



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/TRANG/2019/368

July 23, 2019

TRAINING PROGRAMME FOR COMPLIANCE/ PRINCIPAL OFFICERS / DP OFFICIALS AND INTERNAL / CONCURRENT AUDITORS

With a view to increase the effectiveness of compliance with special focus on PMLA, investor grievance and also to reduce deficiencies observed in inspection and internal audit report, a training program for Compliance/ Principal Officers/ DP Officials and Internal/ Concurrent Auditors have been scheduled at Delhi, Kolkata, Chennai, Hyderabad and Bangalore (Refer **Annexure A**).

DPs (including branch DPs) are advised to nominate Compliance/ Principal Officers and other DP Officials and advise their internal auditors / concurrent auditors to attend the training programme.

Candidates who wish to attend the training programme are advised to register on our CDSL website and submit the Registration Form along with Cheque/DD for ₹590/- (₹500/- + GST) per candidate in favour of "**Central Depository Services (India) Limited**" payable at Mumbai. The detailed procedure for registration for the training programme is enclosed as "**Annexure B**". In case of payment towards training fees through NEFT, bank details of CDSL is enclosed as "**Annexure C**". For CDSL DPs, option to include the charges in their monthly bill is available at the time of registration. The Registration Forms along with the payment should be forwarded to respective centres as per the contact details mentioned in **Annexure A**.

DPs / Auditors are advised to note that the charges are payable in advance in order to get a confirmed booking of seat(s). Seats will be allocated only on receipt of registration forms and payment on a first-come-first served basis, until seats are available at each location.

Queries regarding this training programme may be addressed to the respective CDSL Regional Offices CDSL-Training, Ms. Ruchi Patil/ Priyanka Ayare on (022) 2305 8502/ 2305 8638 Emails may be sent to: ruchip@cDSLindia.com/ priyankaa@cDSLindia.com.

Umesh Kambli
Asst. Vice President - Operations

Schedule for Training - For Auditors and Compliance Officers - Annexure A

	1	2	3	4	
LOCATION	DELHI	KOLKATA	HYDERABAD	BENGALURU	CHENNAI
DATE	Friday August 09, 2019	Friday August 09, 2019	Friday August 23, 2019	Friday August 30, 2019	Friday August 30, 2019
TIME	3.00 p.m. To 5.30 p.m.	3.00 p.m. To 5.30 p.m.	3.00 p.m. To 5.30 p.m.	3.00 p.m. To 5.30 p.m.	3.00 p.m. To 5.30 p.m.
VENUE	Central Depository Services (India) Limited C/o BSE Limited 101, 1st Floor, Aggarwal Corporate Tower, Plot No. 23, District Center, Rajendra Place, New Delhi - 110008	Central Depository Services (India) Limited Unit A1(II) & A1 (III), Block - A, 1st Floor, 22 Camac Street, Kolkata - 700 016.	Conference Hall, Shree Venkateshwara Hotel, Lakdi-ka-pul, Hyderabad – 500 004.	Hotel Pai Viceroy, No.1504, 16th Cross, 9th Main, Near Apollo Hospital, Jayanagar, Bengaluru -560011.	Central Depository Services India Limited, C/o. BSE Investor Services Limited, A-801, Imperial Towers, Teynampet High Road (Near Teynampet Metro Station), Teynampet, Chennai – 600018
CONTACT PERSON DETAILS	Mr. Vijay Kumar Dwivedi – 09650616376 Mr. Sushil Kumar – 09999715234 101, 1st Floor, Aggarwal Corporate Tower, Plot No. 23, District Center, Rajendra Place, New Delhi - 110008	Mr. Abhrajyoti Bera - 09903293938 Mr. Moloy Biswas - 09831074530 Unit No – A1 (II) & A1 (III), Block – A, 01st Floor, 22 Camac Street (Abanindranath Thakur Sarani), Kolkata – 700 016, West Bengal.	Mr. Shiva Prasad - 09849137579 Mr. Borra Suryachandra - 09492923289 Flat No.302, Mari Gold Pavani Estate, Dwarakapuri Colony, Punjagutta, Hyderabad – 500 082, Andra Pradesh.	Mr. C. S. Harisha - 09902103263 No. 34 & 35, Annapurna Complex, South End Road, Basavanagudi, Bengaluru-560004, Karnataka	Mr. Prem Kumar - 09380268588 Mr. AR Vasudevan – 09380268588 A-801, Imperial Towers, Teynampet High Road (Near Teynampet Metro Station), Teynampet, Chennai – 600018

