



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2020/234

May 22, 2020

MARGIN OBLIGATIONS TO BE GIVEN BY WAY OF MARGIN PLEDGE / MARGIN RE-PLEDGE IN THE DEPOSITORY SYSTEM

DPs are advised to refer CDSL Communique no. **CDSL/OPS/DP/POLCY/2020/115** dated March 2, 2020 informing about the SEBI Circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/28 dated February 25, 2020 regarding Margin Obligations to be given by way of Margin Pledge (**MP**) / Margin Re-pledge (**MRP**) in favour of Trading Members (**TM**) / Clearing Members (**CM**) and Clearing Corporations (**CC**) respectively.

DPs are advised to take note of following points for necessary implementation of the guidelines mentioned in the aforesaid SEBI circular with effect from the 'Effective Date' as specified by SEBI.

1. TM / CM shall, inter alia, accept collateral from clients in the form of securities, only by way of MP, created in the Depository system in accordance with Section 12 of the Depositories Act, 1996 read with Regulation 79 of the SEBI (Depositories and Participants) Regulations, 2018 and the relevant Bye Laws of the Depositories.
2. Section 12 of the Depositories Act, 1996 read with Regulation 79 of the SEBI (Depositories and Participants) Regulations, 2018 and the relevant Bye Laws of the Depositories clearly enumerate the manner of creating pledge of the dematerialised securities. Any procedure followed other than as specified under the aforesaid provisions of law for creating pledge of the dematerialised securities is prohibited. It is clarified that an off-market transfer of securities leads to change in ownership and shall not be treated as pledge.
3. Transfer of securities to the demat account of the TM / CM for collateral / margin purposes (i.e. title transfer collateral arrangements) shall be prohibited. In case, a client has given a Power of Attorney (**POA**) in favour of a TM / CM, such holding of POA shall not be considered as equivalent to the collection of collateral / margin by a TM / CM in respect of securities held in the demat account of the client.
4. As the client necessarily has to authenticate the transaction using OTP, the DPs should ensure to educate their clients that the said transaction can be executed only if the client is registered for SMS alert or has a valid and active email id registered in the demat account.

Account Opening:



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5. To comply with clause 5 of the aforesaid SEBI circular and to enable clients to pledge their securities as collateral / margin to a TM / CM, CDSL has introduced following account types / sub-types titled 'TM – Client Securities Margin Pledge Account (**TM-CMPA**)' and 'CM – Client Securities Margin Pledge Account (**CM-CMPA**)' so that clients can pledge securities in favour of these accounts as a collateral / margin and TM / CM can further re-pledge these securities in favour of a CM / CC, respectively. In view of the above, DPs are advised to inform their TM / CM clients and can start opening the said accounts.

Sub-status code	Sub-status description	Linked to Status Code	Linked to Status description	Linked to Product code	Linked to Product description
130	Corporate – TM Client Securities Margin Pledge Account	25	Corporate	2	Corporate
131	Individual – TM Client Securities Margin Pledge Account	21	Individual	1	Individual
132	HUF – TM Client Securities Margin Pledge Account	21	Individual	8	HUF
133	LLP – TM Client Securities Margin Pledge Account	25	Corporate	2	Corporate
134	Corporate – CM Client Securities Margin Pledge Account	25	Corporate	2	Corporate
135	Individual – CM Client Securities Margin Pledge Account	21	Individual	1	Individual
136	HUF – CM Client Securities Margin Pledge Account	21	Individual	8	HUF
137	LLP – CM Client Securities Margin Pledge Account	25	Corporate	2	Corporate

6. In case a TM/CM's existing pool account is maintained with a DP and the TM-CMPA / CM-CMPA is being opened with the same DP then fresh Account Opening Form (**AOF**) would not be required to be submitted by a TM / CM to the DP for opening the TM-CMPA / CM-CMPA. DP shall obtain a consent from a TM / CM in the format enclosed as '**Annexure-A**' along with a copy of the board resolution for the same. However, if the TM-CMPA / CM-CMPA is being opened with another DP where pool account is not maintained then the documents as



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applicable for opening of demat accounts mentioned in the Operating Instructions 2.3.7 would have to be furnished. A TM / CM should mention the type of account as TM-CMPA / CM-CMPA in the AOF provided in Annexure 2.2 of the Operating Instructions.

Initiation of a Margin Pledge / Margin Re-pledge:

7. To provide a collateral / margin in the form of dematerialized securities to a TM / CM, a client can initiate a MP only in favour of TM-CMPA / CM-CMPA through physical instruction (i.e. by submitting a Margin Pledge Form to a DP) or electronic instruction (through easiest). Such instructions should have details of client UCC, TM, CM and Default Segment. Format of Margin Pledge Request Form (**MPRF**) is enclosed as '**Annexure-B**'.
8. If a client has given a POA to a TM / CM, TM / CM will be allowed to execute MP on behalf of such client in favour of TM-CMPA / CM-CMPA.
9. On receipt of MP instruction either from a client or by a TM / CM (based on the POA), DP of a client through WEBCDAS or TM / CM on behalf of the client through easiest login will initiate MP from the client's demat account and One Time Password (**OTP**) along with link to 'Authenticate Margin Pledge Transactions' would be generated and sent by CDSL system through SMS and Email on mobile number and e-mail id registered in the demat account of a client respectively. The MP instruction will be processed **only after OTP authentication** by a client (pledgor). On clicking the said link client will be prompted to enter the details viz. BOID, PAN (optional only in case of PAN exempted cases) and Date of Birth (Date of Incorporation in case of corporate clients). Once the details are entered, client will be displayed the details of MP initiated by the DP or TM / CM (through easiest) and will be given an option to enter the OTP which was sent on the registered mobile number and email id of the respective demat account of client. On OTP authentication MP transaction would be processed further. Such OTP confirmation from a client shall NOT be required, if securities of such client are being re-pledged by TM/CM in favour of CM/CC.
10. In client account, MP or MRP instruction shall be reflected against each security, if it is pledged / re-pledged and in whose favour i.e. TM / CM / CC.
11. A TM will be allowed to re-pledge the securities only from a TM-CMPA in favour of a CM-CMPA. Further, a CM in turn will also be allowed to re-pledge securities only from CM-CMPA in favour of designated CC account. Please note that as per the guidelines, CCs will accept re-pledge only for those securities which are on the approved list of CC and CM-CMPA is registered with



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the respective CC. While re-pledging the securities to the CM / CC, TM / CM would be required to fully disclose the details of the client wise pledge to the CM / CC. CDSL will facilitate TM / CM to have a visibility of client level pledge instructions so that CM may allow exposure and / or margin credit in respect of such securities to that client to whom such securities belong.

12. DPs may please note that once the securities are pledged through MP in TM-CMPA / CM-CMPA, TM / CM may initiate a MRP for a partial quantity multiple times till the time pledge balance is available for re-pledge in the TM-CMPA / CM-CMPA account.

Release of Margin Pledge / Margin Re-pledge:

13. If a client has pledged the securities in favour of a TM / CM for collateral / margin purpose then the request for release of pledge can be made by a client to its DP. Such request for release of MP will have to be confirmed by the DP of the TM / CM based on the instruction received from the TM / CM. Alternatively the TM / CM, may initiate a release of pledge in CDSL system (similar to the existing functionality of Unpledge). Format of Margin Unpledge Request Form (**MURF**) is enclosed as '**Annexure-C**'.
14. For release of client securities pledged in favour of a TM / CM to provide collateral / margin and which are subsequently re-pledged in favour of a CC, the CC will release the re-pledged client securities to CM. The CM / TM in turn shall release the securities to TM / client, as the case may be.
15. DPs may please note that partial quantity release of pledged securities that are pledged under MP / MRP will be allowed for execution by TM / CM / CC.

Invocation of Margin Pledge / Margin Re-pledge:

16. In case of default by a client of a TM who has pledged securities with TM, a TM shall invoke the pledge. A TM will be required to give Margin Pledge Invocation Form (**MPIF**) to his DP. Format of MPIF is enclosed as '**Annexure-D**'.
17. In case of default by a client of a TM whose securities are re-pledged by TM with a CM, the invocation request shall be carried by a TM and unpledge request by a TM to CM.



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18. In case of default by a client of a TM where the client securities are re-pledged with a CM and CM in turn has re-pledged with CC, the invocation request shall be carried by a TM and unpledge request by a TM to CM and in turn a CM to CC.
19. In case of a default by a client/TM of a CM whose securities are re-pledged with CC, CM shall make a request for release of the pledged/re-pledged securities of that client/TM. CC shall release the re-pledged securities of that defaulting client/TM in CM-CMPA and the CM shall invoke the pledge in Demat account of the client/TM.
20. In case of default by a CM, CC shall invoke securities pledged by a CM. After exhausting the CM's own collateral, CC may also invoke re-pledged securities of that client who has open position and their re-pledged securities are blocked by CC to close out their open positions. The re-pledge securities of other clients who did not have any open position with CC, their securities shall not be available to CC for invocation to meet settlement default of the CM.
21. DPs may please note that partial quantity invocation of pledged securities that are pledged under MP / MRP will be allowed for execution by TM / CM / CC.

Validations while executing Margin Pledge / Margin Re-pledge Transactions:

22. DPs are advised to refer following annexures to understand the validations which would be taking place while executing MP / MRP / Margin Unpledge / Margin Invocation transactions:
 - a) Please refer '**Annexure-E**' to know the pledge entry validations that are going to take place while MP / MRP transactions are being entered / uploaded by the DPs prior to execution.
 - b) Please refer '**Annexure-F**' to know the pledge execution validations that are going to take place while MP / MRP transactions are being executed in CDSL system on online entry / upload by the DP.
 - c) Please refer '**Annexure-G**' illustrating balance movements in pledger and pledgee accounts post execution of MP / MRP transactions.

Impact of CA Processing:

23. For CA Master Type 1 (Debit & Credit), Parent Margin Pledge PSN against the old ISIN will be transferred due to Auto CA and new child Margin Pledge PSN against the new ISIN will be generated.



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24. For CA Master Type 2 (Credit) for Bonus, new child Margin Pledge PSN against the parent ISIN will be generated.
25. For CA Master Type 3 (Debit), Parent Margin Pledge PSN against the old ISIN will be cancelled due to Auto CA.
26. There will be no impact on File CA and IPO.

Upload and Report Formats:

27. DPs are requested to take note of file format changes with respect to following Upload and CDAS reports and initiate suitable back-office changes;
 - a) Common Upload (Please refer '**Annexure-H**')
 - b) DPG5 – Half Yearly Holding Report for non-transacted BOs (Please refer '**Annexure –I**')
 - c) DP36 – Default Pledge Master Report (Please refer '**Annexure-J**')
 - d) DP37 – Online Pledge Master Report (Please refer '**Annexure-K**')
 - e) DP57 – Online Transaction Report for Pledge / Unpledge / Auto Unpledge / Confiscate (Please refer '**Annexure-L**')
 - f) DPC7 – Free Balance Report (Please refer '**Annexure-M**')
 - g) DPC9 – BO Transaction Cum Holding Report (Please refer '**Annexure-N**')
 - h) DPM3 – Weekly / Monthly Full Holding Report (Please refer '**Annexure-O**')
 - i) DPM4 – Online Incremental Holding Report (Please refer '**Annexure-P**')

Grouping of TM-TMPA / CM-CMPA in Easiest:

28. Presently, TM/CMs can use 'Easiest' to transfer securities from the TM/CM's accounts provided such demat accounts have been grouped under TM/CM log-in. TM/CMs can transfer securities from TM-CMPA/CM-CMPA only if the TM/CM has grouped its TM-CMPA/CM-CMPA under the TM/CM account login in easiest. The grouping can be done by submitting a request letter to the DP as per the current process. DPs and TM/CMs are requested to note that grouping is possible only if the TM/CM settlement accounts are held with the same DP.
29. Presently, TM/CMs can use 'Easiest' to transfer securities from its client accounts to its Pool, Principal, Clearing Member, Early Pay-in Account, CM/TM Client Collateral or CM/TM Collateral Accounts provided such demat account numbers have been grouped under its TM/CM's login. Further, as mentioned above such demat account numbers should have been registered as



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recipient demat accounts in the Master POA ID linked with the BO account in CDAS. The grouping is done by submitting a request letter to CDSL as specified in Annexure 10 of communique no. CDSL/OPS/DP/1905 dated February 26, 2010.

30. In view of the aforesaid SEBI guidelines and introduction of new sub-status codes for TM-CMPA / CM-CMPA, with effect from 'Effective Date', demat accounts of TM/CMs with sub-status 130 to 137 will be allowed to be mapped to its TM/CM accounts by submitting request letter to CDSL for grouping in the format as specified in Annexure 10 of communique no. CDSL/OPS/DP/1905 dated February 26, 2010. The TM/CMs should take care that the POA given by the client authorizes the TM/CM to pledge securities from client's account for the purpose of margin / collateral.
31. Further, in view of the aforesaid SEBI guidelines effective from EOD of 'Effective Date' transfers from / to 'CM/TM Client Collateral Account' (having sub-status codes as 122, 123, 124 & 125) and 'CM/TM Collateral Account' (having sub-status codes as 105, 107, 109 & 118) through easiest will be prohibited.

Key Points:

32. DPs are once again advised to take note of below-mentioned key points and disseminate the guidelines provided in this communique to their TM / CM clients:
- Existing pledge mechanism for pledge arrangements between two clients remains unchanged and will continue to co-exist along with the two new pledge types viz. 'Margin Pledge' and 'Margin Re-pledge' as detailed above. However, all collateral / margin requirements of the clients should be facilitated ONLY through Margin Pledge / Margin Re-pledge mechanism and from the designated demat account only as mentioned above.
 - From 'Effective Date' CDSL system shall allow MP / MRP transactions only in TM-CMPA / CM-CMPA.
 - An off-market transfer of securities from client account to TM / CM account for the purpose providing collateral / margin leading to change in ownership shall not be treated as pledge. Transfer of securities to TM-CMPA / CM-CMPA for margin purposes (i.e. title transfer collateral arrangements) shall be prohibited.
 - There will be a separate pledge type viz. 'Margin Pledge' for pledging client's / TM/CM's own securities as collateral / margin to TM-CMPA / CM-CMPA and 'Margin Re-pledge' for re-pledging client's / TM/CM's own securities to CM-CMPA / designated CC accounts.



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Details of designated CC accounts would be communicated to DPs through a separate communique.

- e) The TM / CM shall be required to close all their existing demat accounts tagged as 'CM/TM Client Collateral Account' (having sub-status codes as 122, 123, 124 & 125) and 'CM/TM Collateral Account' (having sub-status codes as 105, 107, 109 & 118) by the date specified by SEBI.
- f) To ensure TM / CM close their respective 'CM/TM Client Collateral Account' and 'CM/TM Collateral Account' close by the date specified by SEBI, the TMs / CMs shall be required to transfer all client's securities lying in such accounts to the respective client's demat accounts. From 'Effective Date', TM / CM would be prohibited from holding any client securities in any beneficial owner accounts of TM / CMs other than specifically tagged accounts viz. Client Margin Trading Account (having sub-status code 43), BSE Principal Account, BSE Pool Account, Clearing Member Accounts of non-BSE TM/CMs, Early Pay-in Accounts and Client Unpaid Securities Accounts as mentioned in SEBI Circular CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019.

DPs are hereby directed to disseminate the aforesaid information / requirements / guidelines to their TM/CM clients to help them to comply with the same and required to implement suitable back-office changes, if any.

Queries regarding this communique may be addressed to:

CDSL – Helpdesk on (022) 2305-8642, 2305-8663, 2305-8624, 2305-8640 or 2305-8639. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Ashish Bhatt
Vice President – Operations

Date :

To,

(Name of Depository Participant)

Dear Sir / Madam,

Sub: Consent for opening TM/CM Client Securities Margin Pledge Account

I am / we are maintaining a TM/CM account with your DP <demat account number (BOID)>. I/We would like to open TM/CM Client Securities Margin Pledge Account based on the existing AOF with your DP.

I/we hereby declare that I/we are KYC compliant and details captured in the Pool / Principal Account and current details are same.

As per Operating Instructions 2.4.4 we hereby give our consent and Board Resolution along with the list of Authorised Signatories to open and operate the TM/CM Client Securities Margin Pledge Account as a TM/CM of BSE and / or TM/CM for exchanges other than BSE.

Thanking you,

TM/CM Seal

Authorised Signatories

MARGIN PLEDGE / REPLEDGE REQUEST FORM (MPRF)

Setup of Margin Pledge Setup of Margin Re-Pledge Confirmation of Margin Pledge Confirmation of Margin Re-Pledge

Depository Participant Name /Address

Please fill all the details in **Block Letters** in English

MPRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the margin pledge, re-pledge / confirm the creation of margin pledge / re-pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to margin pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details													
DP ID					Client ID								
UCC													
TMID/CP Code													
CMID													
STOCK EXCHANGE					CLEARING CORPORATION					SEGMENT			
Pledgor's Name	1 2 3												

DP ID					Client ID						
Pledgee's Name	1. 2. 3.										

Details of Securities: Free Securities Locked-in Securities

Details of Securities offered for Margin Pledge/Margin Repledge

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y

Note : As a pledgor and pledgee, We are aware that the margin pledge / re-pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities margin pledged and that the records of margin pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

To be filled and signed in case of Set-up of Margin Pledge Re-pledge by Pledgor BO

Signature of the Pledgor

First/ Sole Applicant	Second Applicant	Third Applicant

Annexure B

To be filled in case of acceptance of Margin Pledge Re-pledge by Pledgee BO

Signature of Pledgee(s)

First Holder	Second Holder	Third Holder

Depository Participant Seal and Signature

To be filled and signed in case of Set-up of pledge release by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	Second Applicant	Third Applicant

Depository Participant seal and signature

Margin Invocation Request Form (MIRF)**Depository Participant Name/Address**Please fill all the details in **Block Letters** in English. To be filled by the pledgee.

MIRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to set up a Margin Invocation request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to Margin Invocation of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such Margin Invocation.

Pledgor's Details											
DP ID										Client ID	
UCC											
TMID/CP Code											
CMID											
STOCK EXCHANGE					CLEARING CORPORATION				SEGMENT		
Pledgor's Name	1										
	2										
	3										

DP ID										Client ID	
Pledgee's Name	1.										
	2.										
	3.										

Date of Invocation	D	D	M	M	Y	Y	Y	Y
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Details of Securities to be invoked					
Sr. No	PSN	ISIN	Name of the Security	Total Quantity Pledged	Quantity to be invoked

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

The invocation request is being set up for the reasons mentioned hereunder:-

Signature of the Margin Pledgee / Margin Re-pledgee		
Sole / First Applicant	Second Applicant	Third Applicant

Depository Participant Seal and Signature

Type of Pledge	Pledgor	Pledgee	EX ID	UCC	Segment	TM ID / CP Code	CM ID	CCID
Margin Pledge	Client	TM-CMPA	M	M	M	M	M	Will be derived from EXID-UCC combination
	Client	CM-CMPA	M	M	M	M	M	Will be derived from EXID-UCC combination
	CP	CM - CMPA	M	O	M	M	M	Will be derived from entered / uploaded EXID
	TM's Proprietary Account	TM-CMPA	M	O	M	M	M	Will be derived from entered / uploaded EXID
	CM's Proprietary Account	CM-CMPA	M	O	M	M	M	Will be derived from entered / uploaded EXID
Margin repledge	TM-CMPA	CM-CMPA	M	O	M	M	M	Will be derived from EXID-UCC combination
	CM-CMPA	CC	M	O	M	M	M	Will be derived from EXID-UCC combination

Type of Pledge	Pledgor	Pledgee	Validations
Margin Pledge	Client	TM-CMPA	<ol style="list-style-type: none"> 1. Execution of pledge instruction will be permitted only for active UCC-Segment combination present in the linked UCC details of the BO. 2. Pledgee account should be TM-CMPA. 3. TMID, CMID and Segment Code combination entered during Margin Pledge Setup will be checked for existence of the same combination in the CM-TM Link approved by CC. 4. UCC details will not be allowed for modification/deletion on active MP.
	Client	CM-CMPA	<ol style="list-style-type: none"> 1. Execution of pledge instruction should be permitted only for active UCC-Segment combination present in the linked UCC details of the BO. 2. Pledgee account should be of CM-CMPA. 3. TMID, CMID and Segment Code combination entered during Margin Pledge Setup will be checked for existence of the same combination in the CM-TM Link approved by CC. 4. UCC details will not be allowed for modification/deletion on active MP.
	TM Proprietary Account	TM-CMPA	<ol style="list-style-type: none"> 1. UCC will be optional for this combination. 2. No OTP authentication is required as Pledgor and Pledgee entity is same. 3. PAN of TM Proprietary Account and TM-CMPA should be same.
	CM Proprietary Account	CM-CMPA	<ol style="list-style-type: none"> 1. UCC will be optional for this combination. 2. No OTP authentication is required as Pledgor and Pledgee entity is same. 3. PAN of CM Proprietary Account and CM-CMPA should be same.
	CP	CM-CMPA	<ol style="list-style-type: none"> 1. PAN of CP and CP Code entered and PAN of CM-CMPA account and CMID will be checked for existence in the CM-CP Link approved by CC.

Type of Pledge	Pledgor	Pledgee	Validations
Margin Re-pledge	TM-CMPA	CM-CMPA	1. CMID and PAN of CM-CMPA Account and TMID and PAN of TM-CMPA account will be checked for existence in the CM-TM Link provided by CC.
	CM-CMPA	CC	1. The mapping between CM-CMPA Pledgor Account and CC Pledgee Account will be checked in Eligible CM-CMPA accounts list provided by CCs. 2. The mapping between CC Pledgee Account and ISIN should be present in Approved Securities list provided by CCs. 3. CC account will be checked.

Margin Pledge Balance Movement Illustration

ISIN - INE002A01018	BO Current Balance	BO Free Balance	BO Pledge Balance	BO Pledgee Balance	TM Current Balance	TM Free Balance	TM Pledge Balance	TM Pledgee Balance	TM Re- pledge Balance	CM Current Balance	CM Free Balance	CM Pledge Balance	CM Pledgee Balance	CM Re- pledge Balance	CC Current Balance	CC Free Balance	CC Pledge Balance	CC Pledgee Balance
Free Balance under BO	100	100																
BO to TM-CMPA Margin Pledge (100 Qty)	100	0	100	0	0	0	0	100	0									
TM-CMPA to CM- CMPA Margin Re- pledge (50 Qty)	100	0	100	0	0	0	0	100	50	0	0	0	50	0				
CM-CMPA to CC Margin Re-pledge (30 Qty)	100	0	100	0	0	0	0	100	50	0	0	0	50	30	0	0	0	30

Features

- Multiple Upload Types can be entered in the same file.
- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

Upload ID : **18**

File Name : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

Sample File Name:

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

Header Record

Input Type : **M**andatory [M] / **O**ptional [O]

Header record will contain:

Field Description	Field Type	Field Length	Input Type
DP ID	Number	6	M
Operator ID	Char	6	M
Total No. of Records	Number	6	M
File Extension	Number	3 / 4 / 5	M
Business Date	Date	8	M

Sample Record Format:

