



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

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CDSL/OPS/DP/POLCY/2020/168

March 31, 2020

### NATIONAL RISK ASSESSMENT

DPs are advised to note that SEBI in terms of FATF Recommendation No 1 has decided to conduct National Risk Assessment [NRA] under the aegis of the Ministry of Finance.

As done in previous year, DPs are required to furnish data for financial year April 01, 2019 to March 31, 2020 in the enclosed.xls format [Annexure –A]. File Name will be <DPID>NRA-31032020.xls to be emailed to [vijayk@cdslindia.com](mailto:vijayk@cdslindia.com), [manalib@cdslindia.com](mailto:manalib@cdslindia.com) and [heenaj@cdslindia.com](mailto:heenaj@cdslindia.com).

DPs are advised to furnish the complete data of NRA inclusive of the data from their Live connected branches and submit the same to CDSL latest by EOD of Friday, April 03, 2020. In case of non-submission of NRA data by due date, the same will be reported to SEBI accordingly.

DPs are directed to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to **CDSL – Operations:** on telephone numbers (022) 2305-8689, 2305-8660, 2305-8648, 2305-8655. Emails be sent to: [vijayk@cdslindia.com](mailto:vijayk@cdslindia.com) , [manalib@cdslindia.com](mailto:manalib@cdslindia.com) and [heenaj@cdslindia.com](mailto:heenaj@cdslindia.com).

sd/-

**Umesh Kambl**  
**Asst. Vice President - Operations**