Registration Process for Compliance Training

Registrations for Compliance Training programme can be done at <https://www.cdslindia.com/cpe/cpelogin.aspx>

The screenshot shows the login page for the Central Depository Services (India) Limited. The page is titled "Training Program" and provides information on the eligibility for the training programme. The login form on the right includes fields for "Login Name" and "Password", a "Login" button, and a "I'm not a robot" CAPTCHA. Below the login form, there are links for "New User? Click Here to Register" and "Forgot Password? Click Here". An arrow points from the text below to the "New User? Click Here to Register" link.

The login screen as given above appears. User will click on “New User”. Site will display the following screen and the user will have to fill the “User Registration” and click on “Submit” button.

The image shows two screenshots of the Central Depository Services (India) Limited website. The top screenshot displays the 'User Registration' form, and the bottom screenshot displays the 'Address for communication' form.

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User Registration

Login Name: [Check Availability](#)

Password:

Confirm Password:

Security Question: Select Security Question

Security Answer:

Type of candidate: Select Candidate

Personal Information

Salutation: Select Salutation

First Name:

Middle Name:

Last Name:

Name to appear in Certificate: (Name as on PAN)

Gender: Select Gender

Address for communication

Date of Birth:

Address1:

Address2:

Address3:

State: Select State

City: Select City

Pin Code:

Country: INDIA

Mobile:

Telephone No:

Email ID:

Plan:

I'm not a robot

(All fields marked * are mandatory)

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Training registration can be done at <https://www.cdslindia.com/cpe/cpelogin.aspx> by giving your login name and password (same entered during registration).

The screenshot displays the website of Central Depository Services (India) Limited. The page is titled "Training Program" and provides information on the eligibility for training in Depository Operations. It is divided into three main categories: General, Principal, and Grandfathered by age.

Training Program

Eligibility for appearing for Training programme- Depository Operations:

A) General Category

Any person holding a valid certificate as mentioned below, which is due to expire within the next twelve months and wishing to renew validity of the certificate for another three years from the date of expiry of the certificate may appear for Training for Depository Operations.

List of valid certificates:

- a. Certificate on completion of CDSL's DP training programme on Central Depository Services (India) Limited
- b. BCCD i.e., BSE's Certification in Financial Markets (BSE's Certification on Central Depository) of The Bombay Stock Exchange Limited
- c. NISM Series VI- Depository Operations Certification Examination (DOCE)
- d. NCFM i.e., NSE's Certification in Financial Markets (NCFM - Depository Operations Module) of the National Stock Exchange of India Limited.
- e. NDDO i.e., NSDL's Certification in Depository Operations of the National Securities Depository Limited.

B) Principal Category

Any person who is actively engaged in the management of the intermediary's securities business including supervision, solicitation, conduct of business, including a) Sole Proprietors, b) Managing Partners and c) Whole Time Directors, can be classified under the Principal category. Such persons belonging to the Principal category, may appear for Training Program for Depository Operations under the Principal category, on submission of the required documents.

