



# Central Depository Services (India) Limited

Convenient Dependable Secure

## COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/TRANG/2019/353

July 15, 2019

### TRAINING PROGRAMME FOR COMPLIANCE/ PRINCIPAL OFFICERS / DP OFFICIALS AND INTERNAL / CONCURRENT AUDITORS

With a view to increase the effectiveness of compliance with special focus on PMLA, investor grievance and also to reduce deficiencies observed in inspection and internal audit report, a training program for Compliance/ Principal Officers/ DP Officials and Internal/ Concurrent Auditors have been scheduled at Mumbai as mentioned below.

Date	Programme For
August 2, 2019	Internal / Concurrent Auditors, Compliance / Principal Officers / DP Officials

- Timings: 3.00 P. M. to 5.30 P.M.
- Location: YMCA International House & Programme Centre, 18, YMCA Road,  
Near Maratha Mandir, Mumbai Central, Mumbai- 400 008.
- Charges : ₹590/- per person (₹500/- + GST) including High Tea.

DPs (including branch DPs) are advised to nominate Compliance/ Principal Officers and other DP Officials and advise their internal auditors / concurrent auditors to attend the training programme.

Candidates who wish to attend the training programme are advised to register on our CDSL website and submit the Registration Form along with Cheque/DD for `590/- per candidate in favour of "**Central Depository Services (India) Limited**" payable at Mumbai. In case of payment of towards training fees through NEFT, bank details of CDSL is enclosed as "**Annexure B**". In case of CDSL DPs the charges shall be **included in the monthly bill** of the DPs on confirmation during registration.

The Registration Forms along with the payment should be forwarded to:



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Central Depository Services (India) Limited, Marathon Futurex, Unit No. 2501, 25th floor, A-Wing, Mafatlal, Mills Compound, N. M. Joshi Marg, Lower Parel (E), Mumbai 400013. Phone: 022-2302-3333 Fax: 22-2300 2043.

The detailed procedure for registration for the training programme is enclosed as “**Annexure A**”

Seats will be allocated only on receipt of registration forms and payment.

Last date for registration at CDSL, Mumbai is July, 25, 2019 [18:00 Hrs] or until all seats are booked, whichever is earlier.

Queries regarding this training programme may be addressed to CDSL-Training, Ms. Ruchi Patil/ Priyanka Ayare on (022) 2305 8502/ 2305 8638 Emails may be sent to: [ruchip@cdslindia.com](mailto:ruchip@cdslindia.com)/  
[priyankaa@cdslindia.com](mailto:priyankaa@cdslindia.com).

**Umesh Kambli**  
**Assistant Vice President - Operations**

## Registration Process for Compliance Training

Registrations for Compliance Training programme can be done at <https://www.cdslindia.com/cpe/cpelogin.aspx>

The screenshot shows the login page for Central Depository Services (India) Limited. The page is titled "Training Program" and provides information on the eligibility for the training programme. The login form includes fields for "Login Name" and "Password", a "Login" button, and a "New User? Click Here to Register" link. A CAPTCHA verification box is also present.

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**Training Program**

**Eligibility for appearing for Training programme- Depository Operations:**

**A) General Category**

Any person holding a valid certificate as mentioned below, which is due to expire within the next twelve months and wishing to renew validity of the certificate for another three years from the date of expiry of the certificate may appear for Training for Depository Operations.

**List of valid certificates:**

- Certificate on completion of CDSL's DP training programme on Central Depository Services (India) Limited.
- BCCD i.e. BSE's Certification in Financial Markets (BSE's Certification on Central Depository) of the Bombay Stock Exchange Limited.
- NISM Series VI- Depository Operations Certification Examination (DOCE).
- NCFM i.e. NSE's Certification in Financial Markets (NSDL - Depository Operations Module) of the National Stock Exchange of India Limited.
- NCCDO i.e., NSDL's Certification in Depository Operations of the National Securities Depository Limited.

**B) Principal Category**

Any person who is actively engaged in the management of the intermediary's securities business including supervision, solicitation, conduct of business, including a) Sole Proprietors, b) Managing Partners and c) Whole Time Directors, can be classified under the Principal category. Such persons belonging to the Principal category, may appear for Training Program for Depository Operations under the Principal category, on submission of the required documents.

**C) Grandfathered by age category**

Any person who has completed the age of 50 years as on March 29, 2011, may appear for Training Program for Depository Operations under the Grandfather category, on submission of the required documents.

Login Name:   
Password:   
 I'm not a robot   
[New User? Click Here to Register](#)  
[Forgot Password? Click Here](#)

The login screen as given above appears. User will click on “New User”. Site will display the following screen and the user will have to fill the “User Registration” and click on “Submit” button.

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Enter keyword

### User Registration

Login Name:  [Check Availability](#)

Password:

Confirm Password:

Security Question:

Security Answer:

Type of candidate:

**Personal Information**

Salutation:

First Name:

Middle Name:

Last Name:

Name to appear in Certificate:  
(Name as on PAN)

Gender:

Date of Birth:

**Address for communication**

Address1:

Address2:

Address3:

State:

City:

Pin Code:


Country:

Mobile:

Telephone No:

Email ID:

Pan:

I'm not a robot 

(All fields marked \* are mandatory)

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Training registration can be done at <https://www.cdslindia.com/cpe/cpelogin.aspx> by giving your login name and password (same entered during registration).

