



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/SETTL/2021/156

April 06, 2021

ACCEPTANCE OF DELIVERY INSTRUCTIONS THROUGH ONLINE PORTAL OF DEPOSITORY PARTICIPANTS / STOCK BROKERS

DPs are advised to refer CDSL communique no. CDSL/OPS/DP/SETTL/2021/54 dated February 8, 2021 regarding a facility of accepting Delivery Instructions through Online Portal of Depository Participant (**DP**) / Stock Brokers (**SB**). DPs were also informed about the timelines to implement specific directions as mentioned in SEBI letter dated February 5, 2021 issued to both the Depositories.

1. DPs who are already registered for e-DIS facility or DPs who willing to offer this facility to their clients are requested to take note of below-mentioned operational modalities w.r.t. processing of Pre-Trade E-DIS Transactions (**EDT**) based on Pre-Trade Mandate / Authorization (**PTM**) provided by the client through DP / Broker Portal. It may please be noted that EDTs uploaded by e-DIS registered DPs on behalf of e-DIS registered clients can be in the form of Early Pay-in (**EPEDT**) or On Market (**ONEDT**) or BO Obligation Confirmation (**BOEDT**) or On Market Inter Depository (**IDEDT**). Further, Pre-Trade EPEDT or ONEDT or BOEDT or IDEDT are uploaded in CDAS through common upload with 'Electronic Transfer Flag' as 'D'.
2. As per the existing process EDT uploaded by the DPs through common upload are considered for processing only if details of EDT match with the corresponding unutilized active PTM. Effective from **SOD of April 17, 2021**, all market related transactions will be matched against OBLigation details received from Clearing Corporations (**CCOBL**). The details on the possible failure reasons of such transactions due to the quantity contained in the requested transactions being more than that appearing in the file provided by Clearing Corporation (**CC**). This will allow the DP to make appropriate changes in their software to ensure that quantity purported to be transferred is in line with the net obligation of that client.
3. As per the existing process EDT uploaded by the DPs through common upload are considered for processing only if details of EDT match with the corresponding unutilized active PTM. Effective from **SOD of April 24, 2021**, for PTMs authorized by client on CDSL Portal initiated through DP / SB Portal, corresponding pre-trade EDTs will be considered for processing only after performing a **dual matching process** i.e. matching of PTM with EDT followed by matching of said matched details with CCOBL. In order to match EDT with CCOBL, e-DIS registered DPs, in addition to the existing details would be required to provide additional details like UCC, CMID, TMID / CP Code, EXID, Segment Code in common upload. Please note that said process of dual matching will be applicable to only Pre-Trade Mandates and would NOT be applicable to Post-Trade and Off-Market Mandates. Further, it may also be noted that for a single PTM, a DP / SB can upload single / multiple EDT of same or different type of transactions i.e. EPEDT or



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

ONEDT or BOEDT or IDEDT to the extent of unutilized active PTM quantity. Similarly, for multiple PTMs a DP / SB can upload single EDT of any allowed transaction type to the extent of consolidated unutilized active PTM quantity.

4. Processing of Early Pay-in EDIS Transactions (EPEDT):

- a) In this type of transaction instruction is given to debit client account and credit Clearing Member's (CM) Early Pay-in Account.
- b) Upload of EPEDT will be allowed from T-1 day of the Settlement ID till Pay-in Time.
- c) On upload, EPEDT will be considered for processing if UCC, CMID, EXID, TMID / CP Code and Segment Code provided in the upload are matched with the said details of UCC linked in the demat account of the client AND availability of unutilized active quantity in the corresponding PTM.
- d) If EPEDT is eligible for processing, quantity to the extent provided in the EPEDT will be earmarked and would be reported to respective CC for getting the benefits arising out of execution of early pay-in transactions.
- e) As per the existing functionality of processing of early pay-in, availability of partial quantity will not be considered for execution.
- f) On T day evening when CCOBL details being uploaded in CDSL by CC, the EPEDT details reported by CDSL would be matched and any excess quantity which is earmarked in the client account would be reverse earmarked by the CC and excess earmarked balance will be converted to free balance in the client's account. i.e. only the quantity to the extent of CCOBL will remain earmarked in the client account.
- g) CDAS will not process EPEDTs uploaded post CC cut-off of an acceptance of early pay-in transactions to be eligible for giving early pay-in benefits for the settlements having current business date equivalent to T day.
- h) Any EPEDT received after EOD of T day will be taken for processing subject to dual matching of EPEDT i.e. matching of EPEDT with corresponding PTM and if matched then matching of matched details with CCOBL.
- i) For reversal of EPEDT in between, client through their SB will be required to approach CC as per the current process.
- j) The system would provide a hop-skip entry in favour of the CM Principal Account (for ICCL CM) and Clearing Member Account (for NCL and MCCIL CM) after execution of EPEDT.

5. Processing of On Market EDIS Transactions (ONEDT):

- a) In this type of transaction instruction is given to debit client account and credit CM's Pay-in Account (i.e. CM Principal Account for ICCL and Clearing Member Account for NCL or MCCIL CMs).



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- b) On upload, ONEDT will be considered for processing if UCC, CMID, EXID, TMID / CP Code and Segment Code provided in the upload are matched with the said details of UCC linked in the demat account of the client AND availability of unutilized active quantity in the corresponding PTM.
- c) If ONEDT is eligible for processing, till the time CCOBL are uploaded by CC, ONEDT instruction without any movement of security balances in the demat account of client till ONEDT is matched with CCOBL will remain in 'Pending for Matching with CC Obligation'.
- d) On T day evening the CC uploads the CCOBL in CDSL system where the matching of ONEDT with CCOBL and balance obligation quantity would be validated after considering the earmarked EPEDT quantity, if any.
- e) ONEDT having execution dates as current business date will be considered for processing only if ONEDT quantity matches with balance obligation quantity and sufficient free balance is available in the client's account. During matching such ONEDTs will be 'Settled' after debiting the client account and crediting the pay-in account of corresponding CM.
- f) ONEDT having execution date as valid future business date will be considered for processing only if ONEDT quantity matches with balance obligation quantity irrespective of availability of sufficient free balance in the client's demat account. During matching such ONEDTs will be changed to 'Pending' and will be taken for processing on execution date.
- g) In case the ONEDT quantity does not match with balance obligation quantity, such ONEDTs will be 'rejected' during matching process.
- h) Further, although ONEDT quantity matches with balance obligation quantity but sufficient free balance is not available in the client account, partial quantity will NOT be considered for debit in the client account. Such ONEDTs will also be 'rejected' during matching.
- i) All unmatched ONEDT with status "Pending for Matching with CC Obligation" too will be 'rejected' during EOD of execution date.
- j) It may please be noted that Cancellation / Modification of Quantity of ONEDT will not be allowed.
- k) Any ONEDT uploaded after an initiation of matching process to match ONEDT with CCOBL will be taken for processing subject to dual matching of ONEDT i.e. matching of ONEDT with corresponding PTM and if matched then matching of matched details with balance CCOBL quantity.

6. Processing of BO Obligation Confirmation EDIS Transactions (BOEDT):

- a) In this type of transaction, instruction is given to debit client account and credit CM's Pay-in Account (i.e. CM Principal Account for ICCL and Clearing Member Account for NCL or MCCIL CMs) to fulfill the settlement obligation **at the time of processing of pay-in**.
- b) On upload, BOEDT will be considered for processing if UCC, CMID, TMID / CP Code, EXID and Segment Code provided in the upload are matched with the said details of UCC linked



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

in the demat account of the client AND availability of unutilized active quantity in the corresponding PTM.

- c) If BOEDT is eligible for processing, till the time CCOBL are uploaded by CC, BOEDT instruction, till the time BOEDT is matched with CCOBL will remain in 'Pending for Matching with CC Obligation'.
- d) On T day evening the CCOBL uploaded by the CC would be matched with the BOEDT after considering the total earmarked EPEDT quantity including the debited ONEDT quantity and if observed and is equal to BOEDT quantity, the status of BOEDT will be changed to 'Setup' from 'Pending for Matching with CC Obligation'.
- e) In case the BOEDT quantity does not match i.e. less or more than the balance obligation quantity, such ONEDTs will be 'rejected' during the EOD processing.
- f) The matched BOEDT (with status 'Setup') will be considered for pay-in processing as per the existing mechanism of pay-in process.
- g) All unmatched BOEDTs with status 'Pending for Matching with CC Obligation' will be 'rejected' during EOD processing.
- h) Any BOEDT uploaded after an initiation of matching process to match BOEDT with CCOBL will be taken for processing subject to dual matching of BOEDT i.e. matching of BOEDT with corresponding PTM and if matched then matching of matched details with balance CCOBL quantity.
- i) The system would provide a hop-skip entry in favour of the CM Principal Account (for ICCL CM) and Clearing Member Account (for NCL and MCCIL CM) after execution of matched BOEDT during pay-in processing.

7. Processing of On Market Inter Depository EDIS Transactions (IDEDT):

Operational modality for processing of On-Market Inter Depository EDIS Transactions would be communicated to DPs separately.

8. Processing of Normal Early Pay-in Transactions:

- a) Effective from **SOD of April 24, 2021** CDSL will allow processing of client level early pay-in transactions in two ways viz. existing method i.e. Client Account will be debited and CM's Early Pay-in Account will be credited immediately on execution of early pay-in transaction (Type-A EPTXN) AND by earmarking the securities in client account itself and to be credited to CM's Early Pay-in Account on Pay-in day (Type-B EPTXN).
- b) DPs / CMs are requested to take note of following operational modalities to perform Type-B Early Pay-in Transactions.
- c) Clients / CMs on behalf of POA clients can provide the UCC, CMID, TMID / CP Code, EXID and Segment Code details in the DIS. Changes in the DIS format will be communicated to DPs separately. For normal early pay-in transactions within CDSL these details would be optional in the system.



Central Depository Services (India) Limited

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- d) Any normal early pay-in transaction DIS received without UCC details will be processed as per the existing process. There is no change in the current process of executing normal early pay-in transactions i.e. Type-A EPTXN.
- e) Further, any normal early pay-in transaction DIS received with UCC details will be processed as per the details mentioned below i.e. Type-B EPTXN.
- f) In the beginning, front end provision will not be available to users in WEBCDAS or Easiest to setup Type-B EPTXNs with UCC, CMID, TMID / CP Code, EXID and Segment Code details. DPs can upload Type-B EPTXNs only through common upload.
- g) Type-B EPTXNs will be considered for execution if either UCC, CMID, TMID, EXID and Segment ID details uploaded by DP / CM / BO with Entity Identifier as 'TM' are matched with UCC details linked to the demat account of the respective client OR CMID, CP Code and Segment ID details uploaded by DP / CM / BO with Entity Identifier as 'CP' and PAN of first holder of the demat account are matched with corresponding details of CP clients provided by CCs in the CM-TM/CP link to depositories subject to availability of sufficient free balance in the demat account.
- h) If details are matched, then quantity entered in the transaction will be earmarked and would be reported to respective CC for getting the benefits arising out of execution of early pay-in transactions.
- i) Also, early pay-in transaction uploaded through Easiest by CM Login with Electronic Transfer Flag as 'Y' and with UCC information will follow the current early pay-in process flow (Type-A EPTXN).
- j) Quantity earmarked in the client account of Type-B EPTXN will remain earmarked from setup date till EOD of one day prior to pay-in day. On BOD of the pay-in day, earmarked balance will be debited from client account and will be credited in the corresponding CM's early pay-in account.
- k) Further processing of early pay-in transactions will remain same.
- l) For reversal of early pay-in transactions, if any, will required to be dealt in the same way as followed today.
- m) CMs adopting Type-B EPTXN processing will benefit of no reconciliation required to distribute the cash as well as non-cash corporate benefits to the clients arising out of execution of corporate actions.
- n) The system would provide a hop-skip entry in favour of the CM Principal Account (for ICCL CM) and Clearing Member Account (for NCL and MCCIL CM) after execution of Type-B EPTXN.
- o) CDAS will not process Type-B EPTXNs uploaded post CC cut-off of an acceptance of early pay-in transactions to be eligible for giving early pay-in benefits for the settlements having current business date equivalent to T day.



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

- p) DPs are requested to refer Annexure of DP57 report of early pay-in transactions to check the impact of CA on Type-B EPTXNs i.e. processing of early pay-in transactions provided with UCC / CP Code details.

9. Annexures related to Forms, Validations, Upload and Report Formats:

DPs are requested to take note of following annexures related to change in DIS and file format changes with respect to upload and CDAS reports and initiate suitable back-office changes;

Sr. No	Name	Annexure
1	Common Upload	Annexure – A
2	DP57 – Early Pay-in Transactions	Annexure – B
3	DP57 – On Market Transactions	Annexure – C
4	DP57 – BO Obligation Confirmation Transactions	Annexure – D
5	DP57 – Inter Depository Transaction	Annexure – E
6	DPC9 Related Changes	Annexure – F

DPs are hereby directed to disseminate the aforesaid information / requirements / guidelines to their TM / CM clients to help them to understand and comply with the guidelines in accordance with the SEBI directive and ensure that the suitable back-office related changes where applicable are implemented well before the deadline time of release of dual matching functionality of pre-trade e-DIS transactions.

Queries regarding this communiqué may be addressed to:

- CDSL – Settlement Desk** on (022) 2305-8611, 2305-8508, 2305-8635, 2305-8612, 2305-8501 or 2305-8601. Emails may be sent to: operations@cdslindia.com.
- CDSL – Helpdesk** on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Prashant Kokate
Asst. Vice President – Operations

Features

- Multiple Upload Types can be entered in the same file.
- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

Upload ID : **18**

File Name : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

Sample File Name:

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

Header Record

Input Type : **M**andatory [**M**] / **O**ptional [**O**]

Header record will contain:

Field Description	Field Type	Field Length	Input Type
DP ID	Number	6	M
Operator ID	Char	6	M
Total No. of Records	Number	6	M
File Extension	Number	3 / 4 / 5	M
Business Date	Date	8	M

Sample Record Format:

021200DPADM 00000112318042015

Detail Record : Normal Pay-in [i.e. BO Obligation] Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 3]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Depository ID	Number	2	M	<Dpstry>	</Dpstry>
CH ID	Number	2	M	<Clr>	</Clr>
Exchange ID	Number	2	M	<Xchg>	</Xchg>
Settlement ID	Char	13	M	<Sttlm>	</Sttlm>
DP ID	Number	6	M	<Ptcpt>	</Ptcpt>
CM ID	Char	8	M	<Mmb>	</Mmb>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Buy / Sell Flag	Char	1	M • B – Buy • S – Sell	<Flg>	</Flg>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O	<Txneflg>	</Txneflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>
Entity Identifier	Char	2	O Mandatory for Txn elect. Flag as "D"	<Entldntfr >	</Entldntfr >

			“CP” for custodian transaction. “TM” for other than custodian transaction		
UCC	Char	11	O Mandatory for Transaction electronic flag value as “D” and Entity identifier as “TM”	<Ucc>	</Ucc>
Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value “D”	<Ucmid>	</Ucmid>
UCC TM Code / CP Code	Char	12	O Mandatory If Transaction electronic flag value as “D” (If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided.)	<Tm>	</Tm>
UCC EXID	Number	2	O Mandatory for Transaction electronic flag value “D”	<Uexid>	</Uexid>

Sample Record Format:

<Tp>3</Tp><Usn>1</Usn><Dpstry>1</Dpstry><Clr>10</Clr><Xchg>11</Xchg><Sttlm>1110070708044</Sttlm><Ptcpt>10100</Ptcpt><Mmb>M1234</Mmb><Bnfcry>1601010000067890</Bnfcry><ISIN>IN9003A01014</ISIN><Qty>100</Qty><Flg>S</Flg><Ref>REF123</Ref><Txnelfg>D</Txnelfg><Poa></Poa><Dis></Dis><Mkropid></Mkropid><Ckropid></Ckropid><Vfropid></Vfropid><Entldntfr>TM</Entldntfr><Ucc>F4226704421</Ucc><Seg>01</Seg><Ucmid>12345</Ucmid><Tm>TM123</Tm><Uexid>11</Uexid>

Detail Record : **Inter-Depository Upload**

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [value = 4]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Execution Date	Date	8	M	<Dt>	</Dt>
CDSL BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Buy / Sell Flag	Char	1	M • B – Buy • S – Sell	<Flg>	</Flg>
Cash Transfer	Char	1	M • Y – Yes • X – Not Required	<Trf>	</Trf>
NSDL Client ID	Char	8	O	<Clnt>	</Clnt>
NSDL CMBP ID / DPID	Char	8	M	<Brkr>	</Brkr>
NSDL Settlement ID	Char	13	O	<Sttlm>	</Sttlm>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
CDSL Settlement ID	Char	13	O	<CntrSttlm>	</CntrSttlm>
Trade Reason	Number	2	O	<Rsn>	</Rsn>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O	<Txneflg>	</Txneflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>

Consideration Amount [Mandatory for BO to BO transaction]	Number	16,3	O	<Conamt>	</Conamt>
Remark [Mandatory for reason code 6]	Char	100	O	<Remk>	</Remk>
Payment Mode *	Number	1	O	<Paymod>	</Paymod>
Bank Account No	Char	35	O	<Bnkno>	</ Bnkno>
Bank Name	Char	100	O	<Bnkname>	</ Bnkname>
Branch Name	Char	100	O	<Brchnam e>	</Brchnam e>
Transferee Name	Char	150	O Mandatory if Payment mode is 1	<Xfername>	</Xfername >
Date of Issue	Date	8	O Cheque Issue date or date of electronic transfer	<Xferdt>	</Xferdt>
Cheque./Reference No	Char	22	O	<Chqrefno>	</Chqrefno>
Early Payin Identifier	Char	1	O Y = Early payin through Interdepository. N = Non Early Payin transaction (to be used subsequently under intimation)	<EPidntfr>	</EPidntfr>
Entity Identifier	Char	2	O “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value “D”	<Ucc>	</Ucc>
Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>

UCC CMID	Char	16	O Mandatory for Transaction electronic flag value "D"	<Ucmid>	</Ucmid>
UCC TM Code/CP Code	Char	12	O Mandatory If Txn electronic flag value as "D" (If entity identifier as "CP", CP Code will be provided, and if Entity identifier as "TM", UCC TM Code to be provided.)	<Tm>	</Tm>
UCC EXID	Number	2	O Mandatory for Transaction electronic flag value "D"	<Uexid>	</Uexid>

Note *

1. Cheque Payment
2. Electronic Payment
3. Cash Payment (Mandatory if Consideration Amt captured)

Sample Record Format:

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<Tp>4</Tp><Usn>1</Usn><Dt>29012008</Dt><Bnfcry>1601010000012345</Bnfcry><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Flg>S</Flg><Trf>X</Trf><Clnt>1023</Clnt><Brkr>DFAF</Brkr><Sttlm>1110061011046</Sttlm><Ref>REF123</Ref><CntrSttlm>1110151011245</CntrSttlm><Rsn>1</Rsn><Txnelflg>D</Txnelflg><Poa></Poa><Dis></Dis><Mkropid></Mkropid><Ckropid></Ckropid><Vfropid></Vfropid><Conamt>1000</Conamt><Remk>Remarks can be entered here</Remk> <Paymod></Paymod><Bnkno></Bnkno><Bnkname> </Bnkname><Brnchname></Brnchname><Xfername></Xfername><Xferdt></Xferdt><Chqrefno></Chqrefno> <EPidntfr></EPidntfr><Entldntfr>TM</Entldntfr><Ucc>F4226704421</Ucc><Seg>01</Seg><Ucmid>12345</Ucmid><Tm>TM123</Tm><Uexid>11</Uexid>
```

Detail Record : Transaction [Off / On – Market] Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 5]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Execution Date	Date	8	M	<Dt>	</Dt>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Counter BO ID	Char	16	M	<CtrPty>	</CtrPty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Buy / Sell Flag	Char	1	M • B – Buy • S – Sell	<Flg>	</Flg>
Cash Transfer	Char	1	M • Y – Yes • X – Not Required	<Trf>	</Trf>
Trade Reason	Number	1	O	<Rsn>	</Rsn>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
Settlement ID	Char	13	O	<Sttlm>	</Sttlm>
Counter Settlement ID	Char	13	O	<CntrSttlm>	</CntrSttlm>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O	<Txnelflg>	</Txnelflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>
Consideration Amount [Mandatory for BO to BO transaction]	Number	16,3	O	<Conamt>	</Conamt>

Remark [Mandatory for reason code 6]	Char	100	O	<Remk>	</Remk>
Payment Mode*	Number	1	O	<Paymod>	</Paymod>
Bank Account No	Char	35	O	<Bnkno>	</Bnkno>
Bank Name	Char	100	O	<Bnkname>	</Bnkname>
Branch Name	Char	100	O	<Brnchnam e>	</Brnchnam e>
Transferee Name	Char	150	O Mandatory if Payment mode is 1	<Xfername>	</Xfername>
Date of Issue	Date	8	O Cheque Issue date or date of electronic transfer	<Xferdt>	</Xferdt>
Cheque/Reference No	Char	22	O	<Chqrefno>	</Chqrefno>
Entity Identifier	Char	2	O “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value “D”	<Ucc>	</Ucc>
Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value “D”	<Ucmid>	</Ucmid>
UCC TM Code/CP Code	Char	12	O Mandatory for Transaction electronic flag value as “D” (If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided.)	<Tm>	</Tm>

UCC EXID	Number	2	0 Mandatory for Transaction electronic flag value "D"	<Uexid>	</Uexid>
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Note:

1. Cheque Payment
2. Electronic Payment
3. Cash Payment (Mandatory if Consideration Amt captured)

Sample Record Format:

```
<Tp>5</Tp><Usn>1</Usn><Dt>29012008</Dt><Bnfcry>1601010000012345</Bnfcry><CtrPty>1601010000067890</CtrPty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Flg>S</Flg><Trf>X</Trf><Rsn>1</Rsn><Ref>REF123</Ref><Sttlm>1110070708044</Sttlm><CntrSttlm>1110070708044</CntrSttlm><Txnelflg>D</Txnelflg><Poa></Poa><Dis></Dis><Mkropid></Mkropid><Ckropid></Ckropid><Vfropid></Vfropid><Conamt>1000</Conamt><Remk>Remarks can be entered here</Remk><Paymod></Paymod><Bnkno></Bnkno><Bnkname></Bnkname><Brnchname></Brnchname><Xfername></Xfername><Xferdt></Xferdt><Chqrefno></Chqrefno><Entldntfr>TM</Entldntfr><Ucc>F4226704421</Ucc><Seg>01</Seg><Ucmid>12345</Ucmid><Tm>TM123</Tm><Uexid>11</Uexid>
```

Detail Record : Early Pay-in Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 10]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Exchange ID	Number	2	M	<Xchg>	</Xchg>
CH ID	Number	2	M	<Clr>	</Clr>
CM ID	Char	8	M	<Mmb>	</Mmb>
Settlement ID	Char	13	M	<Sttlm>	</Sttlm>
From BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Counter BO ID	Char	16	M	<CtrPty>	</CtrPty>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
Business Date	Date	8	M	<Dt>	</Dt>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O	<Txnelflg>	</Txnelflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>
Entity Identifier	Char	2	O “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value “D”	<Ucc>	</Ucc>

Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value “D”	<Ucmid>	</Ucmid>
UCC TM Code/CP Code	Char	12	O Mandatory for Transaction electronic flag value as “D” (If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided.)	<Tm>	</Tm>
UCC EXID	Number	2	O Mandatory for Transaction electronic flag value “D”	<Uexid>	</Uexid>

Sample Record Format:

<Tp>10</Tp><Usn>1</Usn><Xchg>11</Xchg><Clr>10</Clr><Mmb>1234</Mmb><Sttlm>111
 0001112010</Sttlm><Bnfcry>1302120000067890</Bnfcry><ISIN>INE003A01014</ISIN><Qty
 >100</Qty><Flg>S</Flg><CtrPty>1100001000014221</CtrPty><Ref>REF123</Ref><Dt>180
 42011</Dt><Arf>345678</Arf><Txneflg>**D**</Txneflg><Dis></Dis><Mkropid>
 </Mkropid><Ckropid></Ckropid><Vfropid></Vfropid> **<Entldntfr>CP</Entldntfr><Ucc>F4226
 704421</Ucc><Seg>01</Seg><Ucmid>12345</Ucmid><Tm>TM123</Tm><Uexid>11</Uex
 id>**



SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	4 (EARLY PAY-IN)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 401 – SETUP • 402 – EARMARKED (CH DP) • 403 – FAILED FOR PAY-IN (CH DP) • 408 – CLOSED AND SETTLED IN PAY-IN (CH DP) • 409 – DEBIT / CREDIT / EARMARK SUCCESS • 410 - PENDING FOR AUTHENTICATION • 411 – REVERSE EARMARKED OF EARLY PAY-IN (CH DP) • 412 - NOT AUTHENTICATED CLOSED IN EOD • 404 – DELETE (TRUST) • 417 – PENDING FOR RESPONSE FROM BO REGISTERED FOR TRUST ACCOUNT. • 418 – EARLY PAYIN SET UP MAKER • 419 – EARLY PAYIN SET UP CHECKER REJECT • 420 – EARLY PAYIN SET UP CHECKER REVERSAL • 421 – DELETE / REJECT SET UP MAKER RECORDS • 422 – DELETION OF REVERSAL TRANSACTIONS • 423 – MODIFY SET UP MAKER RECORDS • 424 – MODIFY CHECKER REVERSAL DONE RECORDS • 425 – Earlypayin Reversal Maker • 426 – Earlypayin Reversal Checker Accept / REVERSAL UPLOAD • 427 – Earlypayin Reversal Checker Reject • 429 – Earlypayin Cancelled Due to AutoCA • 430 – Earlypayin Transferred Due to AutoCA • 431 – Earlypayin Setup Due to AutoCA
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	EARMARK DATE (PAY-IN DATE)
17.	FIELD 4	NUMBER	6	NA
18.	FIELD 5	CHAR	20	NA
19.	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR TRANSACTION 401
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (410,412) NA - FOR OTHERS
21.	REVERSAL SOURCE DP ID	NUMBER	8	REVERSAL SOURCE DP ID FOR 425, 426 AND 427
22.	FIELD 8	NUMBER	2	NA
23.	FIELD 9	DATE	14	NA
24.	REVERSAL MAKER DATE	DATE	14	REVERSAL MAKER DATE (425)
25.	MAKER CHECKER FLAG	CHAR	1	M – MAKER (425) C – CHECKER (426, 427)
26.	FIELD 12	CHAR	1	NA
27.	FIELD 13	NUMBER	2	NA
28.	REVERSAL CHECKER DATE	DATE	14	REVERSAL CHECKER DATE (426, 427)
29.	EARMARK QUANTITY	NUMBER	16,3	EARMARK QUANTITY
30.	REVERSAL QUANTITY	NUMBER	16,3	REVERSAL QUANTITY FOR 425,426
31.	FIELD 16	NUMBER	16,3	NA
32.	FIELD 17	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33.	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NON-FIN TRANSACTION • 1 – DEBIT / CREDIT • 2 – EARMARK / REVERSE EARMARK
34.	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 0 – OTHERS • 30 – EARMARK / REVERSE EARMARK • 31 – DEBIT / CREDIT • 79 – HOP-SKIP-JUMP • 80 – HOP-SKIP-JUMP
35.	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2215 – EARMARK / EARLYPAYIN SETUP DUE TO AUTOCA (431) • 2246 – CREDIT • 2265 – REVERSE EARMARK / EARLYPAYIN TRANSFERRED/CANCELLED DUE TO AUTOCA (429,430) • 2277 – DEBIT • 4456 – SETUP BY CHECKER ACCEPT • 4459 – DELETE • 4408 – SETUP BY MAKER • 4409 – SETUP CHECKER REJECT • 4410 – SETUP CHECKER REVERSAL • 4411 – DELETE / REJECT SETUP MAKER RECORDS • 4412 – DELETE / REJECT CHECKER REVERSAL DONE RECORDS • 4413 – MODIFY SETUP MAKER RECORDS • 4414 – MODIFY CHECKER REVERSAL DONE RECORDS • 4465 – EARLYPAYIN REVERSAL MAKER • 4466 – EARLYPAYIN REVERSAL CHECKER ACCEPT • 4467 – EARLYPAYIN REVERSAL CHECKER REJECT
36.	MODIFICATION FLAG	NUMBER	2	1 - MODIFIED 0 - NOT MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	TRUST REMARKS	CHAR	100	'PAY IN THROUGH SMS' – FOR TXN STATUS 417 AND 404 (EARLY PAY IN MAKER TRANSACTION DELETED DUE TO EITHER RESPONSE (N) FROM BO OR NON RECEIPT OF RESPONSE FROM BO BEFORE CUT OFF TIME.)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				ELSE NA
