C – Cancel by Pledgor</li> <li>• E – Reversal by Pledgee</li> </ul> (In case of indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	10	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Pledgor Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ref>	</Ref>
Part Counter	Number	4	O [Applicable if Sub Type is 'A' or 'R' or 'E' or 'C']	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'C' or 'A' or 'R' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)]	<Remk>	</Remk>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Execution Date	Date	8	Q Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MI SS	<Rcvdt>	</Rcvdt>

**Sample Record Format –****Un-pledge Setup**

```
<Tp>7</Tp><Usn>1</Usn><Pldgtp>U</Pldgtp><Subtp>S</Subtp><Psn>1234567890</Psn><Bnfcry>1302120000046661</Bnfcry><CtrPty>1302120000152220</CtrPty><ISIN>INE024B01010</ISIN><Prtqty>1000</Prtqty><Ref>UNPLEDGETESTCDP</Ref><Remk>REMARK</Remk><Rcvdt>15082015173000</Rcvdt>
```

**Un-pledge Accept**

```
<Tp>7</Tp><Usn>1</Usn><Pldgtp>U</Pldgtp><Subtp>A</Subtp><Psn>1234567890</Psn><Bnfcry>1202000000046661</Bnfcry><CtrPty>1202000000152220</CtrPty><ISIN>INE024B01010</ISIN><Ctrptyref>UnpledgeAccept</Ctrptyref><Cntr>1</Cntr><Prtqty>1000</Prtqty><Remk>REMARK</Remk><Rcvdt>15082015173000</Rcvdt>
```

**Detail Record : Confiscation Upload**

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = C]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • E – Reversal by Pledgee (In case of indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	10	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Part Counter	Number	4	O [Not applicable in case of direct transaction]	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O <u>Will be valid for</u> Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

**Sample Record Format –**

**Confiscation Setup**

<Tp>7</Tp><Usn>1</Usn><Pldgtp>C</Pldgtp><Subtp>S</Subtp><Psn>1234567890</Psn><Bnfcry>1302120000149906</Bnfcry><CtrPty>1302120000150354</CtrPty><ISIN>INE786B01022</ISIN><Ctrptyref>CONFISCATE</Ctrptyref><Prtqty>10</Prtqty><Remk>REMARK</Remk><Rcvdt>15082015173000</Rcvdt>

**Detail Record : Auto Un-pledge Upload**

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = A]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • E – Reversal by Pledgee (for indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	10	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Part Counter	Number	4	O [Not applicable in case of direct transaction]	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O <u>Will be valid for</u> Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

**Sample Record Format –**

**Auto Un-pledge Setup**

<Tp>7</Tp><Usn>1</Usn><Pldgtp>A</Pldgtp><Subtp>S</Subtp><Psn>1234567890</Psn><Bnfcry>1302120000149906</Bnfcry><CtrPty>1302120000150354</CtrPty><ISIN>INE786B01022</ISIN><Ctrptyref>CONFISCATE</Ctrptyref><Prtqty>10</Prtqty><Remk>REMARK</Remk><Rcvdt>15082015173000</Rcvdt>



## Report Documentation

**Module ID** : 44  
**Report ID** : DPM6  
**Report Description** : Common Upload Success / Failure Report

The fields of each **SUCCESSFUL RECORD** are displayed as follows;

Sr. No	Field Description	Field Type	Field Length	PLG	UPL	CON	AUP
1	Record Number in the File	Number	6	√	√	√	√
2	Upload Type	Number	2	√	√	√	√
3	Settlement ID	Char	13				
4	BOID / From BOID / Pledgor BOID / BO or POA ID for DIS	Char	16	√	√	√	√
5	To BOID / Pledgee BOID/ "B" - DIS Issued to BO, "P" DIS Issued to POA.	Char	16	√	√	√	√
6	ISIN	Char	12	√	√	√	√
7	Quantity / Qty for one to many transmission / DIS TXN type – 1 for Issuance, 2 for Cancellation	Number	16,3	√	√	√	√

Sr. No	Field Description	Field Type	Field Length	PLG	UPL	CON	AUP
8	Internal Reference Number/ DIS Booklet Number for Issuance or Cancellation / EASI Reference Number	Char	16	√	√	√	√
9	Business Date / Off-Market Transaction Date / Pledge Setup Date / Freeze Activation Date / DIS issuance or Cancellation Date/ Setup Date for Earmark	Date [DDMMYYYY ]	8	√	√	√	√
10	Transaction ID / Sender Transaction Reference Number / DRN / PSN / FRN / Number of DIS Leaves	Number	10	√	√	√	√
11	Buy / Sell Flag / Mutual Fund Destat Type/ Transfer status / Issuance Flag “Y” Issued, “N” Not Issued / Freeze Status /Txn identifier flag for Txn type 40	Char	1				
12	Settlement Date / Pledge Accept Date / Freeze Expiry Date / Trade Date for Txn type 40	Date [DDMMYYYY ]	8	√	√	√	√
13	CM ID	Char	8				
14	Unique Trade ID / Rejection Sequence Number / Unpledge / Confiscate Counter / DIS Cancellation Flag / Transaction id of Earmarking	Number	6		√	√	√
15	Settle Status Flag / For DIS “N” For Normal, “L” for Loose / “1” for TXN type 40 and TXN identifier 2	Char	1				
16	Reason Code for the Trade / Freeze Reason Code / Reason code for one to one and Account Transfer / DIS – 1 – DP initiated, 2 – BO initiated	Number	2				

Sr. No	Field Description	Field Type	Field Length	PLG	UPL	CON	AUP
17	NSDL DPID-Client ID / CMBPID / Parent Txn Id for Txn type 40	Char	16				
18	Counter Settlement ID / DIS ALPHA PART	Char	13				
19	Demat / Destatementization Request Form Number [DRF] / DIS Maker ID / Onmkt / EP TXN id for TXN type 40	Char	16				
20	Verified Accepted Quantity / Mutual Fund Amount	Number	16,3				
21	Verified Rejected Quantity / DIS Serial Number From	Number	16,3				
22	Confirmed Accepted Quantity / DIS Serial No. To	Number	16,3				
23	Confirmed Rejected Quantity	Number	16,3				
24	Rejected Quantity / Unpledge / Confiscate Part Quantity / Part Qty for one to many	Number	16,3		√	√	√
25	Pledge Type / Freeze Transaction Type Code / All / Quantity Flag / TXN Identifier for TRN	Char	1	√	√	√	√
26	Pledge Sub Type / Freeze Level [BO / BO-ISIN] / Lock-in Status / TXN Sub-type / TXN Electronic Flag	Char	1	√	√	√	√

Sr. No	Field Description	Field Type	Field Length	PLG	UPL	CON	AUP
27	Pledge Value	Number	15,2	√	√	√	√
28	Pledge Expiry Date	Date [DDMMYYYY ]	8	√	√	√	√
29	Lock-in ID / Folio Number / Inclusion – Exclusion File Type / DIS Checker Operator ID	Char	16	√			
30	Agreement Number/ DIS Verifier Operator ID	Char	20	√			
31	Pledgee DP Internal Reference Number / DIS	Char	16	√	√	√	√
32	Pledge / Freeze / Unfreeze Remarks / TXN Remarks / DIS Remarks for Txn type 39 / POA Master ID for Txn type 3,4,5 & 10	Char	100	√	√	√	√
33	Freeze Initiated By	Number	1				
34	Freeze Sub Option	Number	1				
35	Freeze Quantity Type / Verify / Confirm Flag	Char	1				
36	BO Frozen for	Char	1				

Sr. No	Field Description	Field Type	Field Length	PLG	UPL	CON	AUP
37	Freeze Activation Type	Number	1				
38	Pledge Execution Date	Date	8	√			
39	Authentication Reference Number	Char	16				
40	Unique Serial NO (USN)	Number	8	√	√	√	√
41	BO Request Receipt Date	Date	14	√	√	√	√
42	Filler1	Char	8				
43	Filler2	Char	16				
44	Filler3	Number	8				

Following line (s) will be included only in the FAILD record (s)

45	Error Code	Char	16	√	√	√	√
----	------------	------	----	---	---	---	---

46	Error Description	Char	240	√	√	√	√
----	-------------------	------	-----	---	---	---	---

A blank line is printed in between the successful record (s) and the failed record (s)

- ❖ A blank line is printed after the failed record (s)

**Abbreviations used –**

- ❖ **PLG** – Pledge
- ❖ **UPL** – Un-pledge
- ❖ **CON** – Confiscation
- ❖ **AUP** – Auto Un-pledge

**THE SUMMARY RECORD** is printed as follows:

Business Date [DD-MON-YYYY]~Total Number of Records~Total Number of Successful Records~Total Number of Failed Records

**Please Note:**

- ❖ **In case of DPM6 having only successful record(s) [i.e. no failed record (s)] -**
  - ✓ Two blank lines are printed after the successful record(s) followed by a summary record
- ❖ **In case of DPM6 having only failed record(s) [i.e. no successful record (s)] -**
  - ✓ A blank line will be printed before and after the failed record (s) followed by a summary record
- ❖ **In case of DPM6 having both successful as well as failed record (s) -**



## Report Documentation

Report ID : DP36

Report Description : Pledge Master Report

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details -01	NUMBER	02	Value – 01 For Pledge Details
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
PLEDGE CLOSE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Unpledge Details for a PSN -- 02	NUMBER	02	Value – 02 For Unpledge Details
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	

UNPLEDGE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE ACCEPTANCE/RECJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	Value – 03 For Confiscate Details
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	

CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

## Report Documentation

Report ID : DP37  
 Report Description : Online DP36(Pledge Master Report)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details --01	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	

PLEDGE SET-UP DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
<b>FIELD DESCRIPTION</b>	<b>FIELD TYPE</b>	<b>FIELD LENGTH</b>	<b>REMARKS</b>
REVERSAL DATE	<b>1.1.1.1.1.1</b>  DATE  <b>1.1.1.1.1.2</b>  (DDMMYYYY:H H24MISS)	15	
PLEDGE CLOSE DATE	DATE (DDMMYYYY:H H24MISS)	15	

PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Unpledge Details for a PSN – 02	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGEE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	

UNPLEDGE ACCEPTANCE/RECJECTION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY:H H24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE ACCEPTANCE/RECJECTION DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	

MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	8 (PLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CR./DB. PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER (PSN)
6	QUANTITY	NUMBER	16,3	PLEDGE QUANTITY
7	TRANSACTION STATUS	NUMBER	6	801 - Setup initiated by Pledgor maker 802 - Setup Approve by Pledgor Checker (Cr. PSB) 818 - Setup Approved by Pledgor Checker (Db. LB) 804 - Setup Cancel By Pledgor (Db. PSB) 819 - Setup Cancel By Pledgor(Cr. LB) 805 - Setup Accept by Pledgee Maker 806 - Setup Reject by Pledgee Maker 807 - Setup Reject by Pledgee Checker (Db. PSB) 820 - Setup Reject by Pledgee Checker (Cr. LB) 809 - Setup Accept by Pledgee Checker (Cr. PB) 810 - Setup Accept by Pledgee Checker (DB PSB) 821 - Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 811 - Reversal By Pledgee 812 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 813 - Transferred due to Auto CA (Db. PB) 814 - Closed due to Auto CA (Db. PB) 822 - Transferred/Closed due to Auto CA (Db. PEB) 815 - Pledge Cancel In EOD 816 - Pledge Reject In EOD 803 - Modify by Pledgee Maker 808 - Modify Accept by Pledgee Checker 817 - Modify Reject by Pledgee Checker 823 - Pledge rejection in EOD due to insufficient balance 824 -Pending Setup By Pledgor Maker 825 -Pending Setup By Pledgor Checker

