



Central Depository Services (India) Limited



CDSL/A&I/PEN-IAR/PJT/2024-25

August 02, 2024

NU INVESTORS TECHNOLOGIES PVT LTD

DP ID: 96400

Galaxy, Unit No. 603, A Wing, Everest Grand,
Mahakali Caves, Andheri East,
Chakala Midc, MUMBAI,
MAHARASHTRA, INDIA – 400093

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.2000/- has been imposed on you. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty Rs.
Internal Audit - March -2024 (Rs.2000 per occasion plus additional Rs.1000 for any delay per fortnight till the submission of revised report)	375	IAR Compliance not submitted	2,000
Total			2,000

Please note that the aforesaid penalty has been included in the monthly bill of July-2024.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance