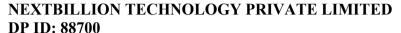


CDSL/A&I/IG-PEN/MRM/2023-24

December 04, 2023

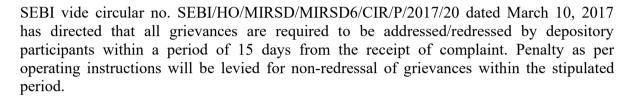


NO 11, 80 FT ROAD 4TH BLOCK, S T BED KORAMANGALA BANGALORE-560034 KARNATAKA

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty



2. During the month of November 2023, you have not resolved a complaint even after 30 days from the date of receipt. Hence, the penalty as follows has been imposed as under:

Audit Type	Comp	Non - Compliance	Penalty	Quantum of	Complainant	Received
	Id	Description	towards	Penalty	Name	Date
Penalty	77	All the grievances of	ВО	500	Payal Sharma	07-10-2023
Points		BOs arising at the main	Grievances			
(November-		DP or at the branch are	not			
2023)		recorded properly and	redressed			
		redressed within the	within 30			
		stipulated time of 30	days			
		days. (except disputes /				
		court cases)				
			Total	500		_

3. Please note that the aforesaid penalty has been included in the monthly bill of November -2023.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you.,

Yours faithfully, For Central Depository Services (India) Limited

Sd/-Authorized Signatory Audit, Inspection & Compliance