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				826 -Overdue Setup By Pledgor Checker 827 – Pledge Reversal By pledgor Checker 828 - Margin Pledge Setup Initiated by Pledgor Maker 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) 830 - Margin Pledge Setup Accept by Pledgee Maker 831 - Margin Pledge Setup Accept by Pledgee Checker (Cr. PB) 832 - Margin Pledge Setup Accept by Pledgee Checker (DB PSB) 833 - Margin Pledge Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 834 - Margin Re-pledge Setup Initiated by Pledgor Maker 835 - Margin Re-pledge Setup Approve by Pledgor Checker 836 - Margin Re-pledge Setup Accept by Pledgee Maker 837 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr. RPB --- Pledgor) 838 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr PEB--- Pledgee) 839 - Pledge Setup Approved by Pledgor Checker (Pending OTP Authentication) 840 - Pledge Cancelled in EOD due to Pending OTP Authentication
8	TRANSACTION SETUP DATE	DATE	14	PLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCKIN FLAG	CHAR	1	<ul style="list-style-type: none"> <li>• F – FREE</li> <li>• L – LOCK IN</li> </ul>
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	Original PSN	CHAR	10	Original PSN applicable for Auto CA of Temporary ISIN
13	UCC	CHAR	13	UCC in case of Margin Pledge/Margin Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Margin Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	PARENT PSN	CHAR	10	PARENT PSN (FOR NEW PSN CREATED DUE TO AUTO CA)
16	PLEDGE EXECUTION DATE	DATE	14	PLEDGE EXECUTION DATE
17	FIELD 4	NUMBER	6	NA
18	DOCUMENT NUMBER	CHAR	20	PLEDGE REQUEST FORM (PRF) NUMBER
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 1 – DIRECTORS / RELATIVE QUOTA</li> <li>• 2 – EMPLOYEE QUOTA</li> <li>• 3 – PREFERENTIAL QUOTA</li> <li>• 4 – PROMOTERS QUOTA</li> <li>• 5 – UNDERWRITERS QUOTA</li> <li>• 6 – PRIVATE PLACEMENT</li> <li>• 7 – OTHERS</li> <li>• 8 – ELSS2005</li> </ul>
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 5	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 6	DATE	14	NA
29	PLEDGE VALUE	NUMBER	16,3	PLEDGE VALUE
30	PLEDGE BALANCE	NUMBER	16,3	PLEDGE BALANCE DEBIT DUE TO AUTO CA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
31	PLEDGE AVAILABLE QUANTITY	NUMBER	16,3	REMAINING QUANTITY AVAILABLE FOR FURTHER UNPLEDGE AND / OR CONFISCATE
32	FIELD 7	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> <li>• 0 – SETUP INITIATED BY PLEDGOR MAKER</li> <li>• 0 – SETUP MODIFIED BY PLEDGOR MAKER</li> <li>• 0 – SETUP INITIATED BY PLEDGEE MAKER</li> <li>• 0 – SETUP REJECTED BY PLEDGEE MAKER</li> <li>• 0 – REVERSAL BY PLEDGEE</li> <li>• 0 – CANCELLED DUE TO AUTO CA</li> <li>• 1 - SETUP APPROVE BY PLEDGOR CHECKER (CREDIT PLEDGE SETUP BALANCE)</li> <li>• 2 - SETUP APPROVE BY PLEDGOR CHECKER ( IF LOCK IN FLAG = 'Y' DEBIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT)</li> <li>• 1 - SETUP CANCEL BY PLEDGOR (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT)</li> <li>• 1 - SETUP REJECT BY PLEDGEE CHECKER (DB. PSB, CR. LB) (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT)</li> <li>• 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB, CR. PB, CR. PLEDGEE BO PEB) (DEBIT PLEDGE SETUP BALANCE, CREDIT PLEDGE IN BALANCE IN PLEDGOR BO ACCOUNT, CREDIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 0 - CANCELLED DUE TO AUTO CA (FOR SETUP-MAKER)</li> <li>• 1 - CANCELLED DUE TO AUTO CA (DEBIT PLEDGE SETUP BALANCE IN PLEDGOR BO ACCOUNT)</li> <li>• 1 - TRANSFERRED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 1 - CLOSED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 0 - MODIFY BY PLEDGEE MAKER</li> <li>• 0 - MODIFY ACCEPT BY PLEDGEE CHECKER</li> </ul>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> <li>• 0 - MODIFY REJECT BY PLEDGEE CHECKER</li> <li>• 0 – CANCELLED IN EOD</li> <li>• 1 – REJECTED IN EOD</li> </ul>
34	EFTS CODE	NUMBER	2	35 - Setup Approve by Pledgor Checker (Cr. PSB) 35 - Setup Approve by Pledgor Checker, If lockin flag = 'Y' (Db. LB) 35 - Setup Cancel By Pledgor (Db. PSB, Cr. LB) 35 - Setup Reject by Pledgee Checker (Db. PSB, Cr. LB) 35 - Setup Accept by Pledgee Checker (Cr. PB) 35 - Setup Accept by Pledgee Checker, If lockin flag = 'Y' (Db. LB) 16 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 16 - Transferred due to Auto CA (Db. PB, Db. Pledgee BO PEB) 16 - Closed due to Auto CA (Db. PB, Db. Pledgee BO PEB) 35 - Rejected in EOD NA - For Others
35	TRANSACTION CODE	NUMBER	4	3408 - SETUP INITIATED BY PLEDGOR MAKER (801 & 824) 2220 - SETUP APPROVE BY PLEDGOR CHECKER (802) 2262 - SETUP APPROVE BY PLEDGOR CHECKER, IF LOCK IN FLAG = 'Y' (DB. LB -818) 2270 - SETUP CANCEL BY PLEDGOR (DR. PSB -804) 2212 - SETUP CANCEL BY PLEDGOR, IF LOCK IN FLAG = 'Y' (CR. LB -819) 3409 - SETUP ACCEPT BY PLEDGEE MAKER (805) 2270 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB -810) 2230 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PB -809) 2225 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PLEDGEE BO PEB -821) 3409 - SETUP REJECT BY PLEDGEE MAKER (806) 2270 - SETUP REJECT BY PLEDGEE CHECKER(807) 2212 - SETUP REJECT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB -820) 3409 - REVERSAL BY PLEDGEE (811)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				3463 - CANCELLED DUE TO AUTO CA (812 - FOR SM) 2270 - CANCELLED DUE TO AUTO CA (DB. PSB - 812 FOR SC, AM, RM) 2280 - TRANSFERRED DUE TO AUTO CA (DB. PB -813) 2275 - TRANSFERRED DUE TO AUTO CA (DB. PLEDGEE BO PEB -822) 2280 - CLOSED DUE TO AUTO CA (DB. PB -814) 2275 - CLOSED DUE TO AUTO CA (DB. PLEDGEE BO PEB - 822) 3443 - PLEDGE CANCEL IN EOD (815 & 823) 2270 - PLEDGE REJECT IN EOD (DB. PSB - 816 FOR SC, AM, RM) 2212 - PLEDGE REJECT IN EOD, IF LOCK IN FLAG = 'Y' (CR. LB -816) 3464 - PLEDGEE MODIFY MAKER (803) 3465 - PLEDGEE MODIFY CHECKER ACCEPT (808) 3466 - PLEDGEE MODIFY CHECKER REJECT (817) 3467 - PENDING SETUP BY PLEDGOR CHECKER(825) 3467 - OVER DUE SETUP BY PLEDGOR CHECKER(826) 3468 - PLEDGE REVERSAL BY PLEDGOR CHECKER (827) 3469 - MODIFICATION INITIATED BY PLEDGOR MAKER (827) 3412-- MARGIN PLEDGE SETUP INITIATED BY PLEDGOR MAKER(828) 2220-- CREDIT PLEDGE SETUP BALANCE(829) 3413-- MARGIN PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(830) 2230-- CREDIT PLEDGE BALANCE(831) 2270-- DEBIT PLEDGE SETUP BALANCE(832) 2225-- CREDIT PLEDGEE BALANCE(833) 3414-- MARGIN RE-PLEDGE SETUP INITIATED BY PLEDGOR MAKER(834) 3415-- MARGIN RE-PLEDGE SETUP APPROVE BY PLEDGOR CHECKER(835) 3416-- MARGIN RE-PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(836) 2231 --CREDIT RE-PLEDGE BALANCE(PLEDGOR)(837) 2225-- CREDIT PLEDGEE BALANCE(838) 3424 -Pledge Setup Approved by Pledgor Checker (Pending OTP Authentication) (839) 3425 -Pledge Cancelled in EOD due to Pending OTP Authentication (840)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
36	MODIFICATION FLAG	NUMBER	2	<ul style="list-style-type: none"> <li>• 0 – NOT MODIFIED</li> <li>• 1 – MODIFIED</li> </ul>
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEER DP REMARKS	CHAR	100	PLEDGEER DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD, 4 – EASIEST UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 8	CHAR	9	NA