The screenshot shows the website for Central Depository Services (India) Limited. The browser address bar displays the URL: <https://www.cdsindia.com/cpe/cpslogin.aspx>. The page features the company logo and name, along with the tagline "Convenient \* Dependable \* Secure". A search bar is present with the text "Enter keyword" and a "Go" button. The main content area is divided into two columns. The left column contains information about the "Training Program", including eligibility criteria and a list of valid certificates. The right column contains a login form with fields for "Login Name" and "Password", a CAPTCHA verification box with the text "I'm not a robot", and a "Login" button. Below the login form are links for "New User? Click Here to Register" and "Forgot Password? Click Here".

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Skip to main content

Enter keyword

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- Sole Proprietors, b) Managing Partners and c) Whole Time Directors, can be classified under the Principal category. Such persons belonging to the Principal category, may appear for Training Program for Depository Operations under the Principal category, on submission of the required documents.

**C) Grandfathered by age category**

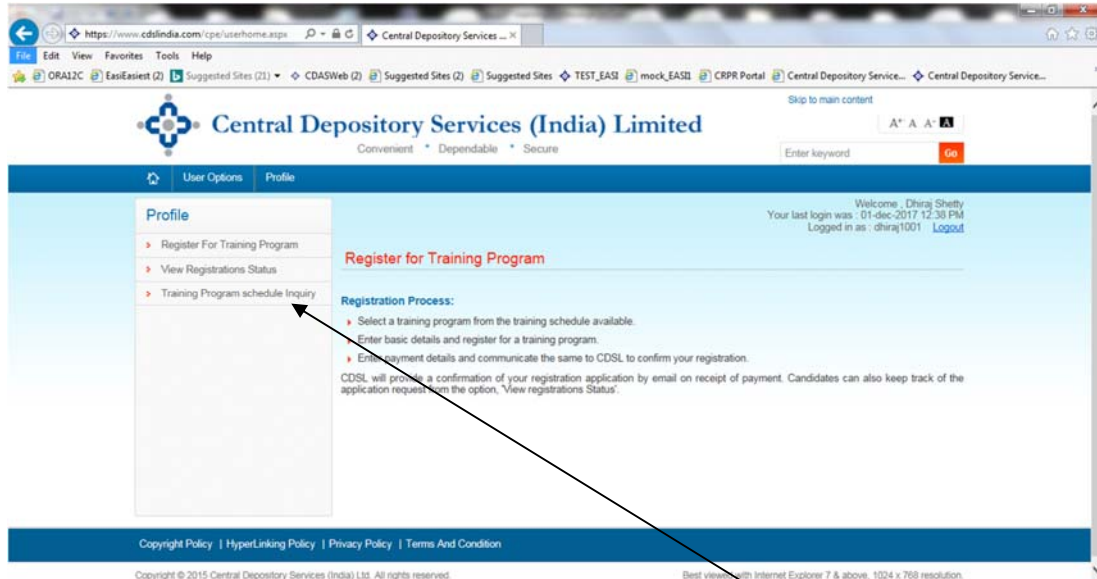
Any person who has completed the age of 50 years as on March 29, 2011, may appear for Training Program for Depository Operations under the Grandfather category, on submission of the required documents.

Login Name:   
Password:

I'm not a robot 

[New User? Click Here to Register](#)  
[Forgot Password? Click Here](#)

After logging into the system the below mentioned screen will be displayed.



After clicking on the “Register for Training Program” Registration Form will be displayed, user will have to fill the form and click on “Submit” button.

Select type of training as “AUDIT”.

The image shows two screenshots of the Central Depository Services (India) Limited website. The top screenshot displays the 'Register for training program' form. The 'Type of Training' dropdown menu is set to 'AUDIT'. Other fields include 'Type of Program', 'City', 'Date', 'Venue', 'No of seats available', 'Training charges', 'Fees Payment Option', 'DD Number', 'Date', and 'Amount'. The bottom screenshot shows the 'Current Employer Details' section, which includes fields for 'DPIRTA ID', 'Name', 'Address', 'Contact Details', 'Date of joining', and 'Nature of work'. There is also a 'Photograph' upload field with a 'Browse...' button. The footer contains copyright information and a note about the browser resolution.

On approval of your registration by CDSL an email confirming your registration will be sent to your registered email id.

**Bank Details:**

Name of the Organisation : **CENTRAL DEPOSITORY SERVICES (INDIA)  
LIMITED**

Address : Central Depository Services (I) Limited,  
A Wing, 25th Floor, Marathon Futurex,  
Mafatlal Mill Compound,  
N M Joshi Marg, Lower Parel (E),  
Mumbai - 400013

PAN NO : AAACC6233A

Bank Name : ICICI BANK

Current A/c No. / Code : CDSLTRNG

NEFT Code (11 digits) : 

I	C	I	C	0	0	0	0	1	0	4
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Branch Name : Nariman Point, Mumbai

Email id for payment advice : [cDSLAccounts@cdslindia.com](mailto:cDSLAccounts@cdslindia.com)  
[shraddhat@cdslindia.com](mailto:shraddhat@cdslindia.com)  
[kavitas@cdslindia.com](mailto:kavitas@cdslindia.com)  
[mangeshh@cdslindia.com](mailto:mangeshh@cdslindia.com)