



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/SETTL/2021/214

May 07, 2021

ACCEPTANCE OF DELIVERY INSTRUCTIONS THROUGH ONLINE PORTAL OF DEPOSITORY PARTICIPANTS / STOCK BROKERS

DPs are advised to refer CDSL communique nos. CDSL/OPS/DP/SETTL/2021/54, 156 and 185 dated February 8, 2021, April 6, 2021, and April 22, 2021 respectively regarding a facility of accepting Delivery Instructions through Online Portal of Depository Participant (**DP**) / Stock Brokers (**SB**). DPs were also informed that said facility is scheduled for release on Friday, May 7, 2021 to make it effective from Saturday 8, 2021. All the operational modalities have also been mentioned in the aforesaid communiques. While the said facility is being scheduled for release as mentioned above, DPs are advised to make note of below-mentioned points:

1. This functionality will be applicable only to those DPs who are already registered for e-DIS facility or DPs who willing to offer this facility to their clients.
2. Further, operational modalities mentioned in the aforesaid communiques will be applicable in respect of Pre-Trade E-DIS Transactions (**EDT**) based on Pre-Trade Mandate / Authorization (**PTM**) provided by the client through DP / Broker Portal for Early Pay-in Transactions (**EPEDT**), ON-Market Transactions (**ONEDT**), BO Obligation Confirmation Transactions (**BOEDT**) and Inter Depository On-Market/Early Pay-in Transactions (**IDEDT**).
3. Although DPs would be allowed to upload EPEDT (within CDSL early pay-in) effective from T-1 day, execution of early pay-in for IDEDT (inter depository early pay-in) would be allowed effective from T day.
4. In partial modification to points 4 (e), 5 (g), 6 (e) of communique no. 156 dated April 6, 2021 and point 4 (e) of communique no. 185 dated April 22, 2021, DPs are requested to take note of the following:
 - a) ONEDT, BOEDT and On-Market IDEDT transactions would be 'considered' for processing if transaction quantity is less than or equal to balance obligation quantity and would be 'rejected' for processing if transaction quantity is more than the balance obligation quantity.
 - b) In case of EPEDT and Early Pay-in IDEDT transactions, if transaction quantity is less than or equal to obligation quantity, Clearing Corporations (**CC**) will not upload any reversal request, and entire transaction quantity would be 'considered' for processing.



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- c) In case of EPEDT and Early Pay-in IDEDT transactions, if transaction quantity is more than the obligation quantity, CC will upload reversal request for excess earmarked quantity to convert excess earmarked balance into free balance in the client account and earmarked balance to the extent of obligation quantity would be 'considered' for processing.
5. Aforesaid operational modalities will not be applicable for On-Market IDEDT and Early Pay-in IDEDT **for G-Sec** effective from Saturday, May 8, 2021. Operational modalities once finalized for On-Market IDEDT and Early Pay-in IDEDT for G-Sec will be communicated to DPs separately.
6. DPs may please note that ONEDTs and On-Market IDEDTs setup till EOD of Friday, May 7, 2021 with future execution dates as Saturday, May 8, 2021 or thereafter will be cancelled during EOD of May 7, 2021. For execution of such cancelled ONEDT and On-Market IDEDT transactions, DPs will be required to re upload such ONEDTs and On-Market IDEDTs again with mandatory UCC details.
7. Report formats and annexures provided in the communiqués quoted above are available for reference in the respective communiqués **except for Inter Depository DP57 report; attached herewith as Annexure A.**

DPs are hereby directed to disseminate the aforesaid information / requirements / guidelines to their TM / CM clients to help them to understand and comply with the guidelines in accordance with the SEBI directive and ensure that the suitable back-office changes where applicable are implemented well before the deadline time of release of dual matching functionality of pre-trade e-DIS transactions.

