



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/A,I&C/DP/POLCY/2021/253

June 07, 2021

REVISED CHECKLIST ON CONCURRENT AUDIT OF RISK PRONE AREAS BY AUDITORS OF THE DPs

The DPs were advised to have Concurrent Audit of risk prone areas done daily on 100% basis by the Concurrent Auditors as per the communiqué no. CDSL/A,I&C/DP/POLCY/2020/446 dated October 14, 2020.

The DPs are now advised to note that the concurrent auditors should conduct the audit of the DPs as per the revised checklist given in **Annexure A** on 100% basis daily effective from **June 01, 2021** and submit the concurrent audit report to the DP on a monthly basis by the 10th of the following month.

In view of representation received from DPs, relaxation granted to the DPs has been extended till July 31, 2021 to complete concurrent audit from 1st April 2021 to 15th July 2021.

Queries regarding this communiqué may be addressed to CDSL – Audit, Inspection & Compliance Department on telephone nos. (022) 2305-8578, 2305-8879, 2305-8691 and 2305-8513.

sd/-

Latha Nair
Assistant Vice President – Audit, Inspection & Compliance