



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

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**CDSL/OPS/DP/POLCY/2019/433**

**August 29, 2019**

### **FURNISHING/UPLOAD OF REPORTS IN 'FINET GATEWAY'**

DPs are advised to refer Office Memorandum No. 26-1/2017/ISMG/FIU-IND dated August 23, 2019 issued by Financial Intelligence Unit – India, to all the Reporting Entities, advising to use FINet Gateway Portal to submit/upload suspicion transaction reports.

Advisory Memorandum is enclosed as Annexure. DPs are advised to comply with the same.

Queries regarding this communiqué may be addressed to CDSL – Helpdesk: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com).

**Vice President – Audit, Inspection & Compliance**

No. 26-1/2017/ISMG/FIU-IND  
Government of India  
Ministry of Finance  
Department of Revenue  
Financial Intelligence Unit-India

6<sup>th</sup> Floor, Hotel Samrat,  
Kautilya Marg, Chanakyapuri,  
New Delhi-110021

Dated: 23<sup>rd</sup> August, 2019

**OFFICE MEMORANDUM**

**Subject: Furnishing/ upload of Reports in 'FINnet Gateway'- reg**

Please refer to FIU-IND OM of even number dated 16<sup>th</sup> and 17<sup>th</sup> November, 2017 requesting to advise reporting entities (REs) to submit reports through FINnet Gateway portal using Report Generation Utility and Report Validation Utility.

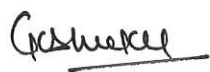
2. It has been observed that many reporting entities are still submitting various reports offline every month (i.e. by sending on CD or paper based reports) including Cash Transaction Reports(CTRs), Suspicion Transaction Reports(STRs), Counterfeit Currency Reports(CCRs), Non Profit Organization Reports(NTRs), Report Uploading Certificates(RUC) etc to FIU-IND.

3. **Reporting Entities are again advised to use only FINnet Gateway for upload of reports, and reports submitted in any other form is not a valid submission. It may also be noted that 'NIL' CTR/NTR is to be filed in case of 'banking company' through FINnet Gateway only (*vide advisory issued dated 19 December, 2014, copy enclosed for ready reference*).**

4. Reporting Entities whose Principal Officer is not registered with FIU-IND so far, may refer to section 4.1 of the FINnet Gateway User guide wherein the complete process of registration are given. However, for any clarification/ assistance FIU-IND Help Desk may be contacted at email: [helpdesk@fiuindia.gov.in](mailto:helpdesk@fiuindia.gov.in) or phone numbers 011-24109792/24109793.

5. It may also be ensured that the directives as stated *vide para 3 above* must be adhered to.

6. This issues with the approval of Director (FIU-IND).

  
(Chaitanya Shukla)  
Additional Director

To,  
All Reporting Entities (Principal Officers)

Government of India  
Ministry of Finance  
Department of Revenue  
Financial Intelligence Unit – India

December 19, 2014

All Reporting Entities

Dear Sir / Madam,

**Filing of 'Nil' CTR / NTR**

There is an obligation on the 'Reporting Entity' to file CTR / NTR with FIU-IND under provisions of Sec 12(1)(b) of PMLA, 2002 read with Rule 3(A), 3(B), 3(BA) and 8(1) of PML (Maintenance of Records) Rules. Although there is no specific requirement to file NIL reports, the matter has been reviewed in light of requests from some REs (Banks) that record of filing 'Nil' reports helps them during Regulatory / Internal audits. It is felt that this will also help FIU / Regulators in compliance monitoring. It has therefore been decided that a 'banking company' defined under Sec 2(e) of PMLA, 2002 is also required to file 'Nil' CTR / NTR henceforth with immediate effect. It may please be noted that the filing of 'Nil' CTR / NTR is restricted only to 'banking company' as stated above and all the other 'reporting entities' which do not substantially deal in cash transactions are exempt from filing of 'Nil' CTR / NTR, if they have nothing to report.

2. This issues with the approval of Director, FIU-IND.

**Additional Director  
FIU-IND**