



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/A,I&C/DP/POLCY/2020/446

October 14, 2020

REVISED CHECKLIST ON CONCURRENT AUDIT OF RISK PRONE AREAS BY AUDITORS OF THE DPs

The DPs were advised to have Concurrent Audit of risk prone areas done daily on 100% basis by the Concurrent Auditors as per the communiqué no. CDSL/A,I&C/DP/POLCY/2018/205 dated April 18, 2018.

The DPs are now advised to note that the concurrent auditors should conduct the audit of the DPs as per the revised checklist given in **Annexure A** on 100% basis daily effective from **October 01, 2020** and submit the concurrent audit report to the DP on a monthly basis by the 10th of the following month.

Queries regarding this communiqué may be addressed to CDSL – Audit, Inspection & Compliance Department on telephone nos. (022) 2305-8578, 2305-8879, 2305-8691 and 2305-8513.

sd/-

Latha Nair
Assistant Vice President – Audit, Inspection & Compliance