021200DPADM 00000112318042015

Detail Record : Pledge Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = P]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee • M – Modify	<Subtp>	</Subtp>
Free / Lock-in Flag	Char	1	O • F – Free • L – Lockin [Applicable if Pledge Sub Type is 'S']	<Lcksts>	</Lcksts>
Lock-in ID	Number	16	O [Applicable if Pledge Sub Type is 'S' and Free / Lock-in Flag is 'L']	<Lckid>	</Lckid>
Pledge Request Form Number	Char	16	O [Applicable and Mandatory if Pledge Sub Type is 'S']	<Prf>	</Prf>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' or 'C' or 'E']	<Qty>	</Qty>
Value	Number	15,2	O [Applicable if Pledge Sub Type is 'S']	<Val>	</Val>
Pledge Expiry Date	Date	8 [DDMMY YYY]	O [Applicable if Pledge Sub Type is 'S' or 'M']	<Xpry>	</Xpry>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Pledgor Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ref>	</Ref>
Agreement Number	Char	20	O [Applicable if Pledge Sub Type is 'S' or 'M' (direct transactions)]	<Agrmt>	</Agrmt>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)]	<Remk>	</Remk>
Pledge Sequence Number	Number	8	[Will be null for Pledge Type 'P' and Pledge Sub Type 'S' (for newly setup Pledge) else mandatory]	<Psn>	</Psn>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MI SS	<Rcvdt>	</Rcvdt>
Pledge Identifier	Char	2	O Will be valid for Pledge Type 'P' and pledge Sub type 'S' Possible Values MP – Margin Pledge MR – Margin Repledge	<PldgIdntfr>	</PldgIdntfr>
Exchange ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Xchg>	</Xchg>
UCC Details	Char	11	M / O Mandatory only if Pledge Identifier present	<Ucc>	</Ucc>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Segment ID	Char	2	M / O Mandatory only if Pledge Identifier present	<Seg>	</Seg>
CC ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Clr>	</Clr>
CM ID	Char	8	M / O Mandatory only if Pledge Identifier present	<Mmb>	</Mmb>
TM ID /CP ID	Char	12	M / O Mandatory only if Pledge Identifier present If TM ID is provided, Entity Identifier will be TM. If CP ID is provided, Entity Identifier will be CP	<Tm>	</Tm>
Entity Identifier	Char	2	M / O Mandatory only if Pledge Identifier present	<EntIdntfr >	</EntIdntfr>
Margin Pledge Sequence Number	Number	8	M / O Mandatory only if Pledge Identifier is MR – Margin Repledge	<MarPsn>	</MarPsn>

Sample Record Format –**Pledge Setup**

```
<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>070111412812
3020</Lckid><Prf>CDPTEST002</Prf><Bnfcry>1302120000046661</Bnfcry><CtrPty>1302120000152220</
CtrPty><ISIN>INE024B01010</ISIN><Qty>10000</Qty><Val>100000</Val><Xpry>31122015</Xpry><Ref>P
LEDGETESTCDP</Ref><Agrmt>CDPTEST001</Agrmt><Remk>PLEDGETEST2303</Remk><Psn></Psn><
Excdt>01092015</Excdt><Rcvdt>15082015173000</Rcvdt>
```

Margin Pledge

```
<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>31711625504370
20</Lckid><Prf>ABC/123</Prf><Bnfcry>1601010000012345</Bnfcry><CtrPty>1601010000067890</CtrPty><IS
IN>IN9003A01014</ISIN><Qty>1000</Qty><Val>100000</Val><Xpry>31122010</Xpry><Ref>ABCD</Ref><Ag
```

rmt>AGREE</Agrmt><Rmk>REMARK</Rmk><ExecDt>05092012</ExecDt><Rcvdt>15082015173000</Rcvdt>
 <Pldgldntfr>MP</Pldgldntfr><Xchg>11</Xchg><Ucc>105717<Ucc><Seg>01</Seg><Clr>10</Clr><Mmb>1
 0654</Mmb><Tm>10654</Tm><Entldntfr>TM</Entldntfr>

Margin Repledge

<Tp>7</Tp><Usn>1</Usn><Pldgtp>P</Pldgtp><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>31711625504
 37020</Lckid><Prf>ABC/123</Prf><Bnfcry>1601010000012345</Bnfcry><CtrPty>1601010000067890</Ctr
 Pty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Val>100000</Val><Xpry>31122010</Xpry><Ref>A
 BCD</Ref><Agrmt>AGREE</Agrmt><Rmk>REMARK</Rmk><ExecDt>05092012</ExecDt><Rcvdt>1508
 2015173000</Rcvdt><Pldgldntfr>MR</Pldgldntfr><Xchg>11</Xchg><Ucc>42358<Ucc><Seg>01</Seg><
 Clr>10</Clr><Mmb>10654</Mmb><Tm>10654</Tm><Entldntfr>TM</Entldntfr><MarPsn>3456</MarPsn>

Pledge Accept

<Tp>7</Tp><Usn>1</Usn><Pldgtp>P</Pldgtp><Subtp>A</Subtp><Psn>608067</Psn><Bnfcry>130212000
 0046661</Bnfcry><CtrPty>1302120000152220</CtrPty><ISIN>INE024B01010</ISIN><Qty>10000</Qty><Ctr
 Ptyref>CDP TEST</CtrPtyref><Remk>PLEDGETEST2503</Remk> <Rcvdt>15082015173000</Rcvdt>

For e.g. Margin Pledge

Detail Record : Confiscation Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = C]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • E – Reversal by Pledgee (In case of indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	8	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Part Counter	Number	4	O [Not applicable in case of direct transaction]	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O <u>Will be valid for</u> Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledge Confiscation Amount	Number	16,3	M <i>[Applicable if Pledge Type is 'C' and Pledge Sub Type is 'S']</i>	<Invamt>	</Invamt>

Sample Record Format –

Confiscation Setup

```
<Tp>7</Tp><Usn>1</Usn><Pldgt>C</Pldgt><Subtp>S</Subtp><Psn>601425</Psn><Bnfcry>1302120000149906</Bnfcry><CtrPty>1302120000150354</CtrPty><ISIN>INE786B01022</ISIN><Ctrptyref>CONFISCATE</Ctrptyref><Prtqty>10</Prtqty><Remk>REMARK</Remk><Rcvdt>15082015173000</Rcvdt><Invamt>100000</Invamt>
```



Report Documentation

Report ID : DPG5

Report Description : Quarterly Holding Report for non transacted BO

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

Sr. No.	Field Description	Field Type	Field Size
1	Line Identifier - "01"	Number	2
2	DPID	Number	5
3	BOID	VarChar	16
4	From Date	Char	10 (DD-MM-YYYY)
5	To Date	Char	10 (DD-MM-YYYY)
6	Line Identifier - "02"	Number	2
7	ISIN	Char	12
8	ISIN Full Name	VarChar	100
9	Settlement ID	VarChar	13
10	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
11	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
12	Line Identifier - "03"	Number	2
13	From Date	Char	10 (DD-MM-YYYY)
14	Transaction Particulars	"Opening Balance"	15
15	Transaction Qty1	Nil	0
16	Transaction Qty2	Nil	0
17	Opening Balance	Number	Max (13.3) & Min (1.3)
18	Line Identifier - "04"	Number	2
19	Transaction Date	Char	10 (DD-MM-YYYY)

Sr. No.	Field Description	Field Type	Field Size
20	Transaction Description	Char	Max (60)
21	Credit/Debit Type	Char	1 ("C" or "D")
22	Transaction Quantity	Number	Max (13.3) & Min (1.3)
23	Calculated Current Balance After Transaction	Number	Max (13.3) & Min (1.3)
24	Settlement ID	VarChar	13
25	Counter Settlement ID	VarChar	13
26	Transaction ID	Number	8
27	DIS	VarChar	16
28	Line Identifier - "05"	Number	2
29	To Date	Char	10 (DD-MM-YYYY)
30	Transaction Particulars	"Closing Balance"	15
31	Transaction Qty1	Nil	0
32	Transaction Qty2	Nil	0
33	Closing Balance	Number	Max (13.3) & Min (1.3)
34	Line Identifier - "06"	Number	2
35	Pending Since Date/Lockin Expiry Date / Destat Setup Date	Char	10 (DD-MM-YYYY)
36	Demat/Remat Pending/Lockin / Pending Destat	Char	1 ("D" or "R" or "L" or "M")
37	Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin/ Destat Pending	Char	Max (24) Min (17)
38	Demat/Remat Rejected Qty or Demat/Remat Pending Qty or Lockin Qty / Pending Destat Qty	Number	Max (13.3) & Min (1.3)
39	Line Identifier - "07"	Number	2
40	ISIN	Char	12
41	ISIN Full Name	VarChar	100
42	Current Balance Quantity	Number	Max (13.3) & Min (1.3)
43	Safe Keep Balance Quantity	Number	Max (13.3) & Min (1.3)
44	Pledged Balance Quantity	Number	Max (13.3) & Min (1.3)
45	Free Balance Quantity	Number	Max (13.3) & Min (1.3)
46	Lockin Balance Quantity	Number	Max (13.3) & Min (1.3)
47	Earmarked Balance Quantity	Number	Max (13.3) & Min (1.3)

Sr. No.	Field Description	Field Type	Field Size
48	Lend Balance Quantity	Number	Max (13.3) & Min (1.3)
49	AVL Balance Quantity	Number	Max (13.3) & Min (1.3)
50	Borrowed Balance Quantity	Number	Max (13.3) & Min (1.3)
51	Re- Pledged Balance	Number	Max (13.3) & Min (1.3)
52	ISIN Level FreezeFlag + BOISIN Level Freeze Flag + Freeze Suspension Flag	Char	3
53	Settlement ID	VarChar	13
54	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
55	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
56	BO ISIN Holding Valuation	Number	16,3

Note: -

- For every Line "01", there will be a corresponding entry of BO Name and Address in DPF9 report.
- If the BO does not have any transactions during the period and his current balance is also nil, then such BOs will not be printed in DPG5 report.
- If the BO does not have any transactions during the period, but his current balance is not nil, then line "01", line "02", line "03", line "05" are printed. Line "07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
- If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05 are printed. Line "07 for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.

