



# Central Depository Services (India) Limited



CDSL/A&I/PEN-IAR/PJT/2024-25

August 02, 2024

## MIRAE ASSET CAPITAL MARKETS (INDIA) PRIVATE LIMITED

**DP ID: 92900**

1ST FLOOR, TOWER 4, EQUINOX BUSINESS PARK,  
LBS MARG, OFF BKC,  
KURLA WEST, MUMBAI,  
MAHARASHTRA, INDIA – 400070

### Kind Attention: Compliance Officer

Dear Sir/Madam,

### Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.2000/- has been imposed on you. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty Rs.
Internal Audit - March -2024  (Rs.2000 per occasion plus additional Rs.1000 for any delay per fortnight till the submission of revised report)	375	IAR Compliance not submitted	2,000
<b>Total</b>			<b>2,000</b>

Please note that the aforesaid penalty has been included in the monthly bill of July-2024.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you,

Yours faithfully,  
For **Central Depository Services (India) Limited**

**Sd/-**  
**Authorized Signatory**  
**Audit Inspection & Compliance**