45	EXCHANGE ID	NUMBER	2	EXCHANGE ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
46	CM ID	CHAR	16	CM ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
47	TM ID / CP ID	CHAR	100	TM ID/CP ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
48	ENTITY IDENTIFIER	CHAR	14	ENTITY IDENTIFIER IN CASE OF MARGIN PLEDGE/RE-PLEDGE CP= CP ID TM= TM ID
49	Parent Margin Pledge PSN	NUMBER	<b>10</b>	PARENT MARGIN PLEDGE PSN (Applicable in case of NEW MARGIN REPLEDGE PSN)
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	9 <b>(UNPLEDGE)</b>
3	BO ID	CHAR	16	PLEDGOR BO ID/ PLEDGEE BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER <b>(PSN)</b>
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> <li>• 901 – SETUP INITIATED BY PLEDGOR MAKER</li> <li>• 902 – SETUP APPROVED BY PLEDGOR CHECKER</li> <li>• 903 – SETUP CANCELLED BY PLEDGOR</li> <li>• 904 – SETUP ACCEPTED BY PLEDGEE MAKER</li> <li>• 905 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 906 – SETUP REJECTED BY PLEDGEE MAKER</li> <li>• 907 – SETUP REJECTED BY PLEDGEE CHECKER</li> <li>• 908 – REVERSAL BY PLEDGEE</li> <li>• 909 – CANCELLED DUE TO AUTO CA</li> <li>• 910 – CANCELLED IN EOD</li> <li>• 911 REJECTED IN EOD</li> <li>• 912 - SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 913 - SETUP APPROVED BY PLEDGEE CHECKER (CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 914 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker</li> <li>• 915 - Margin Repledge Unpledge Setup Approved by Pledgor Checker</li> <li>• 916 - Margin Repledge Unpledge Setup Accept by Pledgee Maker</li> <li>• 917 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. RPB --- Pledgor)</li> <li>• 918 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB)</li> <li>• 919 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker</li> </ul>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> <li>• 920 - Margin Pledge Unpledge Setup Approved by Pledgor Checker</li> <li>• 921 - Margin Pledge Unpledge Setup Accept by Pledgee Maker</li> <li>• 924 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. PB)</li> <li>• 925 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB)</li> </ul>
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE COUNTER	NUMBER	6	UNPLEDGE COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER ( <b>URF</b> )
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 1 – DIRECTORS / RELATIVE QUOTA</li> <li>• 2 – EMPLOYEE QUOTA</li> <li>• 3 – PREFERENTIAL QUOTA</li> <li>• 4 – PROMOTERS QUOTA</li> <li>• 5 – UNDERWRITERS QUOTA</li> <li>• 6 – PRIVATE PLACEMENT</li> <li>• 7 – OTHERS</li> <li>• 8 – ELSS2005</li> </ul>
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> <li>• 0 – SETUP INITIATED BY PLEDGOR MAKER (901)</li> <li>• 0 – SETUP APPROVED BY PLEDGOR CHECKER (902)</li> <li>• 0 – SETUP CANCELLED BY PLEDGOR – (3409) (903)</li> <li>• 0 – SETUP ACCEPTED BY PLEDGEE MAKER (904)</li> <li>• 0 – SETUP REJECTED BY PLEDGEE MAKER (906)</li> <li>• 0 – SETUP REJECTED BY PLEDGEE CHECKER (907)</li> <li>• 0 – REVERSAL BY PLEDGEE (908)</li> <li>• 1 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE - 905)</li> <li>• 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910)</li> <li>• 2 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911)</li> <li>• 0 – CANCELLED DUE TO AUTO CA – (909)</li> </ul>
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (905)</li> <li>• 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911)</li> <li>• 35 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910)</li> <li>• NA – FOR OTHERS</li> </ul>
35	TRANSACTION CODE	NUMBER	4	<p>3409 - SETUP INITIATED BY PLEDGOR MAKER            3409 - SETUP APPROVE BY PLEDGOR CHECKER            3409 - SETUP CANCEL BY PLEDGOR            3409 - SETUP ACCEPT BY PLEDGEE MAKER            2280 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PB-905)            2275 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PLEDGEE BO PEB-910)            2212 - SETUP ACCEPT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB-911)            3409 - SETUP REJECT BY PLEDGEE MAKER            3409 - SETUP REJECT BY PLEDGEE CHECKER            3409 - REVERSAL BY PLEDGEE</p>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				3463 - CANCELLED DUE TO AUTO CA 3451 - CANCELLED IN EOD/REJECTED IN EOD  3418 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker(914) 3419 - Margin Repledge Unpledge Setup Approved by Pledgor Checker(915) 3420 - Margin Repledge Unpledge Setup Accept by Pledgee Maker(916) 2281 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Re-Pledge Balance) (917) 2275 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (918) 3421 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker (919) 3422 - Margin Pledge Unpledge Setup Approved by Pledgor Checker (920) 3423 - Margin Pledge Unpledge Setup Accept by Pledgee Maker (921) 2280 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledge Balance) (922) 2275 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (923)
36	MODIFICATION FLAG	NUMBER	2	1 - MODIFIED 0 - NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEER DP REMARKS	CHAR	100	PLEDGEER DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID/CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	10 ( <b>AUTO UNPLEDGE</b> )
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CREDIT / DEBIT PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER ( <b>PSN</b> )
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> <li>• 1001 – AUTO UNPLEDGE MAKER</li> <li>• 1002 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT)</li> <li>• 1007 – AUTO UNPLEDGE CHECKER (IF LOCK IN FLAG = 'Y', CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT)</li> <li>• 1003 – AUTO UNPLEDGE CANCEL</li> <li>• 1004 – CANCELLED DUE TO AUTO CA</li> <li>• 1006 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT)</li> <li>• 1005 – REVERSAL IN EOD</li> <li>• 1008 - Margin Repledge AutoUnpledge Maker</li> <li>• 1009 - Margin Repledge AutoUnpledge Checker (Db. RPB --- Pledgor)</li> <li>• 1010 - Margin Repledge AutoUnpledge Checker (Db. PEB --- Pledgee)</li> <li>• 1011 - Margin Pledge AutoUnpledge Maker</li> <li>• 1012 - Margin Pledge AutoUnpledge Checker (Db. PB --- Pledgor)</li> <li>• 1013 - Margin Pledge AutoUnpledge Checker (Db.PEB --- Pledgee)</li> </ul>
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	<ul style="list-style-type: none"> <li>• F – FREE L – LOCK IN</li> </ul>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE PART COUNTER	NUMBER	6	AUTO UNPLEDGE PART COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER <b>(URF)</b>
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 1 – DIRECTORS / RELATIVE QUOTA</li> <li>• 2 – EMPLOYEE QUOTA</li> <li>• 3 – PREFERENTIAL QUOTA</li> <li>• 4 – PROMOTERS QUOTA</li> <li>• 5 – UNDERWRITERS QUOTA</li> <li>• 6 – PRIVATE PLACEMENT</li> <li>• 7 – OTHERS</li> <li>• 8 – ELSS2005</li> </ul>