5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.
7. ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPG5 Report.
8. Pending Destat Records will be printed in Line "06" for Memo Balance.
9. Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.

Report Documentation

Report ID : DP36

Report Description : Pledge Master Report

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details -01	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	

PLEDGE CLOSE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Unpledge Details for a PSN -- 02	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	

UNPLEDGE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	

PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

Report Documentation

Report ID : DP37

Report Description : Online DP36(Pledge Master Report)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details --01	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	

PLEDGE CLOSE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Unpledge Details for a PSN -- 02	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	

UNPLEDGE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	

PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	8 (PLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CR./DB. PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	QUANTITY	NUMBER	16,3	PLEDGE QUANTITY
7	TRANSACTION STATUS	NUMBER	6	801 - Setup initiated by Pledgor maker 802 - Setup Approve by Pledgor Checker (Cr. PSB) 818 - Setup Approved by Pledgor Checker (Db. LB) 804 - Setup Cancel By Pledgor (Db. PSB) 819 - Setup Cancel By Pledgor(Cr. LB) 805 - Setup Accept by Pledgee Maker 806 - Setup Reject by Pledgee Maker 807 - Setup Reject by Pledgee Checker (Db. PSB) 820 - Setup Reject by Pledgee Checker (Cr. LB) 809 - Setup Accept by Pledgee Checker (Cr. PB) 810 - Setup Accept by Pledgee Checker (DB PSB) 821 - Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 811 - Reversal By Pledgee 812 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 813 - Transferred due to Auto CA (Db. PB) 814 - Closed due to Auto CA (Db. PB) 822 - Transferred/Closed due to Auto CA (Db. PEB) 815 - Pledge Cancel In EOD 816 - Pledge Reject In EOD 803 - Modify by Pledgee Maker 808 - Modify Accept by Pledgee Checker 817 - Modify Reject by Pledgee Checker 823 - Pledge rejection in EOD due to insufficient balance 824 -Pending Setup By Pledgor Maker 825 -Pending Setup By Pledgor Checker

