



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2021/226

May 17, 2021

DISSEMINATION OF INFORMATION ON ACTION TAKEN AGAINST DEPOSITORY PARTICIPANTS ON THE WEBSITE OF DEPOSITORIES

DPs are aware that CDSL conducts inspection of Depository Participants to ascertain whether the participants so registered carry on the business in line with the provisions of the Regulations and also whether the conduct of the participant is in the overall interest of the capital market and the investors. Further, joint inspections along with SEBI, Stock Exchanges and NSDL are also conducted on case-to-case basis.

In reference to above, SEBI vide its letter dated May 11, 2021 has directed Market Infrastructure Institutions (MIIs) to post information / data pertaining to the action taken against the Depository Participants pursuant to joint inspection and independent inspection carried out from April 2018 onwards.

In view of the above, CDSL will be arranging to disseminate the information / data pertaining to action taken against DPs on its website www.cdslindia.com.

DPs are advised to take note of the same.

Queries regarding this communiqué may be addressed to Audit, inspection & Compliance on telephone numbers (022) 2305-8678, 2305-8679, 2305-8691, 2305-8515. Emails may be sent to: audit@cdslindia.com

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Farokh Patel
Vice President – Audit, Inspection & Compliance