



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2019/505

October 04, 2019

CONSOLIDATED ACCOUNT STATEMENT (CAS) – LIST OF CAS ELIGIBLE BOIDs – SEPTEMBER 2019

DPs are advised to refer to communiqué no. **CDSL/OPS/DP/POLCY/5033** dated February 26, 2015, whereby it was informed that the list of BOIDs eligible for receiving CAS from depositories (demat account holders holding Mutual Fund (MF) units in physical – i.e. Statement of Account form) shall be provided to DPs in their Billing Folder after the monthly PAN matching process between Asset Management Companies (AMCs) / MF-RTAs and depositories is completed.

Accordingly, the PAN matching process for the month of September 2019 between AMC/MF-RTAs and CDSL has been completed. DPs are advised to note the following:

1. The list of BOIDs eligible for CAS [after excluding BOIDs wherein the 'CAS Mode' has been updated as **NO: CAS not required** (Refer serial no. 2)] for the month of September 2019 has been uploaded in Billing Folder of the DPs. The name of the uploaded file is: **"BLNG<DPID>CASBO_SEP19.zip**.
2. **CAS not required cases:** Where the 'CAS Mode' status as on September 30, 2019 has been updated as 'NO' in any BOID, then all the BOIDs across DPs wherein the first holder is the same will be excluded from the list of CAS eligible BOIDs and CAS will not be sent to all such demat accounts for September 2019.
3. **Monthly transaction statement for September 2019:** For the CAS eligible BOIDs provided in the file, CAS shall be sent by CDSL if during the month of September 2019, there is transaction either in any of the demat account or mutual fund folio held by the BO in the same pattern of holding.
4. **Half yearly holding statement as on September 30, 2019:** For the CAS eligible BOIDs provided in the file, if CAS has not been sent for any of the six months from April 2019 to September 2019, on account of nil transactions in any of the demat account or mutual fund folio held by the BO in same pattern of holding then half yearly CAS with holding details as on September 30, 2019 shall be sent by CDSL.
5. The dispatch of CAS by CDSL would constitute compliance by the DPs with requirement under Regulation 43 of SEBI (Depositories and Participants) Regulations and separate



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transaction statement for the month of September 2019/half yearly holding statement is not required to be sent by the DP to such CAS eligible BO IDs.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

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