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				826 -Overdue Setup By Pledgor Checker 827 – Pledge Reversal By pledgor Checker 828 - Margin Pledge Setup Initiated by Pledgor Maker 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) 830 - Margin Pledge Setup Accept by Pledgee Maker 831 - Margin Pledge Setup Accept by Pledgee Checker (Cr. PB) 832 - Margin Pledge Setup Accept by Pledgee Checker (DB PSB) 833 - Margin Pledge Setup Accept by Pledgee Checker (Cr PEB--- Pledgee) 834 - Margin Re-pledge Setup Initiated by Pledgor Maker 835 - Margin Re-pledge Setup Approve by Pledgor Checker 836 - Margin Re-pledge Setup Accept by Pledgee Maker 837 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr. RPB --- Pledgor) 838 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr PEB---Pledgee)
8	TRANSACTION SETUP DATE	DATE	14	PLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCKIN FLAG	CHAR	1	<ul style="list-style-type: none"> • F – FREE • L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	Original PSN	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Margin Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Margin Re-Pledge
15	PARENT PSN	CHAR	8	PARENT PSN (FOR NEW PSN CREATED DUE TO AUTO CA)
16	PLEDGE EXECUTION DATE	DATE	14	PLEDGE EXECUTION DATE
17	FIELD 4	NUMBER	6	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
18	DOCUMENT NUMBER	CHAR	20	PLEDGE REQUEST FORM (PRF) NUMBER
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 5	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 6	DATE	14	NA
29	PLEDGE VALUE	NUMBER	16,3	PLEDGE VALUE
30	PLEDGE BALANCE	NUMBER	16,3	PLEDGE BALANCE DEBIT DUE TO AUTO CA
31	PLEDGE AVAILABLE QUANTITY	NUMBER	16,3	REMAINING QUANTITY AVAILABLE FOR FURTHER UNPLEDGE AND / OR CONFISCATE
32	FIELD 7	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – SETUP INITIATED BY PLEDGOR MAKER • 0 – SETUP MODIFIED BY PLEDGOR MAKER • 0 – SETUP INITIATED BY PLEDGEE MAKER • 0 – SETUP REJECTED BY PLEDGEE MAKER • 0 – REVERSAL BY PLEDGEE • 0 – CANCELLED DUE TO AUTO CA • 1 - SETUP APPROVE BY PLEDGOR CHECKER (CREDIT PLEDGE SETUP BALANCE) • 2 - SETUP APPROVE BY PLEDGOR CHECKER (IF LOCK IN FLAG = 'Y' DEBIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP CANCEL BY PLEDGOR (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP REJECT BY PLEDGEE CHECKER (DB. PSB, CR. LB) (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB, CR. PB, CR. PLEDGEE BO PEB) (DEBIT PLEDGE SETUP BALANCE, CREDIT PLEDGE IN BALANCE IN PLEDGOR BO ACCOUNT, CREDIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 0 - CANCELLED DUE TO AUTO CA (FOR SETUP-MAKER) • 1 - CANCELLED DUE TO AUTO CA (DEBIT PLEDGE SETUP BALANCE IN PLEDGOR BO ACCOUNT) • 1 - TRANSFERRED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 1 - CLOSED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 0 - MODIFY BY PLEDGEE MAKER • 0 - MODIFY ACCEPT BY PLEDGEE CHECKER • 0 - MODIFY REJECT BY PLEDGEE CHECKER • 0 – CANCELLED IN EOD • 1 – REJECTED IN EOD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
34	EFTS CODE	NUMBER	2	35 - Setup Approve by Pledgor Checker (Cr. PSB) 35 - Setup Approve by Pledgor Checker, If lockin flag = 'Y' (Db. LB) 35 - Setup Cancel By Pledgor (Db. PSB, Cr. LB) 35 - Setup Reject by Pledgee Checker (Db. PSB, Cr. LB) 35 - Setup Accept by Pledgee Checker (Cr. PB) 35 - Setup Accept by Pledgee Checker, If lockin flag = 'Y' (Db. LB) 16 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 16 - Transferred due to Auto CA (Db. PB, Db. Pledgee BO PEB) 16 - Closed due to Auto CA (Db. PB, Db. Pledgee BO PEB) 35 - Rejected in EOD NA - For Others
35	TRANSACTION CODE	NUMBER	4	3408 - SETUP INITIATED BY PLEDGOR MAKER (801 & 824) 2220 - SETUP APPROVE BY PLEDGOR CHECKER (802) 2262 - SETUP APPROVE BY PLEDGOR CHECKER, IF LOCK IN FLAG = 'Y' (DB. LB -818) 2270 - SETUP CANCEL BY PLEDGOR (DR. PSB -804) 2212 - SETUP CANCEL BY PLEDGOR, IF LOCK IN FLAG = 'Y' (CR. LB -819) 3409 - SETUP ACCEPT BY PLEDGEE MAKER (805) 2270 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB -810) 2230 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PB -809) 2225 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PLEDGEE BO PEB -821) 3409 - SETUP REJECT BY PLEDGEE MAKER (806) 2270 - SETUP REJECT BY PLEDGEE CHECKER(807) 2212 - SETUP REJECT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB -820) 3409 - REVERSAL BY PLEDGEE (811) 3463 - CANCELLED DUE TO AUTO CA (812 - FOR SM) 2270 - CANCELLED DUE TO AUTO CA (DB. PSB - 812 FOR SC, AM, RM)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				2280 - TRANSFERRED DUE TO AUTO CA (DB. PB -813) 2275 - TRANSFERRED DUE TO AUTO CA (DB. PLEDGEE BO PEB -822) 2280 - CLOSED DUE TO AUTO CA (DB. PB -814) 2275 - CLOSED DUE TO AUTO CA (DB. PLEDGEE BO PEB - 822) 3443 - PLEDGE CANCEL IN EOD (815 & 823) 2270 - PLEDGE REJECT IN EOD (DB. PSB - 816 FOR SC, AM, RM) 2212 - PLEDGE REJECT IN EOD, IF LOCK IN FLAG = 'Y' (CR. LB -816) 3464 - PLEDGEE MODIFY MAKER (803) 3465 - PLEDGEE MODIFY CHECKER ACCEPT (808) 3466 - PLEDGEE MODIFY CHECKER REJECT (817) 3467 - PENDING SETUP BY PLEDGOR CHECKER(825) 3467 - OVER DUE SETUP BY PLEDGOR CHECKER(826) 3468 - PLEDGE REVERSAL BY PLEDGOR CHECKER (827) 3469 - MODIFICATION INITIATED BY PLEDGOR MAKER (827) 3412-- MARGIN PLEDGE SETUP INITIATED BY PLEDGOR MAKER(828) 2220-- CREDIT PLEDGE SETUP BALANCE(829) 3413-- MARGIN PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(830) 2230-- CREDIT PLEDGE BALANCE(831) 2270-- DEBIT PLEDGE SETUP BALANCE(832) 2225-- CREDIT PLEDGEE BALANCE(833) 3414-- MARGIN RE-PLEDGE SETUP INITIATED BY PLEDGOR MAKER(834) 3415-- MARGIN RE-PLEDGE SETUP APPROVE BY PLEDGOR CHECKER(835) 3416-- MARGIN RE-PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(836) 2231 --CREDIT RE-PLEDGE BALANCE(PLEDGOR)(837) 2225-- CREDIT PLEDGEE BALANCE(838)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
36	MODIFICATION FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NOT MODIFIED • 1 – MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEER DP REMARKS	CHAR	100	PLEDGEER DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD, 4 – EASIEST UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 8	CHAR	9	NA
45	EXCHANGE ID	NUMBER	2	EXCHANGE ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
46	CM ID	CHAR	16	CM ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
47	TM ID / CP ID	CHAR	100	TM ID/CP ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
48	ENTITY IDENTIFIER	CHAR	14	ENTITY IDENTIFIER IN CASE OF MARGIN PLEDGE/RE-PLEDGE CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	9 (UNPLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID/ PLEDGEE BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 901 – SETUP INITIATED BY PLEDGOR MAKER • 902 – SETUP APPROVED BY PLEDGOR CHECKER • 903 – SETUP CANCELLED BY PLEDGOR • 904 – SETUP ACCEPTED BY PLEDGEE MAKER • 905 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 906 – SETUP REJECTED BY PLEDGEE MAKER • 907 – SETUP REJECTED BY PLEDGEE CHECKER • 908 – REVERSAL BY PLEDGEE • 909 – CANCELLED DUE TO AUTO CA • 910 – CANCELLED IN EOD • 911 REJECTED IN EOD • 912 - SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 913 - SETUP APPROVED BY PLEDGEE CHECKER (CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 914 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker • 915 - Margin Repledge Unpledge Setup Approved by Pledgor Checker • 916 - Margin Repledge Unpledge Setup Accept by Pledgee Maker • 917 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. RPB --- Pledgor)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 918 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB) • 919 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker • 920 - Margin Pledge Unpledge Setup Approved by Pledgor Checker • 921 - Margin Pledge Unpledge Setup Accept by Pledgee Maker • 924 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. PB) • 925 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB)
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE COUNTER	NUMBER	6	UNPLEDGE COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER (URF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – SETUP INITIATED BY PLEDGOR MAKER (901) • 0 – SETUP APPROVED BY PLEDGOR CHECKER (902) • 0 – SETUP CANCELLED BY PLEDGOR – (3409) (903) • 0 – SETUP ACCEPTED BY PLEDGEE MAKER (904) • 0 – SETUP REJECTED BY PLEDGEE MAKER (906) • 0 – SETUP REJECTED BY PLEDGEE CHECKER (907) • 0 – REVERSAL BY PLEDGEE (908) • 1 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE - 905) • 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910) • 2 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911) • 0 – CANCELLED DUE TO AUTO CA – (909)
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (905) • 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911) • 35 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910) • NA – FOR OTHERS
35	TRANSACTION CODE	NUMBER	4	<p>3409 - SETUP INITIATED BY PLEDGOR MAKER 3409 - SETUP APPROVE BY PLEDGOR CHECKER 3409 - SETUP CANCEL BY PLEDGOR 3409 - SETUP ACCEPT BY PLEDGEE MAKER 2280 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PB-905) 2275 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PLEDGEE BO PEB-910) 2212 - SETUP ACCEPT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB-911) 3409 - SETUP REJECT BY PLEDGEE MAKER 3409 - SETUP REJECT BY PLEDGEE CHECKER 3409 - REVERSAL BY PLEDGEE</p>