39.	REVERSAL REMARKS	CHAR	100	REVERSAL REMARKS (425)
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD • 6 – WEBX
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY WILL BE POPULATED)
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS (401,418) Y – ELECTRONIC N – NON-ELECTRONIC D – EDIS PRE-TRADE
46.	CHECKER DATE	CHAR	16	CHECKER DATE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	<ul style="list-style-type: none"> • 2 – TRANSACTION WITHIN SAME CDSL DP • 3 – TRANSACTION WITHIN DIFFERENT CDSL DPS
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	TRANSACTION QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 301 – SETUP CHECKER • 302 – SETUP (SYSTEM GENERATED BUY TRANSACTION) • 303 – OVERDUE • 304 – DELETED BY DP / TRUST TRANSACTION DELETED AS BO SENT NEGATIVE RESPONSE / RESPONSE NOT RECEIVED FROM BO • 305 – CLOSED AND SETTLED • 306 – PENDING (FUTURE DATED TRANSACTION) • 307 – FAILED (CANCELLED IN EOD) • 308 – TRADE CANCELLED BY BUYER • 309 – TRADE CANCELLED BY SELLER • 310 – CLEARING HOUSE MUTUAL FUND FAILED BUY TXN • 311 – PENDING FOR AUTHENTICATION • 312 - NOT AUTHENTICATED CLOSED IN EOD • 313 - MATCHING FOUND WITH BOC FOR MF TRANSACTION • 317 – PENDING FOR RESPONSE FROM BO REGISTERED FOR TRUST • 318 – SETUP MAKER • 319 – DELETE /REJECT SETUP MAKER RECORDS • 320 – MODIFY SETUP MAKER RECORDS • 321 – SETUP CHECKER REJECT • 322 – SETUP CHECKER REVERSAL • 323 – MODIFY CHECKER APPROVED RECORDS • 324 – MODIFY CHECKER REVERSAL DONE RECORDS • 325 – DELETE / REJECT CHECKER REVERSAL DONE RECORDS

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 326 – AUTO CANCELLED FROM CDS. • 327 – AUTO REVERSE DEBIT / CREDIT FOR PAYIN TRANSACTIONS FROM CDS. • 328 - PENDING FOR OTP AUTHENTICATION • 329 - ACCEPTED OTP AUTHENTICATED TRANSACTION • 330 - REJECTED OTP AUTHENTICATED TRANSACTION • 331 – FUTURE DATED OTP AUTHENTICATED TRANSACTION • 332 – PENDING OTP AUTHENTICATION TRANSACTION CANCELLED IN EOD • 333 – PENDING FOR CC OBLIGATION MATCHING • 334 – MATCHED WITH CC OBLIGATION DETAILS • 335 – REJECTED DUE TO REQUESTED QUANTITY IN EXCESS OF CC OBLIGATION • 336 – CANCELLED DUE TO NO CC OBLIGATION
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	BUY / SELL FLAG	CHAR	1	<ul style="list-style-type: none"> • S – SELL • B – BUY
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CONSIDERATION AMOUNT FLAG	CHAR	8	EXCEPT FOR TXN STATUS 307, 312, 313, 326, 327
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	COUNTER SETTLEMENT ID	CHAR	13	COUNTER SETTLEMENT ID
15.	TRADE ID	CHAR	8	TRADE ID
16.	EXECUTION DATE	DATE	14	EXECUTION DATE
17.	FIELD 2	NUMBER	6	NA
18.	LOCK-IN ID	CHAR	20	LOCK-IN ID
19.	DELIVERY	CHAR	20	DELIVERY INSTRUCTION SLIP FOR TRANSACTION STATUS 301

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
	INSTRUCTION SLIP			
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (311,312) NA - FOR OTHERS
21.	FIELD 4	NUMBER	8	NA
22.	LOCK-IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTOR / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTER'S QUOTA • 5 – UNDERWRITER QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHER • 8 – ELSS2005 [APPLICABLE ONLY IN CASE OF CREDIT OF UNITS OF ELSS-MF ISIN IN AN INVESTOR ACCOUNT FROM CM'S POOL ACCOUNT]
23.	LOCK-IN EXPIRY DATE	DATE	14	LOCK-IN EXPIRY DATE
24.	DATE OF ISSUE / TRANSFER	DATE	14	DATE OF ISSUE OF CHEQUE / DATE OF TRANSFER
25.	TRANSFER TYPE	CHAR	1	1 – OFF MARKET 2 – ON MARKET
26.	ORDER STATUS FLAG	CHAR	1	<ul style="list-style-type: none"> • H – SETUP BY MAKER • A – ALLEGED • M – MATCHED
27.	REASON FOR TRADE	NUMBER	2	<ul style="list-style-type: none"> • 1 – GIFT • 2 – FOR OFF MARKET SALE / PURCHASE • 3 – FOR MARKET SALE / PURCHASE • 5 – TRANSFER BETWEEN 2 A/c OF SAME HOLDER(s) • 6 – OTHERS • 7 – TRANSFER BETWEEN FAMILY MEMBERS • 10 – IMPLEMENTATION OF GOVT/REGULATORY DIRECTION/ORDERS • 11 – ERRONEOUS TRANSFER PERTAINING TO CLIENT SECURITIES • 12 – MEETING LEGITIMATE DUES OF STOCK BROKER • 13 – FOR OPEN OFFER / BUY-BACK

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 14 – FOR MARGIN PURPOSE • 0 – IF REASON FOR TRADE IS NOT ENTERED
28.	ISIN CLOSE PRICE DATE	DATE	14	EXCEPT FOR TXN STATUS 307, 312, 313, 318, 319, 320, 324, 325, 326, 327
29.	ISIN CLOSE PRICE	NUMBER	16,3	EXCEPT FOR TXN STATUS 307, 312, 313, 318, 319, 320, 324, 325, 326, 327
30.	TRANSACTION VALUATION	NUMBER	16,3	EXCEPT FOR TXN STATUS 307, 312, 313, 318, 319, 320, 324, 325, 326, 327
31.	CONSIDERATION AMOUNT FOR DIS	NUMBER	16,3	EXCEPT FOR TXN STATUS 307, 312, 313, 326, 327
32.	Filler 2		16,3	For Future Use
33.	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NON-FIN TRANSACTION • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 0 – OTHERS • 31 – DEBIT / CREDIT
35.	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2212 – LOCK-IN BALANCE CREDIT • 2246 – CREDIT • 2277 – DEBIT • 4475 – SETUP CHECKER ACCEPT (also for transaction Status 333 & 334) • 4477 – MODIFY CHECKER APPROVED RECORDS • 4478 – DELETE / REJECT FOR CHECKER APPROVED RECORDS • 4480 – CANCEL TRADE • 4482 – SETUP MAKER • 4483 – SETUP CHECKER REJECT/ Rejected OTP Authenticated Transaction (Also for transaction status 335 & 336) • 4484 – SETUP CHECKER REVERSAL • 4485 – DELETE / REJECT SETUP MAKER RECORDS • 4486 – DELETE / REJECT CHECKER REVERSAL DONE RECORDS • 4487 – MODIFY SETUP MAKER RECORDS • 4488 – MODIFY CHECKER REVERSAL DONE RECORDS