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> <li>• 0 – AUTO UNPLEDGE MAKER (1001)</li> <li>• 0 – AUTO UNPLEDGE CANCEL (1003)</li> <li>• 0 – CANCELLED DUE TO AUTO CA (1004)</li> <li>• 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT - 1002)</li> <li>• 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 1002)</li> <li>• 2 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 1002)</li> </ul>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 0 – AUTO UNPLEDGE MAKER – (1001)</li> <li>• 0 – AUTO UNPLEDGE CANCEL – (1003)</li> <li>• 0 – AUTO UNPLEDGE CANCELLED DUE TO AUTO CA (1004)</li> <li>• 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT 1002)</li> <li>• 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002)</li> <li>• 35 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG IS `Y` CREDIT LOCKIN BALANCE 1002)</li> </ul>
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> <li>• 2212 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = `Y` CREDIT LOCKIN BALANCE 1002)</li> <li>• 2275 - AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002 )</li> <li>• 2230 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE 1002)</li> <li>• 3409 – AUTO UNPLEDGE CANCEL– (1003)</li> <li>• 3409 – AUTO UNPLEDGE MAKER – (1001)</li> <li>• 3463 – CANCELLED DUE TO AUTO CA – (1004)</li> <li>• 3451 – REVERSAL IN EOD (1005)</li> <li>• 3417- Margin Repledge AutoUnpledge Maker(1008)</li> <li>• 2281 - Margin Repledge AutoUnpledge Checker (Debit Re-Pledge Balance) - (1009)</li> <li>• 2275 - Margin Repledge AutoUnpledge Checker (Debit Pledgee Balance) - (1010)</li> <li>• 3401- Margin Pledge AutoUnpledge Maker(1011)</li> <li>• 2280- Margin Pledge AutoUnpledge Checker (Debit Pledge Balance) - (1012)</li> <li>• 2275- Margin Pledge AutoUnpledge Checker (Debit Pledgee Balance) - (1013)</li> </ul>
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEE DP REMARKS	CHAR	100	PLEDGEE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID / CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	11 ( <b>CONFISCATE / INVOCATION</b> )
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CR/DB PLEDGEE TRANSACTIONS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER ( <b>PSN</b> )
6	CONFISCATION QUANTITY	NUMBER	16,3	CONFISCATION PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> <li>• 1101 – SETUP INITIATED BY PLEDGEE MAKER</li> <li>• 1102 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR)</li> <li>• 1108-Setup approved by Pledgee checker (Db. PEB) (Pledgee)</li> <li>• 1103 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR)</li> <li>• 1104 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE)</li> <li>• 1105 – REVERSAL BY PLEDGEE</li> <li>• 1106 – CANCELLED DUE TO AUTO CA</li> <li>• 1107 – REVERSAL IN EOD</li> <li>• 1109-Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker</li> <li>• 1110-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CC</li> <li>• 1111-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CM</li> <li>• 1112-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) CM</li> <li>• 1113-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) TM</li> <li>• 1114-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM</li> <li>• 1115-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO</li> <li>• 1116-Db. CB (Pledgor) BO</li> <li>• 1117-Cr. CB (Pledgee) CC/CM</li> </ul>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> <li>• 1118-Margin Pledge Confiscate Setup Initiated by Pledgee Maker</li> <li>• 1119-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM</li> <li>• 1120-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO</li> <li>• 1121-Db. CB (Pledgor) BO</li> <li>• 1122-Cr. CB (Pledgee) TM</li> </ul>
8	TRANSACTION SETUP DATE	DATE	14	CONFISCATION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	<ul style="list-style-type: none"> <li>• F – FREE</li> <li>• L – LOCK IN</li> </ul>
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	CONFISCATION COUNTER	NUMBER	6	CONFISCATION COUNTER
18	DOCUMENT NUMBER	CHAR	20	INVOCATION REQUEST FORM NUMBER (IRF)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 1 – DIRECTORS / RELATIVE QUOTA</li> <li>• 2 – EMPLOYEE QUOTA</li> <li>• 3 – PREFERENTIAL QUOTA</li> <li>• 4 – PROMOTERS QUOTA</li> <li>• 5 – UNDERWRITERS QUOTA</li> <li>• 6 – PRIVATE PLACEMENT</li> <li>• 7 – OTHERS</li> <li>• 8 – ELSS2005</li> </ul>
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	<ul style="list-style-type: none"> <li>• M – INITIATED</li> <li>• C – VERIFIED</li> </ul>
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> <li>• 0 – SETUP INITIATED BY PLEDGEE MAKER – (1101)</li> <li>• 0 – REVERSAL BY PLEDGEE – (1105)</li> <li>• 0 – CANCELLED DUE TO AUTO CA – (1106)</li> <li>• 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102)</li> <li>• 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103)</li> <li>• 1 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104)</li> <li>• 1 - Setup Approve by Pledgee Checker Db. PEB (Pledgee) -(1108)</li> </ul>
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> <li>• 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102)</li> <li>• 35 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108)</li> <li>• 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103)</li> <li>• 35 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104)</li> <li>• NA – FOR ANY OTHERS</li> </ul>
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> <li>• 2246 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104)</li> <li>• 2275 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108)</li> <li>• 2277 – SETUP APPROVED BY PLEDGEE CHECKER (DEBT CURRENT BALANCE – PLEDGOR – 1103)</li> <li>• 2280 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102)</li> <li>• 3409 – SETUP INITIATED BY PLEDGEE MAKER (1101)</li> <li>• 3409 – REVERSAL BY PLEDGEE (1105)</li> <li>• 3463 – CANCELLED DUE TO AUTO CA (1106)</li> <li>• 3462 – REVERSAL IN EOD – (1107)</li> <li>• 3402- Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker(1109)</li> <li>• 2275 -Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1110)</li> </ul>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> <li>• 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1111)</li> <li>• 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1112)</li> <li>• 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1113)</li> <li>• 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1114)</li> <li>• 2280- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1115)</li> <li>• 2277- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1116)</li> <li>• 2246- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1117)</li> <li>• 3403- Margin Pledge Confiscate Setup Initiated by Pledgee Maker(1118)</li> <li>• 2275- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1119)</li> <li>• 2280- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1120)</li> <li>• 2277- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1121)</li> <li>• 2246- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1122)</li> </ul>
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEER DP REMARKS	CHAR	100	PLEDGEER DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
41	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> <li>• 1 – ONLINE</li> <li>• 2 – UPLOAD</li> </ul>
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID / CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

