



# Central Depository Services (India) Limited

Convenient # Dependable # Secure

## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

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CDSL/OPS/DP/POLCY/2019/435

August 29, 2019

### **PROCESS OF ACCREDITATION OF INVESTORS FOR THE PURPOSE OF INNOVATORS GROWTH PLATFORM**

DPs are advised to refer to communiqué no. CDSL/OPS/DP/POLCY/2019/281 dated June 08, 2019 attaching SEBI Circular no. SEBI/HO/CFD/DIL2/CIR/P/2019/67 dated May 22, 2019 regarding 'Framework for the process of accreditation of investors for the purpose of Innovators Growth Platform (IGP)'. IGP has been created to facilitate listing of new-age venture start-ups operating in e-commerce, data analytics, bio-technology and nano-technology sectors.

Accredited Investors (AIs) for the limited purpose of Innovators Growth Platform ("IGP"), are investors willing to invest in startups, whose holding in the Issuer Company is eligible for the computation of at least 25% of the pre-issue capital in accordance with Regulation 283.(1) of the SEBI (Issue of Capital & Disclosure Requirements) Regulations, 2018 ("ICDR Regulations").

CDSL is pleased to inform regarding the release of new facility for flagging a demat account as Accredited Investor. The said facility will be available to DPs in BO module. Investors who wish to flag their demat account as "Accredited Investor", will apply to the DP along with necessary documents as specified in aforesaid SEBI Circular. DP will have to verify the documents and then upload all the documents from the new menu as a "Maker" and once the documents are successfully uploaded request will be forwarded to CDSL for checker approval. Upon approval by CDSL, system generated email will be sent to the respective DP and BO on DPs registered email id and BOs primary email id (if available). DPs have to ensure that all the documents are uploaded. In case the DP fails to upload any document the request will not be setup. DPs are advised to send the hard copies of the documents to CDSL

Further, DPs have the option to download and delete the documents, in case the DPs wishes to modify any document before CDSL approves the request, the same is allowed through setup maker modification menu (CDSL will reverse the request so that DP can modify the documents through the same menu). DPs can only upload 'PDF' documents (maximum file size up to 5 mb). File naming convention for upload of document is given in Annexure A. DPs can view all the applications from the inquiry menu and have the option to download the same in excel format.



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Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com).

**Umesh Kambl**  
**Asst. Vice President – Operations**

**Naming convention of the documents [PDF format] to be upload is as mentioned below:-**

**For Individuals and NRIs demat Accounts:-**

**1. Copy of PAN CARD:**

AI<BOID>PAN<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502PAN001

**2. Copy of Aadhaar Card or Copy of Valid Passport:**

AI<BOID>UID<1 to 3 digit sequence number>

Sample File Name:- AI1304140006943502UID001

**3. Income tax return of last 3 financial years**

AI<BOID>ITR<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502ITR001

**4. Certificate from practicing chartered accountant**

AI<BOID>CA<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502CA001

**5. Declaration from investor**

AI<BOID>DEC<1 to 3 digit sequence number>

Sample File Name:- AI1304140006943502DEC001

**For Corporate Accounts:-**

**1. Copy of PAN Card**

AI<BOID>PAN<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502PAN001

**2. Certificate of Incorporation**

AI<BOID>INC <1 to 3 digit sequence number>

Sample file name:- AI1304140006943502INC001

**3. Certificate of valid registration from any regulatory**

AI<BOID>REG.<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502REG001

**4. Copies of Financial Statements of last 3 financial years**

AI<BOID>FYS<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502FYS001

**5. Copies of Income tax return of last 3 financial years**

AI<BOID>ITR<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502ITR001

**6. Certificate from statutory auditor of the body corporate**

AI<BOID>AUD<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502AUD001

**7. Certified copy of Board Resolution**

AI<BOID>BRD<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502BRD001

**8. Declaration from MD/Designated Partner/Authorised persons**

AI<BOID>MDDA<1 to 3 digit sequence number>

Sample file name:- AI1304140006943502MDDA001