



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2020/338

August 07, 2020

MARGIN OBLIGATIONS TO BE GIVEN BY WAY OF MARGIN PLEDGE / MARGIN RE-PLEDGE IN THE DEPOSITORY SYSTEM

DPs are advised to refer CDSL Communique no. **CDSL/OPS/DP/POLCY/2020/234** dated May 22, 2020 regarding operational modalities and various file formats for implementation of the SEBI Circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/28 dated February 25, 2020 regarding Margin Obligations to be given by way of Margin Pledge (**MP**) / Margin Re-pledge (**MRP**) in favour of Trading Members (**TM**) / Clearing Members (**CM**) and Clearing Corporations (**CC**) respectively and communique no. **CDSL/OPS/DP/POLCY/2020/309** dated July 16, 2020 regarding additional points for implementation of the guidelines specified in the aforesaid SEBI circular.

Based on feedback received from DPs, CDSL has done the changes in the sequence of status codes appeared in DP 57 report which will be implemented w.e.f. August 8, 2020. DPs are advised to refer the attached Annexure to take a note of said changes and do the necessary changes in their back office, if any.

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk on (022) 2305-8642, 2305-8663, 2305-8624, 2305-8640 or 2305-8639. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Prashant Kokate
Asst. Vice President – Operations

Generation of Transaction Status Codes in DP57 report during Margin Pledge Setup at Pledgor End								
	Online Setup (With execution date 'Current Business Date')		Online Setup (With execution date 'Future Business Date')		Common Upload (With execution date 'Current Business Date')		Common Upload (With execution date 'Future Business Date')	
	Existing Released Functionality	Scheduled for Release (Effective from August 8, 2020)	Existing Released Functionality	Scheduled for Release (Effective from August 8, 2020)	Existing Released Functionality	Scheduled for Release (Effective from August 8, 2020)	Existing Released Functionality	Scheduled for Release (Effective from August 8, 2020)
Margin Pledge setup initiated by pledgor maker	828 - Margin Pledge Setup Initiated by Pledgor Maker	828 - Margin Pledge Setup Initiated by Pledgor Maker (No Change)	828 - Margin Pledge Setup Initiated by Pledgor Maker	824 - Pending Setup By Pledgor Maker	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Margin Pledge setup approval by pledgor checker	829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) No Overdue status applicable	No status code will be reported in DP57 report.	829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) No Overdue status applicable	No status code will be reported in DP57 report.	829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB)	No status code will be reported in DP57 report.	829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB)	No status code will be reported in DP57 report.
Link Generation	No status code will be reported in DP57 report.							
OTP Authentication	No status code reported in DP57 report.	on OTP Authentication, 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) OR 826 -OverDue Setup By Pledgor Checker	No status code reported in DP57 report.	on OTP Authentication - No status code will be reported in DP57 report. (generated before execution date) on SOD of 'Execution Date' 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) OR 826 -OverDue Setup By Pledgor Checker	No status code reported in DP57 report.	on OTP Authentication, 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) OR 826 -OverDue Setup By Pledgor Checker	No status code reported in DP57 report.	on OTP Authentication - No status code will be reported in DP57 report. (generated before execution date) On SOD of 'Execution Date' 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) OR 826 -OverDue Setup By Pledgor Checker