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
36.	MODIFICATION FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NOT MODIFIED • 1 – MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	TRUST REMARKS	CHAR	100	PAY IN THROUGH SMS' – FOR TXN STATUS – 317 AND 304 (ON MARKET OR OFF MARKET MAKER TRANSACTION DELETED DUE TO EITHER RESPONSE (N) FROM BO OR NON RECEIPT OF RESPONSE FROM BO BEFORE CUT OFF TIME.) ELSE NA.
39.	REMARKS	CHAR	100	REMARKS
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD • 6 – WEBX • 7 – CDS SETUP FOR TRANSACTION STATUS 327
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY WILL BE POPULATED)
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS 301 Y - ELECTRONIC N - NON ELECTRONIC D – EDIS PRE-TRADE
46.	CHECKER DATE	CHAR	16	CHECKER DONE DATE WITH TIME
47.	FILLER 3		100	FOR FUTURE USE
48.	OTP Verify Date	DATE	14	For txn status – 329, 330 and 331 (in case of future dated txn) DATE – Applicable in case off market OTP authenticated transactions (Date & Time)

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
49.	Filler 4		19	FOR FUTURE USE
50	PAYMENT MODE	NUMBER	1	1 – CHEQUE 2 – ELECTRONIC PAYMENT 3 – CASH
51	BANK ACCOUNT NO	CHAR	35	
52	BANK NAME	CHAR	100	
53	BRANCH NAME	CHAR	100	
54	CHEQUE / REFERENCE NUMBER	CHAR	25	

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	1 (BO OBLIGATION CONFIRMATION)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	QUANTITY	NUMBER	16,3	TRANSACTION QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 101 – BO OBLIGATION CONFIRMATION SET UP • 101 – AUTO PAY-IN SETUP • 102 – EARMARKED • 103 – FAILED FOR PAY-IN • 105 – PARTIALLY TRANSFERRED TO CM • 107 – SUCCESSFUL BO DEBIT • 109 – CLOSED AND SETTLED • 110 – DELETED BY DP / TRUST TRANSACTION DELETED AS RESPONSE NOT RECEIVED FROM BO / BO SENT NEGATIVE RESPONSE • 111 – REVERSE EARMARKED DUE TO PAY-OUT • 112 - PENDING FOR AUTHENTICATION • 113 - NOT AUTHENTICATED CLOSED IN EOD • 114 - BO OBLIGATION SET UP BY RTA ON BEHALF OF AMC. • 115- BO OBLIGATION TRANSFERRED DUE TO AUTO CA. • 116 - BO OBLIGATION CANCELLED DUE TO AUTO CA • 117 – PENDING FOR RESPONSE FROM BO REGISTERED FOR TRUST ACCOUNT. • 118 – BO OBLIGATION SET UP MAKER • 119 – BO OBLIGATION SET UP CHECKER REJECT • 120 – BO OBLIGATION SET UP CHECKER REVERSAL • 121 – DELETE / REJECT SET UP MAKER RECORDS • 122 – DELETION OF REVERSAL TRANSACTIONS • 123 – MODIFY SETUP MAKER RECORDS • 124 – MODIFY CHECKER APPROVED RECORDS • 125 – MODIFY CHECKER REVERSAL DONE RECORDS • 126 – BO OBLIGATION SET UP PENDING FOR CC OBLIGATION MATCHING • 127 – REJECTED DUE TO REQUESTED QUANTITY IN EXCESS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				OF CC OBLIGATION • 128 – CANCELLED DUE TO NO CC OBLIGATION
8	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	BUY / SELL FLAG	CHAR	1	S
11	COUNTER BO ID	CHAR	16	COUNTER BO ID
12	CM ID	CHAR	8	CM ID
13	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14	FIELD 1	CHAR	13	NA
15	OBLIGATION ID	CHAR	8	<ul style="list-style-type: none"> • OBLIGATION ID – FOR HOP-SKIP-JUMP • NOT APPLICABLE – FOR OTHERS
16	EXECUTION DATE	DATE	14	EARMARK DATE (POPULATED AFTER EARMARKING)
17	RTA ID	NUMBER	6	Only for TXN STATUS -114
18	PARENT TXN ID	CHAR	20	PARENT TRANSACTION ID
19	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR TRANSACTION STATUS 101
20	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (112,113) – TRANSACTIONS ENTERED THROUGH EASIEST SYSTEM NA - FOR OTHERS
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	FIELD 3	NUMBER	2	NA
23	FIELD 4	DATE	14	NA
24	FIELD 5	DATE	14	NA
25	FIELD 6	CHAR	1	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
26	PAY-IN TYPE FLAG	CHAR	1	<ul style="list-style-type: none"> • A – AUTO PAY-IN • B – 'B' TYPE TRANSACTION • N – NORMAL PAY-IN
27	FIELD 7	NUMBER	2	NA
28	FIELD 8	DATE	14	NA
29	EARMARKED QUANTITY	NUMBER	16,3	EARMARK QUANTITY (POPULATED AFTER EARMARKING)
30	FIELD 9	NUMBER	16,3	NA
31	FIELD 10	NUMBER	16,3	NA
32	FIELD 11	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NO DEBIT / CREDIT • 1 – DEBIT / CREDIT • 2 – EARMARKED / REVERSE EARMARKED
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 0 – OTHERS • 30 – EARMARKED / REVERSE EARMARKED • 31 – DEBIT / CREDIT • 79 – HOP-SKIP-JUMP • 80 – HOP-SKIP-JUMP
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2215 – EARMARK • 2246 – CREDIT • 2265 – REVERSE EARMARK • 2277 – DEBIT • 4449 – SETUP(for transaction status 101 & 126) • 4451 – MODIFY • 4452 – DELETE • 3463 – AUTO CORPORATE ACTION • 4401 – BOC SET UP MAKER • 4402 – BOC SET UP CHECKER REJECT-Same code for Transaction status 127 and 128 • 4403 – BOC SET UP CHECKER REVERSAL • 4404 – DELETION OF SET UP MAKER RECORDS • 4405 – DELETION OF REVERSAL TRANSACTIONS • 4406 – MODIFY SETUP MAKER RECORDS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 4407 – MODIFY CHECKER REVERSAL DONE RECORDS
36	MODIFICATION FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NOT MODIFIED • 1 – MODIFIED / REVERSE EARMARKED
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	TXN REMARKS	CHAR	100	FOR PARENT RECORD – TRANSFER DUE TO AUTO CA., CANCEL DUE TO AUTO CA. FOR BENEFIT RECORD – SETUP DUE TO AUTO CA.
39	TRUST REMARKS	CHAR	100	'PAYIN THROUGH SMS' - FOR TXN STATUS 117 AND 110 (BOC MAKER TRANSACTION DELETED DUE TO EITHER RESPONSE (N) FROM BO OR NON RECEIPT OF RESPONSE FROM BO BEFORE CUT OFF TIME.