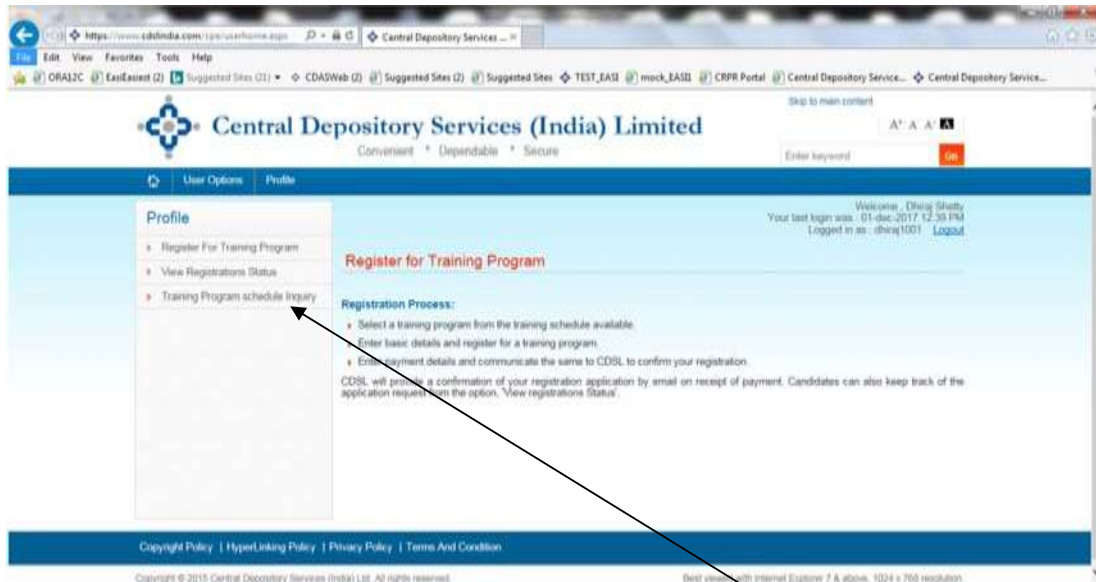
C) Grandfathered by age category

Any person who has completed the age of 50 years as on March 29, 2011, may appear for Training Program for Depository Operations under the Grandfather category, on submission of the required documents.

Login Form:

Fields for Login Name and Password. Includes a "I'm not a robot" checkbox and a "Login" button. Links for "New User? Click Here to Register" and "Forgot Password? Click Here" are also present.

After logging into the system the below mentioned screen will be displayed.



After clicking on the “Register for Training Program” Registration Form will be displayed, user will have to fill the form and click on “Submit” button.

Select type of training as “AUDIT”.

The screenshot displays the 'Register for training program' form on the Central Depository Services (India) Limited website. The form is divided into several sections:

- Registration Information:** Includes fields for 'Type of Training' (set to 'AUDIT'), 'Type of Program', 'City', and 'Date'.
- Training program details - Venue:** Includes a 'Venue' dropdown menu.
- Training charges:** Includes 'No of seats available', 'Fees Payment Option' (with radio buttons for Demand Draft, CHEFT, and Monthly Billing), 'DD Number', 'Date', and 'Amount'.
- Current Employer Details:** Includes 'DPRITA ID', 'Name', 'Address', 'Contact Details', 'Date of joining', and 'Nature of work'.
- Photograph:** Includes an 'Upload Photo' field with a 'Browse...' button and instructions: 'Upload Photo(max size 50 KB) (Max photo dimension should be in 3.5cm x 4.5cm) (All fields marked * are mandatory)'.

The form also features 'Submit' and 'Reset' buttons at the bottom. The website header includes the logo and name of Central Depository Services (India) Limited, along with navigation links and a search bar.

On approval of your registration by CDSL an email confirming your registration will be sent to your registered email id.

Bank Details:

Name of the Organisation : **CENTRAL DEPOSITORY SERVICES (INDIA)
LIMITED**

Address : Central Depository Services (I) Limited, A Wing,
25th Floor, Marathon Futurex, Mafatlal Mill
Compound,
N M Joshi Marg, Lower Parel (E), Mumbai
- 400013

PAN NO : AAACC6233A

Bank Name : ICICI BANK Current

A/c No. / Code : CDSLTRNG

NEFT Code (11 digits) :

I	C	I	C	0	0	0	0	1	0	4
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Branch Name : Nariman Point, Mumbai Email id for

payment advice : cdslAccounts@cdslindia.com
shraddhat@cdslindia.com
kavitas@cdslindia.com
mangeshh@cdslindia.com