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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2020/103

February 25, 2020

SECURITY COUNCIL DOCUMENT AND SANCTIONS LISTS

SEBI vide its email dated February 20, 2020 has informed the depository about the Security Council document as received from Ministry of External Affairs (MEA) and the UNSC press releases concerning amendments to the Sanctions list and has shared the following:

1. Please find the enclosed Security Council document Security Council document No. SC/14101 (Note SCA/1/20 (07) dated 6 February 2020 regarding addition of one entry [Cdi.036; SEKA BALUKU of Ugandan origin] to its Sanctions List. (**Refer Annexure I**) as received from Ministry of External Affairs (MEA).
2. The UNSC press releases concerning amendments to the list are available at URL:
<https://www.un.org/securitycouncil/sanctions/1533/press-releases>
3. The latest version of the Sanctions list is accessible on the UN Security Council's website at the following URL:
<https://www.un.org/securitycouncil/sanctions/1533/materials>
4. The details of the sanctions measure and exemptions are available at the following URL:
<https://www.un.org/securitycouncil/sanctions/1533>
5. In this regard DPs are advised to:
 - i) follow the procedure as mentioned in the Central Government Order dated March 14, 2019 detailing the implementation of Section 51A of Unlawful Activities (Prevention) Act, 1967.
 - ii) scan all existing accounts to ensure that no accountant is held by or linked to any of the entities or individuals included in the list and also ascertain the same for future accounts as well (As per Clause 2.8.1 of SEBI "Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under" issued on Oct 15, 2019.



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- iii) forward any request for de-listing received by them, electronically to Joint Secretary (CTCR) (e-mail id: jsctcr-mha@gov.in) and a copy of the same to MHA, Joint Secretary (UNP)(e-mail id: jsunp@mea.gov.in) as mentioned in the Central Government Order dated March 14, 2019.

Queries regarding this communiqué may be addressed to CDSL – Helpdesk: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com

Vice President – Audit, Inspection & Compliance

United Nations Nations Unies

HEADQUARTERS • SIEGE NEW YORK, NY 10017

TEL.: 1 (212) 963.1234 • FAX: 1 (212) 963.4879

REFERENCE: SCA/1/20 (07)

The Chair of the Security Council Committee established pursuant to resolution [1533 \(2004\)](#) concerning the Democratic Republic of the Congo presents his compliments to the Permanent Representatives of Member States and to the Permanent Observers of non-Member States to the United Nations and has the honour to refer to the List of Individuals and Entities subject to the measures reaffirmed by paragraph 2 of resolution [2360 \(2017\)](#).

The Chair wishes to note that on 6 February 2020, the Committee added the following individual to the above-mentioned List:

1. SEKA BALUKU

CDi.036 Name: 1: SEKA 2: BALUKU 3: na 4: na
Name (original script): na **Title:** na **Designation:** Overall leader of the Allied Democratic Forces (ADF) (CDe.001). **DOB:** approximately 1977 **POB:** na **Good Quality a.k.a.:** na **Low Quality a.k.a.:** a) Mzee Kajaju b) Musa c) Lumu d) Lumonde, **Nationality:** Uganda **Passport no.:** na **National Identification No.:** na **Address:** Last known location is Kajaju camp of Medina II, Beni territory, North Kivu, Democratic Republic of the Congo (last known location) **Listed on:** 6 February 2020. **Other information:** Longtime member of the ADF (CDe.001), Baluku used to be the second in command to ADF founder Jamil Mukulu (CDi.015) until he took over after FARDC military operation Sukola I in 2014.

Draft narrative summary

CDi.36 SEKA BALUKU

Date on which the narrative summary became available on the Committee's website: 6 February 2020

Reason for listing:

Seka Baluku was listed on 6 February 2020 pursuant to paragraph 7 of resolution [2293 \(2016\)](#) for engaging in or providing support for acts that undermine the peace, stability and security of the DRC.

Additional information:

Overall leader of the ADF (CDe.001). As highlighted in several reports from the Group of Experts on the DRC ([S/2015/19](#), [S/2015/797](#), [S/2016/1102](#), [S/2017/672](#),

[S/2018/531](#), [S/2019/469](#), [S/2019/974](#)), Seka Baluku has committed, planned and/or directed the repeated targeting, killing and maiming, rape and other sexual violence, abduction of civilians, including children, as well as attacks on health facilities, in particular in Mamove, Beni territory, on 12 and 24 February 2019, as well as the continuous recruitment and use of children during attacks and for forced labour in Beni territory in the DRC since at least 2015.

6 February 2020