



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/SYSTEM/2019/456

September 13, 2019

CAPTURING RELATION FOR OFF MARKET TRANSFER BETWEEN SPECIFIED FAMILY MEMBERS

DPs are advised to refer to CDSL Communiqués CDSL/OPS/DP/SYSTEM/2019/405 dated August 14, 2019, wherein we have informed about incorporation of new reason code “31” – Transfer between specified family members, which DPs can use to transfer securities between following specified family members:

Relationship Code	Relationship Description
1	Spouse
2	Father (including step-father)
3	Mother (including step-mother)
4	Son (including step-son)
5	Son's wife,
6	Daughter
7	Daughter's husband
8	Brother (including step-brother)
9	Sister (including step-sister)
10	Members of same HUF

It was also informed to the Depository Participants to obtain the “relation” between the transferor and transferee & keep the same on record, if the reason code “31” was used for off-market transfer.

CDSL is now pleased to inform you that facility to capture the relation (as given by transferor) in CDSL System will be released during EOD of September 27, 2019.

After the said release:

- In the online entry, if the maker selects off-market reason code as “31”, then the system will prompt the user to select the relationship description. It may be noted that checker will not be able to modify the reason code and/or relationship code entered by maker.
- In case of upload, there is no file format change in Common Upload File. DPs are required to use remark field (which will be mandatory for reason code 31) to populate Relationship Code given in the above table against the Relationship Description. DP will have to select the corresponding Relationship Code for the relationship declared by the transferor. **It may be reiterated that system will reject the transaction, if invalid reason code and/or invalid relationship code is entered.**



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- c. Relationship code entered by the DP will be printed in DPM6- Success/failure report of Common Upload and DP57- Single Download report at the place provided for Remark field.

Depository Participants need to ensure that for off market transactions with reason code 31 – “Transfer between specified family members” should have corresponding Relationship Code only in the remark field and no other information is to be entered.

Sample Record Format for common upload is given for illustrative purpose

```
<Tp>5</Tp><Usn>1</Usn><Dt>14092019</Dt><Bnfcry>1201030000012345</Bnfcry><CtrPty>1201030000067890</CtrPty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Flg>S</Flg><Trf>X</Trf><Rsn>31</Rsn><Ref>REF123</Ref><Txnelflg>N</Txnelflg><Dis>FY14000000000123</Dis><Mkropid>SWAP</Mkropid><Ckropid>DPADM</Ckropid><Vfropid></Vfropid><Remk>6</Remk>
```

DPs are advised to make a note of the same and make the necessary changes, in their back-office system to incorporate the same and inform their easiest users to make necessary changes in the upload file.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

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