### Report Documentation

Report ID : DPC9

Report Description : Online Statement of Transaction and Holding

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier	Varchar	(2)	"01"
DP Id.	Number	(5)	
BO Id.	Varchar	(16)	
From Date	Varchar	(10)	Format (DD-MM-YYYY)
To Date	Varchar	(10)	Format (DD-MM-YYYY)
Identifier	Varchar	(2)	"02"
ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Settlement Id	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR 7 - Listed – Defaulted in Redemption 8 – Unlisted – Defaulted in Redemption
Security Type	Varchar	(50)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
Identifier	Varchar	(2)	"03"
From date	Varchar	(10)	Format (DD-MM-YYYY)
Transaction Particulars	Varchar	(15)	"Opening Balance"
Transaction Qty1	Nil	(0)	

## Annexure - F

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Transaction Qty2	Nil	(0)	
Opening balance	Number	(13.3)	Max (13.3) and min length (1.3)
Identifier	Varchar	(2)	"04"
Transaction Date	Varchar	(10)	
Transaction Particulars	Varchar	(60)	
Credit/Debit Type	Varchar	(1)	C/D
Transaction Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Calculated Current balance after transaction	Number	(13.3)	Max length (13.3) and min length (1.3)
Settlement Id	Varchar	(13)	
Counter Settlement Id	Varchar	(13)	
Transaction ID	Number	(8)	
Delivery Instruction Slip	Varchar	(16)	
Identifier	Varchar	(2)	"05"
To Date	Varchar	(10)	
Transaction Particulars	Varchar	(15)	"Closing Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Closing balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Identifier	Varchar	(2)	"06"
Pending Since date / Lockin expiry date / Destat Setup Date / Margin Pledge Setup Date/ Margin Re-Pledge Setup Date/ Normal Pledge Setup Date/ Margin Funding Pledge Setup Date	Varchar	(10)	Format (DD-MM-YYYY)
D - Demat R -Remat / Restat L –Lockin M – Destat P – Margin Pledge E- Margin Re-Pledge N- Normal Pledge F- Margin Funding Pledge	Varchar	(1)	D / R / L / M / P / E / N / F

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin / Destat Pending / Margin Pledge Transaction Details / Margin Re-Pledge Transaction Details / Normal Pledge Transaction Details / Margin Funding Pledge Transaction Details /	Varchar	(60)	Max (60) Min (17) For e.g. Margin Pledge Transactions MP Accept: <PSN> CTRBO <Pledgee BO ID (TM-CMPA or CM- CMPA or TM/CM- CMPA)> SG <Segment Code> EX <EX ID>  Margin Re-Pledge Transactions  MRP Accept: <PSN> CTRBO <Pledgor BO ID (TM-CMPA or CM- CMPA or TM/CM- CMPA)> SG <Segment Code> EX <EX ID>  Normal Pledge Transactions  Pledge Accept: <PSN> CTRBO <Pledgee BO ID>  Margin Funding Pledge Transactions  Pledge Accept: <PSN> CTRBO <Pledgee BO ID (Margin Funding Account)>
Demat / remat / Restat rejected qty or demat / remat / Restat pending qty/ Lockin Quantity / Pending Destat Quantity / Margin Pledge Quantity / Margin Re-Pledge Quantity / Normal Pledge Quantity / Margin Funding Pledge Quantity	Number	(13.3)	Max length (13.3) and min length (1.3) For Pending Destat if all quantity flag is "A" then quantity will be printed as 0
Identifier	Varchar	(2)	"07"
ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Current Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
SAFE KEEP Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Pledged Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Free Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Locked in Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Earmarked Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Lend Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
AVL Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Borrow Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Repledge Balance	Number	(13,3)	Max length (13.3) and min length (1.3)
Isin level freeze flag + Bolsin level freeze flag + freeze suspend flag	Varchar	(3)	Max length 3 (1*3) and min length 0
Settlement ID	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR
Security Type	Varchar	(4)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
BO ISIN Holding Valuation	Number	(16,3)	
Identifier	Number	(2)	“08”
Count	Number	(1)	“0”
Business date	Date	(8)	DDMMYYYY
System Date and Time	Varchar	(24)	

**NOTE:**

1. For every line “01”, there will be a corresponding entry of BO names and addresses in DPD9 report.
2. If the BO does not have any transactions during the time period and his current balance is also nil, then only line “01” is printed.

3. If the BO does not have any transactions during the period, but his current balance is not nil, then line "01", line "02", line "03", line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
4. If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.
5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. Report Criteria for DP:
  - Single BO
  - ISIN and Customer Type
  - ALL Bo's
  - Customer Type
  - BO Category and Customer Type
  - BO – ISIN
  - Settlement ID (For CM BO)
7. Naming convention of DPC9 change in case of online report if report is setup for single BO.  
Updated for single BO criteria: - 08DPC9U.<BOID><DDMMYYYYHHMISS>  
Other than single BOID criteria: 08DPC9U.<even no>.
8. The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.
9. ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPC9 Report.
10. Pending Destat, Margin Pledge, Margin Re-pledge and Margin Funding Pledge Records will be printed in Line "06" for Memo Balance.
11. Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.

### Transaction Descriptions

## Line 04:

## A) Margin Pledge

## 1. Margin Pledge Setup by Pledgor Checker

"MP"(2)+"Setp:"(5)+<PSN>(10)+"CTRBO"(5)+"<BOID>(16)+"CR"(2)+"PSB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 60

Sample entry – MP Setp:0005128243 CTRBO 1204470001396435 CR PSB SG FO EX 11

## 2. Margin Pledge Accept by Pledgee Checker

## • Debit Pledge Setup Balance

"MP"(2)+"Acpt:"(5)+<PSN>(10)+"CTRBO"(5)+"<BOID>(16)+"DR"(2)+"PSB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 60

Sample entry – MP Acpt:0005128243 CTRBO 1204470001396435 DR PSB SG FO EX 11

## • Credit Pledge Balance

"MP"(2)+"Acpt:"(5)+<PSN>(10)+"CTRBO"(5)+"<BOID>(16)+"CR"(2)+"PB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 59

