



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

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CDSL/OPS/DP/SYSTEM/2019/437

August 30, 2019

### HANDLING OF CLIENTS' SECURITIES BY TRADING MEMBERS / CLEARING MEMBERS

DPs are advised to refer to communiqué no. **CDSL/OPS/DP/POLICY/2019/304** dated June 21, 2019 regarding SEBI Circular no. CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019 pertaining to Handling of Clients' Securities by Trading Members (**TMs**) / Clearing Members (**CMs**).

SEBI vide its circular no. **SEBI/HO/MIRSD/DOP/CIR/P/2019/95** dated August 29, 2019 has extended the deadline for implementation of guidelines prescribed in their earlier circular dated June 20, 2019 (refer **Annexure** enclosed).

DPs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com).

sd/-

**Prashant Kokate**  
**Asst. Vice President – Operations**

**CIRCULAR**

**SEBI/HO/MIRSD/DOP/CIR/P/2019/95**

**August 29, 2019**

To

**All Recognized Stock Exchanges**

**All Recognized Clearing Corporations**

**All Depositories**

**All Trading Members / Clearing Members through Stock Exchanges / Clearing Corporations**

**All Depository Participants through Depositories**

Madam / Sir,

**Sub: Handling of Clients' Securities by Trading Members / Clearing Members**

1. Please refer to SEBI Circular No. CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019 regarding the captioned subject. Following representations from Stock Exchanges as well as market participants, it has been decided that effective deadline for implementation of guidelines prescribed in clause 5 and clause 8 of the aforesaid SEBI circular shall be extended by one month.
2. Consequently, the date 'August 31, 2019' in clause 5 of the circular shall be substituted as 'September 30, 2019' and the date 'September 01, 2019' in clause 8 of the circular shall be substituted as 'October 01, 2019'.
3. The Stock Exchanges, Clearing Corporations and Depositories are advised to bring the provisions of this circular to the notice of all TM / CM, Depository Participants and also disseminate the same on their respective websites.
4. This circular is being issued in exercise of powers conferred under Section 11 (1) of the Securities and Exchange Board of India Act, 1992 to protect the interests of investors in securities and to promote the development of, and to regulate the securities market.
5. This circular is available on SEBI website at [www.sebi.gov.in](http://www.sebi.gov.in) under the categories "Legal Framework".

Yours faithfully,

**Rajesh Kumar Dangeti**  
**General Manager**