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				3463 - CANCELLED DUE TO AUTO CA 3451 - CANCELLED IN EOD/REJECTED IN EOD 3418 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker(914) 3419 - Margin Repledge Unpledge Setup Approved by Pledgor Checker(915) 3420 - Margin Repledge Unpledge Setup Accept by Pledgee Maker(916) 2281 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Re-Pledge Balance) (917) 2275 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (918) 3421 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker (919) 3422 - Margin Pledge Unpledge Setup Approved by Pledgor Checker (920) 3423 - Margin Pledge Unpledge Setup Accept by Pledgee Maker (921) 2280 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledge Balance) (922) 2275 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (923)
36	MODIFICATION FLAG	NUMBER	2	1 - MODIFIED 0 - NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	<i>Exchange ID</i>	<i>NUMBER</i>	<i>2</i>	<i>Exchange ID in case of Margin Pledge/Re-Pledge</i>
46	<i>CM ID</i>	<i>CHAR</i>	<i>16</i>	<i>CM ID in case of Margin Pledge/Re-Pledge</i>
47	<i>TM ID/CP ID</i>	<i>CHAR</i>	<i>100</i>	<i>TM ID/CP ID in case of Margin Pledge/Re-Pledge</i>
48	<i>Entity Identifier</i>	<i>CHAR</i>	<i>14</i>	<i>Entity Identifier in case of Margin Pledge/Re-Pledge</i> <i>CP= CP ID</i> <i>TM= TM ID</i>
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	10 (AUTO UNPLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CREDIT / DEBIT PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 1001 – AUTO UNPLEDGE MAKER • 1002 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT) • 1007 – AUTO UNPLEDGE CHECKER (IF LOCK IN FLAG = 'Y', CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1003 – AUTO UNPLEDGE CANCEL • 1004 – CANCELLED DUE TO AUTO CA • 1006 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 1005 – REVERSAL IN EOD • 1008 - Margin Repledge AutoUnpledge Maker • 1009 - Margin Repledge AutoUnpledge Checker (Db. RPB --- Pledgor) • 1010 - Margin Repledge AutoUnpledge Checker (Db. PEB --- Pledgee) • 1011 - Margin Pledge AutoUnpledge Maker • 1012 - Margin Pledge AutoUnpledge Checker (Db. PB --- Pledgor) • 1013 - Margin Pledge AutoUnpledge Checker (Db.PEB --- Pledgee)
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
10	FREE / LOCK IN FLAG	CHAR	1	• F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE PART COUNTER	NUMBER	6	AUTO UNPLEDGE PART COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER (URF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – AUTO UNPLEDGE MAKER (1001) • 0 – AUTO UNPLEDGE CANCEL (1003) • 0 – CANCELLED DUE TO AUTO CA (1004) • 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT - 1002) • 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 1002) • 2 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 1002)
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 0 – AUTO UNPLEDGE MAKER – (1001) • 0 – AUTO UNPLEDGE CANCEL – (1003) • 0 – AUTO UNPLEDGE CANCELLED DUE TO AUTO CA (1004)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT 1002) • 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002) • 35 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG IS `Y` CREDIT LOCKIN BALANCE 1002)
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2212 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = `Y` CREDIT LOCKIN BALANCE 1002) • 2275 - AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002) • 2230 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE 1002) • 3409 – AUTO UNPLEDGE CANCEL– (1003) • 3409 – AUTO UNPLEDGE MAKER – (1001) • 3463 – CANCELLED DUE TO AUTO CA – (1004) • 3451 – REVERSAL IN EOD (1005) • 3417- Margin Repledge AutoUnpledge Maker(1008) • 2281 - Margin Repledge AutoUnpledge Checker (Debit Re-Pledge Balance) - (1009) • 2275 - Margin Repledge AutoUnpledge Checker (Debit Pledgee Balance) - (1010) • 3401- Margin Pledge AutoUnpledge Maker(1011) • 2280- Margin Pledge AutoUnpledge Checker (Debit Pledge Balance) - (1012) • 2275- Margin Pledge AutoUnpledge Checker (Debit Pledgee Balance) - (1013)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	<i>Exchange ID</i>	<i>NUMBER</i>	<i>2</i>	<i>Exchange ID in case of Margin Pledge/Re-Pledge</i>
46	<i>CM ID</i>	<i>CHAR</i>	<i>16</i>	<i>CM ID in case of Margin Pledge/Re-Pledge</i>
47	<i>TM ID / CP ID</i>	<i>CHAR</i>	<i>100</i>	<i>TM ID/CP ID in case of Margin Pledge/Re-Pledge</i>
48	<i>Entity Identifier</i>	<i>CHAR</i>	<i>14</i>	<i>Entity Identifier in case of Margin Pledge/Re-Pledge</i> <i>CP= CP ID</i> <i>TM= TM ID</i>
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	11 (CONFISCATE / INVOCATION)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CR/DB PLEDGEE TRANSACTIONS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	CONFISCATION QUANTITY	NUMBER	16,3	CONFISCATION PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 1101 – SETUP INITIATED BY PLEDGEE MAKER • 1102 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR) • 1108-Setup approved by Pledgee checker (Db. PEB) (Pledgee) • 1103 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR) • 1104 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE) • 1105 – REVERSAL BY PLEDGEE • 1106 – CANCELLED DUE TO AUTO CA • 1107 – REVERSAL IN EOD • 1109-Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker • 1110-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CC • 1111-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CM • 1112-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) CM • 1113-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) TM • 1114-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM • 1115-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO • 1116-Db. CB (Pledgor) BO

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 1117-Cr. CB (Pledgee) CC/CM • 1118-Margin Pledge Confiscate Setup Initiated by Pledgee Maker • 1119-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM • 1120-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO • 1121-Db. CB (Pledgor) BO • 1122-Cr. CB (Pledgee) TM
8	TRANSACTION SETUP DATE	DATE	14	CONFISCATION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	<ul style="list-style-type: none"> • F – FREE • L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGEE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	CONFISCATION COUNTER	NUMBER	6	CONFISCATION COUNTER
18	DOCUMENT NUMBER	CHAR	20	INVOCATION REQUEST FORM NUMBER (IRF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGEE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGEE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	<ul style="list-style-type: none"> • M – INITIATED • C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – SETUP INITIATED BY PLEDGEE MAKER – (1101) • 0 – REVERSAL BY PLEDGEE – (1105) • 0 – CANCELLED DUE TO AUTO CA – (1106) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • 1 - Setup Approve by Pledgee Checker Db. PEB (Pledgee) -(1108)
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 35 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108) • 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103) • 35 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • NA – FOR ANY OTHERS
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2246 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • 2275 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108) • 2277 – SETUP APPROVED BY PLEDGEE CHECKER (DEBT CURRENT BALANCE – PLEDGOR – 1103) • 2280 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 3409 – SETUP INITIATED BY PLEDGEE MAKER (1101) • 3409 – REVERSAL BY PLEDGEE (1105) • 3463 – CANCELLED DUE TO AUTO CA (1106) • 3462 – REVERSAL IN EOD – (1107) • 3402- Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker(1109) • 2275 -Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1110)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1111) • 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1112) • 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1113) • 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1114) • 2280- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1115) • 2277- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1116) • 2246- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1117) • 3403- Margin Pledge Confiscate Setup Initiated by Pledgee Maker(1118) • 2275- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1119) • 2280- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1120) • 2277- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1121) • 2246- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1122)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEE DP REMARKS	CHAR	100	PLEDGEE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – UPLOAD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	<i>Exchange ID</i>	<i>NUMBER</i>	<i>2</i>	<i>Exchange ID in case of Margin Pledge/Re-Pledge</i>
46	<i>CM ID</i>	<i>CHAR</i>	<i>16</i>	<i>CM ID in case of Margin Pledge/Re-Pledge</i>
47	<i>TM ID / CP ID</i>	<i>CHAR</i>	<i>100</i>	<i>TM ID/CP ID in case of Margin Pledge/Re-Pledge</i>
48	<i>Entity Identifier</i>	<i>CHAR</i>	<i>14</i>	<i>Entity Identifier in case of Margin Pledge/Re-Pledge</i> <i>CP= CP ID</i> <i>TM= TM ID</i>
49	FILLER 5		19	FOR FUTURE USE

Report ID: DPC7**Report Description: Free Balance Report****Report Type: On line**

This report can be generated during business hours i.e. any time during SOD and EOD. The report can be setup for

- One single BO
- All BOs belonging to a specific BO category such as regular BO or CM principal etc.
- All BOs belonging to a specific BO Status code such as Individual, FI, FII etc.

For all the above options report can be generated for all the ISINs present in the account(s) or for one single ISIN also.

For each BO, Holder Name(s), address is included in the report. For each ISIN, Issuer Name & Address is in the report. For each ISIN Current balance, Free Balance and other details as explained below are included in the report.

Field Description	Field Type	Field Length
Line Identifier - "01"	Number	2
DPID	Number	8
BOID	Number	8
Line Identifier - "02"	Number	2
BO Title	Char	10
BO Name	Char	142
BO Suffix	Char	10
BO Address 1	Char	55
BO Address 2	Char	55
BO Address 3	Char	55
BO City	Char	25
BO Zip Code	Char	25
BO State	Char	25
BO Country	Char	25
Line Identifier - "03"	Number	2
Second Holder Title	Char	10
Second Holder Name	Char	142
Second Holder Suffix	Char	10
Line Identifier - "03"	Number	2

Field Description	Field Type	Field Length
Third Holder Title	Char	10
Third Holder Name	Char	142
Third Holder Suffix	Char	10
Line Identifier - "04"	Number	2
ISIN	Char	12
Current Balance	Number	22
Free Balance	Number	22
Earmark Balance	Number	22
Pledge Balance	Number	22
Remat Balance Pending For Confirmation	Number	22
ISIN Short Name	Char	20
ISIN Description	Char	100
Issuer Name	Char	100
Issuer Address 1	Char	30
Issuer Address 2	Char	30
Issuer Address 3	Char	30
Issuer City	Char	25
Issuer State	Char	25
Issuer Zip Code	Char	25
Settlement ID	VarChar	13
Re-Pledge Balance	Number	22
Line Identifier - "05"	Number	2
DP Name	Char	100
Line Identifier - "06"	Number	2
Number of Records	Number	8
Business Date	Char	8 (DDMMYYYY)
System Date and Time	Char	30 (DAY MON DD HR:MM:SS YEAR)

Note 1: -

- The Field Separator between consecutive columns is '~' (Tilde). Field Lengths are variable.
- If two or more tildes are together, then the very next column after the first tilde is "nullable" in the database.

Note 2: -

If DP has opted for Settlement Pocket then for back office compliance Settlement ID column will appear at Line "04". If a Clearing Member (CM) of such DP has opted for Settlement Pocket then Line "04" will be repeated for that BO ID of a CM for each ISIN/settlement ID combination.

Report Documentation

Report ID : DPC9

Report Description : Online Statement of Transaction and Holding

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier	Varchar	(2)	"01"
DP Id.	Number	(5)	
BO Id.	Varchar	(16)	
From Date	Varchar	(10)	Format (DD-MM-YYYY)
To Date	Varchar	(10)	Format (DD-MM-YYYY)
Identifier	Varchar	(2)	"02"
ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Settlement Id	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR
Security Type	Varchar	(50)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
Identifier	Varchar	(2)	"03"
From date	Varchar	(10)	Format (DD-MM-YYYY)
Transaction Particulars	Varchar	(15)	"Opening Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Opening balance	Number	(13.3)	Max (13.3) and min length (1.3)
Identifier	Varchar	(2)	"04"

Annexure - N

Transaction Date	Varchar	(10)	
Transaction Particulars	Varchar	(60)	
Credit/Debit Type	Varchar	(1)	C/D
Transaction Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Calculated Current balance after transaction	Number	(13.3)	Max length (13.3) and min length (1.3)
Settlement Id	Varchar	(13)	
Counter Settlement Id	Varchar	(13)	
Transaction ID	Number	(8)	
Delivery Instruction Slip	Varchar	(16)	
Identifier	Varchar	(2)	"05"
To Date	Varchar	(10)	
Transaction Particulars	Varchar	(15)	"Closing Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Closing balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Identifier	Varchar	(2)	"06"
Pending Since date / Lockin expiry date / Destat Setup Date	Varchar	(10)	Format (DD-MM-YYYY)
D - Demat R -Remat / Restat L -Lockin M - Destat	Varchar	(1)	D / R / L / M
Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin / Destat Pending	Varchar	(24)	Max (24) Min (17)
Demat / remat / Restat rejected qty or demat / remat / Restat pending qty/ Lockin Quantity / Pending Destat Quantity	Number	(13.3)	Max length (13.3) and min length (1.3) For Pending Destat if all quantity flag is "A" then quantity will be printed as 0
Identifier	Varchar	(2)	"07"
ISIN No.	Varchar	(12)	

Annexure - N

ISIN Full Name	Varchar	(100)	
Current Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
SAFE KEEP Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Pledged Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Free Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Locked in Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Earmarked Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Lend Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
AVL Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Borrow Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Repledge Balance	Number	(13,3)	Max length (13.3) and min length (1.3)
Isin level freeze flag + Bolsin level freeze flag + freeze suspend flag	Varchar	(3)	Max length 3 (1*3) and min length 0
Settlement ID	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR
Security Type	Varchar	(4)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
BO ISIN Holding Valuation	Number	(16,3)	
Identifier	Number	(2)	“08”
Count	Number	(1)	“0”
Business date	Date	(8)	DDMMYYYY
System Date and Time	Varchar	(24)	

NOTE:

1. For every line “01”, there will be a corresponding entry of BO names and addresses in DPD9 report.

2. If the BO does not have any transactions during the time period and his current balance is also nil, then only line "01" is printed.
3. If the BO does not have any transactions during the period, but his current balance is not nil, then line "01", line "02", line "03", line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
4. If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.
5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. Report Criteria for DP:
 - Single BO
 - ISIN and Customer Type
 - ALL Bo's
 - Customer Type
 - BO Category and Customer Type
 - BO – ISIN
 - Settlement ID (For CM BO)
7. Naming convention of DPC9 change in case of online report if report is setup for single BO.
Updated for single BO criteria: - 08DPC9U.<BOID><DDMMYYYYHHMISS>
Other than single BOID criteria: 08DPC9U.<even no>.
8. The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.
9. ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPC9 Report.
10. Pending Destat Records will be printed in Line "06" for Memo Balance.
11. Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.

Download ID : DPM3
 Download Description : Full Holding Report
 Download Contents : Download will give all balances of all BO ISINs

Detail Record

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
BO ID	Char	16
ISIN	Char	12
Free Balance/Free Balance for settlement pocket	Number	16,3
Lock in Balance	Number	16,3
Pledge Balance (Pledgor)	Number	16,3
Earmark Balance/ Earmark Balance for settlement pocket	Number	16,3
Safe Keep Balance	Number	16,3
Pending Remat balance	Number	16,3
Available for Lend Balance (Pledge setup balance- in Pledgor BO Account)	Number	16,3
Lock-in Balance under Remat	Number	16,3
Current Balance/ Current Balance for settlement pocket	Number	16,3
Demat Pending Verification Balance	Number	16,3
Demat Pending Confirmation Balance	Number	16,3
Lent Balance	Number	16,3
Borrowed Balance (Pledgee Balance – in pledgee BO A/C)	Number	16,3
ISIN Freeze for Debit or Credit or Both *	Number	1
BOID Freeze for Debit or Credit or Both *	Number	1
BOISIN Freeze for Debit or Credit or Both *	Number	1
Settlement ID	Char	13
Re-Pledge Balance	Number	16,3

Trailer Record

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Date of generation + Time in 24-Hour Format	Char	14
Business Date	Char	8
Number of records	Number	7

Report naming convention

REPORT TYPE	NAMING CONVENTION
Full (EOD)	11DPM3UX.<DDMMYYYYHHMMSS>.EOD

NOTE:

- 1) All fields in the download would be separated by tilde [~]
- 2) Two consecutive tildes [~] will indicate fields where the data is null
- 3) The date in the download file name is in the **24-hour** format.
- 4) The sequence number is a three-digit number.

* = Possible values :

Sr. No.	VALUE	DESCRIPTION
1	0	Not Frozen
2	1	Frozen for Debit
3	2	Frozen for Credit
4	3	Frozen for Both Debit And Credit

Download ID : **DPM4**
Download Description : **Online Incremental Holding Download**
Download Contents : **Download will give BO-ISINs with balances changed between the time-intervals**

Detail Record

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
BO ID	Char	16
ISIN	Char	12
Free Balance / Free Balance for settlement pocket	Number	16,3
Lock in Balance	Number	16,3
Pledge Balance (Pledgor)	Number	16,3
Earmark Balance/ Earmark Balance for settlement pocket	Number	16,3
Safe Keep Balance	Number	16,3
Pending Remat confirmation balance	Number	16,3
Available for Lend Balance (Pledge Setup Balance – in Pledgor Bo Account)	Number	16,3
Lock-in Balance under Remat	Number	16,3
Current Balance/ Current Balance for settlement pocket	Number	16,3
Demat Pending Verification Balance	Number	16,3
Demat Pending Confirmation Balance	Number	16,3
Lent Balance	Number	16,3
Borrowed Balance (Pledgee Balance – in pledgee BO A/C)	Number	16,3
ISIN Freeze for Debit or Credit or Both	Number	1
BOID Freeze for Debit or Credit or Both	Number	1
BOISIN Freeze for Debit or Credit or Both	Number	1
Settlement ID	Char	13
Re-pledge balance	Number	16,3

Trailer Record

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Date of generation + Time in 24-Hour Format	Char	14
Business Date	Char	8
Number Of records	Number	7

Report naming convention

REPORT TYPE	NAMING CONVENTION
Incremental (Online)	11DPM4UI.<DDMMYYYYHHMMSS>.<3 digit seq no>
Incremental (EOD)	11DPM4UI.<DDMMYYYYHHMMSS>.<3 digit seq no>.EOD
Full (Online)	.11DPM4UF.<DDMMYYYYHHMMSS>.<3 digit seq no>
Full (EOD)	.11DPM4UF.<DDMMYYYYHHMMSS>.<3 digit seq no>.EOD

NOTE:

- 1) All fields in the download would be separated by tilde [~]
- 2) Two consecutive tildes [~] will indicate fields where the data is null
- 3) The date in the download file name is in the **24-hour** format.
- 4) The sequence number is a three-digit number. The last "DPM4" download for the day that would be generated during EOD would be **<sequence.no>.EOD**

* = Possible values :

Sr. No.	VALUE	DESCRIPTION
1	0	Not Frozen
2	1	Frozen for Debit
3	2	Frozen for Credit
4	3	Frozen for Both Debit And Credit