Sample entry – MP Acpt:0005128243 CTRBO 1204470001396435 CR PB SG FO EX 11

## • Credit Pledgee Balance

"MP"(2)+"Acpt:"(5)+<PSN>(10)+"CTRBO"(5)+"<BOID>(16)+"CR"(2)+"PEB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 60

Sample entry – MP Acpt:0005128243 CTRBO 1204470001400233 CR PEB SG FO EX 11

## 3. Margin Pledge Unpledge Accept by Pledgee Checker

## • Debit Pledge Balance

"MP"(2)+"Unpledge"(8)+"Acpt:"(5)+"PSN">(10)+"Cntr:"(5)+"counter">(4)+"DR"  
R"(2)+"PB"(2)+"SG"(2)+"Segment Code">(2)+"EX"(2)+"Exchange Id">(2)  
Total Length – 55

**Sample entry** – MP Unpledge Acpt:0005112879 Cntr:0001 DR PB SG FO EX 11

- **Debit Pledgee Balance**

"MP"(2)+"Unpledge"(8)+"Acpt:"(5)+"PSN">(10)+"Cntr:"(5)+"counter">(4)+"DR"  
R"(2)+"PEB"(3)+"SG"(2)+"Segment Code">(2)+"EX"(2)+"Exchange  
Id">(2)

Total Length – 56

**Sample entry** – MP Unpledge Acpt:0005112879 Cntr:0001 DR PEB SG FO EX 11

#### 4. Margin Pledge Confiscate Accept by Pledgee Checker

- **Debit Pledge Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN">(10)+"Cntr:"(5)+"counter">(4)+"DR"  
DR"(2)+"PB"(2)+"SG"(2)+"Segment Code">(2)+"EX"(2)+"Exchange  
Id">(2)

Total Length – 57

**Sample entry** – MP Confiscate Acpt:0004890260 Cntr:0001 DR PB SG FO EX 11

- **Debit Current Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN">(10)+"Cntr:"(5)+"counter">(4)+"DR"  
DR"(2)+"CB"(2)+"SG"(2)+"Segment Code">(2)+"EX"(2)+"Exchange  
Id">(2)

Total Length – 57

**Sample entry** – MP Confiscate Acpt:0004890260 Cntr:0001 DR CB SG FO EX 11

- **Debit Pledgee Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN">(10)+"Cntr:"(5)+"counter">(4)+"DR"  
DR"(2)+"PEB"(3)+"SG"(2)+"Segment Code">(2)+"EX"(2)+"Exchange  
Id">(2)

Total Length – 58

**Sample entry** – MP Confiscate Acpt:0004890260 Cntr:0001 DR PEB SG FO EX 11

- **Credit Current Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN">(10)+"Cntr:"(5)+"counter">(4)+"CR"  
CR"(2)+"CB"(2)+"SG"(2)+"Segment Code">(2)+"EX"(2)+"Exchange  
Id">(2)

Total Length – 57

Sample entry – MP Confiscate Acpt:0004890260 Cntr:0001 CR CB SG FO EX 11

## B) Margin Re-Pledge

### 1. Margin Re-Pledge Accept by Pledgee Checker

- Credit Re-Pledge Balance

“MRP”(3)+#+"Acpt:"(5)+<PSN>(10)+#+"CTBO"(4)+#+"BOID">(16)+#+"CR"(2)+#+"RPB"(3)+#+"SG"(2)+#+"<Segment Code>(2)+#+"EX"(2)+#+"<Exchange Id>(2)

Total Length – 60

Sample entry – MRP Acpt:0005128243 CTBO 1204470001396435 CR RPB SG FO EX 11

- Credit Pledgee Balance

“MRP”(3)+#+"Acpt:"(5)+<PSN>(10)+#+"CTBO"(4)+#+"BOID">(16)+#+"CR"(2)+#+"PEB"(3)+#+"SG"(2)+#+"<Segment Code>(2)+#+"EX"(2)+#+"<Exchange Id>(2)

Total Length – 60

Sample entry – MRP Acpt:0005128243 CTBO 1204470001400233 CR PEB SG FO EX 11

### 2. Margin Re-Pledge Unpledge Accept by Pledgee Checker

- Debit Re-Pledge Balance

“MRP”(3)+#+"Unpledge"(8)+#+"Acpt:"(5)+<PSN>(10)+#+"Cntr:"(5)+<counter>(4)+#+"DR"(2)+#+"RPB"(3)+#+"SG"(2)+#+"<Segment Code>(2)+#+"EX"(2)+#+"<Exchange Id>(2)

Total Length – 57

Sample entry – MRP Unpledge Acpt:0005112879 Cntr:0001 DR RPB SG FO EX 11

- Debit Pledgee Balance

“MRP”(3)+#+"Unpledge"(8)+#+"Acpt:"(5)+<PSN>(10)+#+"Cntr:"(5)+<counter>(4)+#+"DR"(2)+#+"PEB"(3)+#+"SG"(2)+#+"<Segment Code>(2)+#+"EX"(2)+#+"<Exchange Id>(2)

Total Length – 57

Sample entry – MRP Unpledge Acpt:0005112879 Cntr:0001 DR PEB SG FO EX 11

### 3. Margin Re-Pledge Confiscate Accept by Pledgee Checker

- Debit Pledge Balance

"MRP"(3)+"Confiscate"(10)+"Acpt.:"(5)+"PSN">(10)+"Cntr.:"(5)+"<counter>(4)+"  
+"DR"(2)+"PB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange  
Id>(2)

Total Length – 58

**Sample entry** – MRP Confiscate Acpt:0004890260 Cntr:0001 DR PB SG FO EX 11

- **Debit Current Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.:"(5)+"PSN">(10)+"Cntr.:"(5)+"<counter>(4)+"  
+"DR"(2)+"CB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange  
Id>(2)

Total Length – 58

**Sample entry** – MRP Confiscate Acpt:0004890260 Cntr:0001 DR CB SG FO EX 11

- **Debit Re-Pledge Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.:"(5)+"PSN">(10)+"Cntr.:"(5)+"<counter>(4)+"  
+"DR"(2)+"RPB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange  
Id>(2)

Total Length – 59

**Sample entry** – MRP Confiscate Acpt:0004890260 Cntr:0001 DR RPB SG FO EX 11

- **Debit Pledgee Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.:"(5)+"PSN">(10)+"Cntr.:"(5)+"<counter>(4)+"  
+"DR"(2)+"PEB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange  
Id>(2)

Total Length – 59

**Sample entry** – MRP Confiscate Acpt:0004890260 Cntr:0001 DR PEB SG FO EX 11

- **Credit Current Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.:"(5)+"PSN">(10)+"Cntr.:"(5)+"<counter>(4)+"  
+"CR"(2)+"CB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange  
Id>(2)

