



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2020/161

March 27, 2020

INDIAN STAMP (COLLECTION OF STAMP DUTY THROUGH STOCK EXCHANGES, CLEARING CORPORATIONS AND DEPOSITORIES) RULES, 2019

DPs are advised to refer communique number CDSL/OPS/DP/POLCY/2019/643 dated December 24, 2019 regarding the Central Government notification dated December 10, 2019 pertaining to the Indian Stamp (Collection of stamp-duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019 to regulate the liability of instruments of transaction in stock exchanges and depositories to duty, communique no. CDSL/OPS/DP/POLCY/2020/8 dated January 03, 2020 regarding operational procedure / modalities for collection of stamp duty and communique no. CDSL/OPS/DP/POLCY/2020/19 dated January 08, 2020 regarding postponement of the said enforcement. DPs are directed to note that aforesaid rule will come into force with effect from **Wednesday, April 01, 2020**.

DPs are once again requested to refer **Annexure-A** for the file format changes pertaining to capturing of 'Pledge Invocation Value' during invocation setup through common upload.

DPs are also requested to refer communique no. CDSL/OPS/DP/POLCY/2020/8 dated January 03, 2020 and make note of the changes pertaining to 'Consideration Amount' tag which will be made mandatory in Off-Market and Inter-depository transactions pursuant to the above release.

DPs are requested to take a note of the above, initiate suitable back-office changes, if any and inform their clients accordingly.

Queries regarding this communiqué may be addressed to CDSL – Helpdesk on (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com .

sd/-

Prashant Kokate
Asst. Vice President – Operations

Features

- Multiple Upload Types can be entered in the same file.
- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

Upload ID : **18**

File Name : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

Sample File Name:

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

Header Record

Input Type : **M**andatory [M] / **O**ptional [O]

Header record will contain:

Field Description	Field Type	Field Length	Input Type
DP ID	Number	6	M
Operator ID	Char	6	M
Total No. of Records	Number	6	M
File Extension	Number	3 / 4 / 5	M
Business Date	Date	8	M

Sample Record Format:

021200DPADM 00000112318042015

Detail Record : Confiscation Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = C]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • E – Reversal by Pledgee (In case of indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	8	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Part Counter	Number	4	O [Not applicable in case of direct transaction]	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O <u>Will be valid for</u> Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledge Confiscation Amount	Number	16,3	M <i>[Applicable if Pledge Type is 'C' and Pledge Sub Type is 'S']</i>	<Invamt>	</Invamt>

Sample Record Format –

Confiscation Setup

```
<Tp>7</Tp><Usn>1</Usn><Pldgtp>C</Pldgtp><Subtp>S</Subtp><Psn>601425</Psn><Bnfcry>1302120000149906</Bnfcry><CtrPty>1302120000150354</CtrPty><ISIN>INE786B01022</ISIN><Ctrptyref>CONFISCATE</Ctrptyref><Prtqty>10</Prtqty><Remk>REMARK</Remk><Rcvdt>15082015173000</Rcvdt><Invamt>100000</Invamt>
```