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD • 7– CDAS SETUP
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	EASI REFERENCE NO	CHAR	16	EASI REFERENCE NUMBER
44	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45	TRANSACTION FLAG	CHAR	1	FOR TRANSACTION STATUS 101 Y – ELECTRONIC N – NON ELECTRONIC D – EDIS PRE-TRADE
46	CHECKER DATE		16	CHECKER SYSTEM DATE AND TIME
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	5 – TRANSACTION OUTSIDE CDSL – INTER DEPOSITORY
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 511 – CLOSE AND PROCESSED • 512 – CLOSE AND UNPROCESSED • 520 – TO BE VERIFIED • 521 – CONFIRMED BY OTHER DEPOSITORY • 523 – REJECTED BY OTHER DEPOSITORY • 525 – PENDING • 528 – EARMARK SUCCESSFUL • 529 – EARMARK FAILED / OVERDUE • 533 – RECONCILED • 534 - RTA TRANSFER FAILED • 536 – ACK GENERATED • 537 – REVERSE EARMARK • 540 - PENDING FOR AUTHENTICATION • 541 - NOT AUTHENTICATED CLOSED IN EOD • 542 - PENDING FOR OTP AUTHENTICATION • 543 - REJECTED OTP AUTHENTICATED TRANSACTION • 544 - ACCEPTED OTP AUTHENTICATED TRANSACTION • 545 – NON AUTHENTICATED OTP TRANSACTION CANCELLED • 546 – FUTURE DATED OTP AUTHENTICATED TRANSACTION • 547 – EDIS TXN PENDING FOR MATCHING • 548 – REJECTED ON MATCHING
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	BUY / SELL FLAG	CHAR	1	<ul style="list-style-type: none"> • B – BUY • S – SELL

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
11.	COUNTER BO ID	CHAR	16	NSDL [CMBP / DPID (8) + CLIENT ID (8)]
12.	CONSIDERATION AMOUNT FLAG	CHAR	8	FOR TXN STATUS 525
13.	SETTLEMENT ID	CHAR	13	CDSL SETTLEMENT ID
14.	COUNTER SETTLEMENT ID	CHAR	13	NSDL SETTLEMENT ID
15.	TRANSFER / TRANSMISSION TRANSACTION NUMBER	CHAR	8	TRANSACTION ID FOR TRANSFER THROUGH TRANSFER / TRANSMISSION MODULE. NOT APPLICABLE FOR NORMAL INTER DEPOSITORY TRANSACTIONS
16.	EXECUTION DATE	DATE	14	EXECUTION DATE
17.	FIELD 3	NUMBER	6	NA
18.	FIELD 4	CHAR	20	NA
19.	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR STATUS 520 and 525
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (540,541) NA - FOR OTHERS
21.	FIELD 6	NUMBER	8	NA
22.	FIELD 7	NUMBER	2	NA
23.	FIELD 8	DATE	14	NA
24.	DATE OF ISSUE / TRANSFER	DATE	14	DATE OF ISSUE / TRANSFER
25.	FIELD 10	CHAR	1	NA
26.	FIELD 11	CHAR	1	NA
27.	FIELD 12	NUMBER	2	NA
28.	ISIN CLOSE PRICE DATE	DATE	14	FOR TXN STATUS 525
29.	ISIN CLOSE PRICE	NUMBER	16,3	FOR TXN STATUS 525

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
30.	TRANSACTION VALUATION	NUMBER	16,3	FOR TXN STATUS 525
31.	CONSIDERATION AMOUNT	NUMBER	16,3	FOR TXN STATUS 525
32.	Filler 1		16,3	For Future Use
33.	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NON-FIN TRANSACTION • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 0 – OTHERS • 21 – DEBIT / CREDIT
35.	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2215 – EARMARK • 2246 – CREDIT • 2265 – REVERSE EARMARK • 2277 – DEBIT • 5101 – SETUP • 5103 – MODIFIED / VERIFIED • 5104 – DELETE • 0 – CLOSE AND UNPROCESSED / REJECTED BY OTHER DEPOSITORY / EARMARK FAILED/ RTA Transfer Failed
36.	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	FIELD 18	CHAR	100	NA
39.	REMARKS	CHAR	100	REMARKS
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS 520 and 525 Y -- ELECTRONIC N – NON - ELECTRONIC D – EDIS PRE-TRADE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	OTP verify Date	DATE	14	For Txn status - 543,544,546 DATE – Applicable in case inter-depository off market OTP authenticated transactions (Date & Time)
49.	Filler4		19	FOR FUTURE USE
50	PAYMENT MODE	NUMBER	1	1 – CHEQUE 2 – ELECTRONIC 3 – CASH
51	BANK ACCOUNT NO	CHAR	35	
52	BANK NAME	CHAR	100	
53	BRANCH NAME	CHAR	100	
54	CHEQUE / REFERENCE NUMBER	CHAR	25	

Settlement Module:-

The various Earmark / Reverse Earmark related Transaction Descriptions are as given below:-

1. BSE Payin Earmark:-

“EARMARK-CR”(10)+##+”SETT”(4)+##+<Settlement ID>(13)+##+”EX”(2)+##+<EX ID>(2)+##+<TXN ID>(8)

Total length – 44

Sample Entry – ‘EARMARK-CR SETT 1110142021035 EX 11 00765767’

2. BSE Payout Reverse Earmark:-

“EARMARK-DR”(10)+##+”SETT”(4)+##+<Settlement ID>(13)+##+”EX”(2)+##+<EX ID>(2)+##+<TXN ID>(8)

Total length – 44

Sample Entry – ‘EARMARK-CR SETT 1110142021035 EX 11 00765767’

3. Interdepository:-

a) Earmark:

“ID-EARMARK-CR”(13)+##+<TXN ID>(8)

Total length – 22

Sample Entry – ‘ID-EARMARK-CR 79870403’

b) Reverse Earmark:

“INTDEP-EARMARK-DR”(17)+##+<TXN ID>(8)

Total length – 26

Sample Entry – ‘INTDEP-EARMARK-DR 79870403’

4. Early Payin:-

a) Early Payin Setup Earmark:

“EP-IREM”(7)+##+”Txn.”(4)+<TXN ID>(8)+##+”CtBo.”(5)+<Ctr BO>(16)+ ##+<Settlement ID>(13)

Total length – 56

Sample Entry – ‘EP-IREM Txn:00033230 CtBo:1100001000018547 1110002020407’

b) Reverse Earmark due to Payin (NSE Type):

“EP-REVL”(7)+##+”Txn:”(4)+<TXN ID>(8)+##+”CtBo:”(5)+<Ctr BO>(16)+##+ <Settlement ID>(13)

Total length – 56

Sample Entry – ‘EP-REVL Txn:00513611 CtBo:1100001000018547 1211002020230’

c) Reverse Earmark due to Reversal:

“EP-CC-REVL”(10)+##+”Txn:”(4)+<TXN ID>(8)+##+”CtBo:”(5)+<Ctr BO>(16)+##+ <Settlement ID>(13)

Total length – 59

Sample Entry – ‘EP-CC-REVL Txn:00033230 CtBo:1100001000018547 1110002020407’

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Note:

❖ Space is denoted by special character ‘#’
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