Total Length – 58

**Sample entry** – MRP Confiscate Acpt:0004890260 Cntr:0001 CR CB SG FO EX 11

## C) Normal Pledge/Margin Funding Pledge

### 1. Normal Pledge Setup by Pledgor Checker

"Pledge"(6)+"Setup:"(6)+<PSN>(10)+"CTR BO"(6)+"<BOID>(16)+ #+ "CR"(2)+  
#+ "PSB"(3)

Total Length – 54

**Sample entry** – Pledge Setup:1174631374 CTR BO:1201090016192019 CR PSB

## 2. Normal Pledge Accept by Pledgee Checker

- **Debit Pledge Setup Balance**

"Pledge"(6)+"Accept:"(7)+<PSN>(10)+"CTR BO"(6)+"<BOID>(16)+ #+  
"DR"(2)+ #+ "PSB"(3)

Total Length – 55

**Sample entry** – Pledge Accept:1174631374 CTR BO:1201090016192019 DR PSB

- **Credit Pledge Balance**

"Pledge"(6)+"Accept:"(7)+<PSN>(10)+"CTR BO"(6)+"<BOID>(16)+ #+  
"CR"(2)+ #+ "Pledge"(6)

Total Length – 58

**Sample entry** – Pledge Accept:1174631374 CTR BO:1201090016192019 CR  
Pledge

- **Credit Pledgee Balance**

"Pledge"(6)+"Accept:"(7)+<PSN>(10)+"CTR BO"(6)+"<BOID>(16)+ #+  
"CR"(2)+ #+ "Pledgee"(7)

Total Length – 59

**Sample entry** – Pledge Accept:1174631374 CTR BO:1201090012178638 CR  
Pledgee

## 3. Normal Pledge Unpledge Accept by Pledgee Checker

- **Debit Pledge Balance**

"Unpledge"(8)+"Accept:"(7)+<PSN>(10)+"Counter:"(8)+<counter>(4)+ #+ "DR"(2)  
+ #+ "Pledge"(6)

Total Length – 49

**Sample entry** – Unpledge Accept:1172106384 Counter:0002 DR Pledge

- **Debit Pledgee Balance**

"Unpledge"(8)+"Accept:"(7)+<PSN>(10)+"Counter:"(8)+<counter>(4)+ #+ "DR"(2)  
+ #+ "Pledgee"(7)

Total Length – 50

**Sample entry** – Unpledge Accept:1172106384 Counter:0002 DR Pledgee

#### 4. Normal Pledge Confiscate Accept by Pledgee Checker

- **Debit Pledge Balance**

"Confiscate"(10)+#+ "Accept:"(7)+<PSN>(10)+#+ "Counter:"(8)+<counter>(4)+#+ "DR"(2)+#+ "PB"(2)

Total Length – 47

**Sample entry** – Confiscate Accept:1175311818 Counter:0001 DR PB

- **Debit Current Balance**

"Confiscate"(10)+#+ "Accept:"(7)+<PSN>(10)+#+ "Counter:"(8)+<counter>(4)+#+ "DR"(2)+#+ "Current"(7)

Total Length – 52

**Sample entry** – Confiscate Accept:1175311818 Counter:0001 DR Current

- **Debit Pledgee Balance**

"Confiscate"(10)+#+ "Accept:"(7)+<PSN>(10)+#+ "Counter:"(8)+<counter>(4)+#+ "DR"(2)+#+ "Pledgee"(7)

Total Length – 52

**Sample entry** – Confiscate Accept:1175311818 Counter:0001 DR Pledgee

- **Credit Current Balance**

"Confiscate"(10)+#+ "Accept:"(7)+<PSN>(10)+#+ "Counter:"(8)+<counter>(4)+#+ "CR"(2)+#+ "Current"(7)

Total Length – 52

**Sample entry** – Confiscate Accept:1175311818 Counter:0001 CR Current

#### Line 06 : Memo balance Description for

##### 1. Margin Pledge

"MP"(2)+#+ "Accept:"(7)+#+<PSN>(10)+#+ "CTRBO"(5) +#+<Pledgor BO ID(TM-CMPA or CM-CMPA or TM/CM-CMPA)>(16)+#+SG(2)+#+<Segment Code>(2)+#+EX(2)+#+<EX ID>(2)

Total Length – 56

**Sample entry** – MP Accept: 0005128243 CTRBO 1204470001396435 SG FO EX 11

## 2. Margin Re-pledge

"MRP"(3)+"Accept:"(7)+"<PSN>(10)+"CTRBO"(5) +"+<Pledgor BO ID(TM-CMPA or CM-CMPA or TM/CM-CMPA)>(16)+"SG(2)+"<Segment Code>(2)+"EX(2)+"EX ID>(2)

Total Length – 57

Sample entry – MRP Accept: 0005128243 CTRBO 1204470001396435 SG FO EX 11

## 3. Normal Pledge

"Pledge"(6)+"Accept:"(7)+"<PSN>(10)+"CTRBO"(5) +"+<Pledgor BO ID>(16)

Total Length – 48

Sample entry – Pledge Accept: 0005128243 CTRBO 1204470001396435

## 4. Margin Funding Pledge

"Pledge"(6)+"Accept:"(7)+"<PSN>(10)+"CTRBO"(5) +"+<Pledgor BO ID(Margin Funding Account)>(16)

Total Length – 48

Sample entry – Pledge Accept: 0005128243 CTRBO 1204470001396435

## Report Documentation

**Report ID** : DPN6

**Report Description** : Report for Pending Authenticated Transactions for DP.

**Module** : Upload

**Header Record:-**

The format of the header record will be same as common upload file format header record.

Field	SIZE	Value
DP ID	Number ( 6 )	DP ID
Total Number of Records	Number ( 6 )	Total number of records for the given criteria

**Detail Record:-** For Txn Type 07-Pledge

Field	SIZE	Start XML Tag	Value	End XML Tag
TXN TYPE	Number (02)	<Tp>	07-Pledge	</Tp>
UNIQUE SERIAL NO	Number (08)	<Usn>		</Usn>
PLEDGE TYPE	Char (1)	<Pldgtp>	Possible Values 'P'- Pledge 'U'- Unpledge 'C'- Confiscate 'A'- Auto Unpledge	</Pldgtp>
PLEDGE SUB TYPE	Char (1)	<Subtp>		</Subtp>
FREE LOCKIN FLAG	Char (1)	<Lcksts>		</Lcksts>
LOCKIN ID	Number (16)	<Lckid>		</Lckid>
PL REQUEST FORM NUMBER	Char (16)	<Prf>		</Prf>
PLEDGOR BO ID	Char (16)	<Bnfcry>		</Bnfcry>
PLEDGEE BO ID	Char (16)	<Ctrpty>		</Ctrpty>
ISIN	Char (12)	<ISIN>		</ISIN>
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**Annexure - G**

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AGREEMENT NO	Char (20)	<Agrmt>		</Agrmt>
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