Queries regarding this communiqué may be addressed to:

- ❑ **CDSL – Settlement Desk** on (022) 2305-8611, 2305-8508, 2305-8635, 2305-8612, 2305-8501 or 2305-8601. Emails may be sent to: operations@cdslindia.com.
- ❑ **CDSL – Helpdesk** on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-
Prashant Kokate
Asst. Vice President – Operations

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	5 – TRANSACTION OUTSIDE CDSL – INTER DEPOSITORY
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 511 – CLOSE AND PROCESSED • 512 – CLOSE AND UNPROCESSED • 520 – TO BE VERIFIED • 521 – CONFIRMED BY OTHER DEPOSITORY • 523 – REJECTED BY OTHER DEPOSITORY • 525 – PENDING • 528 – EARMARK SUCCESSFUL • 529 – EARMARK FAILED / OVERDUE • 533 – RECONCILED • 534 - RTA TRANSFER FAILED • 536 – ACK GENERATED • 537 – REVERSE EARMARK • 540 - PENDING FOR AUTHENTICATION • 541 - NOT AUTHENTICATED CLOSED IN EOD • 542 - PENDING FOR OTP AUTHENTICATION • 543 - REJECTED OTP AUTHENTICATED TRANSACTION • 544 - ACCEPTED OTP AUTHENTICATED TRANSACTION • 545 – NON AUTHENTICATED OTP TRANSACTION CANCELLED • 546 – FUTURE DATED OTP AUTHENTICATED TRANSACTION • 547 – EDIS TXN PENDING FOR MATCHING • 548 – REJECTED ON MATCHING
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	BUY / SELL FLAG	CHAR	1	<ul style="list-style-type: none"> • B – BUY • S – SELL

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
11.	COUNTER BO ID	CHAR	16	NSDL [CMBP / DPID (8) + CLIENT ID (8)]
12.	CONSIDERATION AMOUNT FLAG	CHAR	8	FOR TXN STATUS 525
13.	SETTLEMENT ID	CHAR	13	CDSL SETTLEMENT ID
14.	COUNTER SETTLEMENT ID	CHAR	13	NSDL SETTLEMENT ID
15.	TRANSFER / TRANSMISSION TRANSACTION NUMBER	CHAR	8	TRANSACTION ID FOR TRANSFER THROUGH TRANSFER / TRANSMISSION MODULE. NOT APPLICABLE FOR NORMAL INTER DEPOSITORY TRANSACTIONS
16.	EXECUTION DATE	DATE	14	EXECUTION DATE
17.	FIELD 3	NUMBER	6	NA
18.	DEBIT QUANTITY	NUMBER	16,3	DEBIT QUANTITY (APPLICABLE IN CASE OF PARTIAL REVERSAL)
19.	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR STATUS 520 and 525
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (540,541) NA - FOR OTHERS
21.	FIELD 6	NUMBER	8	NA
22.	FIELD 7	NUMBER	2	NA
23.	FIELD 8	DATE	14	NA
24.	DATE OF ISSUE / TRANSFER	DATE	14	DATE OF ISSUE / TRANSFER
25.	FIELD 10	CHAR	1	NA
26.	FIELD 11	CHAR	1	NA
27.	FIELD 12	NUMBER	2	NA
28.	ISIN CLOSE PRICE DATE	DATE	14	FOR TXN STATUS 525
29.	ISIN CLOSE PRICE	NUMBER	16,3	FOR TXN STATUS 525

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
30.	TRANSACTION VALUATION	NUMBER	16,3	FOR TXN STATUS 525
31.	CONSIDERATION AMOUNT	NUMBER	16,3	FOR TXN STATUS 525
32.	REVERSAL QUANTITY	NUMBER	16,3	REVERSAL QUANTITY
33.	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NON-FIN TRANSACTION • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 0 – OTHERS • 21 – DEBIT / CREDIT
35.	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2215 – EARMARK • 2246 – CREDIT • 2265 – REVERSE EARMARK • 2277 – DEBIT • 5101 – SETUP • 5103 – MODIFIED / VERIFIED • 5104 – DELETE • 0 – CLOSE AND UNPROCESSED / REJECTED BY OTHER DEPOSITORY / EARMARK FAILED/ RTA Transfer Failed / REJECTED IN MATCHING
36.	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	FIELD 18	CHAR	100	NA
39.	REMARKS	CHAR	100	REMARKS
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS 520 and 525 Y -- ELECTRONIC N – NON - ELECTRONIC D – EDIS PRE-TRADE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	OTP verify Date	DATE	14	For Txn status - 543,544,546 DATE – Applicable in case inter-depository off market OTP authenticated transactions (Date & Time)
49.	Filler4		19	FOR FUTURE USE
50	PAYMENT MODE	NUMBER	1	1 – CHEQUE 2 – ELECTRONIC 3 – CASH
51	BANK ACCOUNT NO	CHAR	35	
52	BANK NAME	CHAR	100	
53	BRANCH NAME	CHAR	100	
54	CHEQUE / REFERENCE NUMBER	CHAR	25	