



Central Depository Services (India) Limited

CDSL/A&I/IG-PEN/PJT/2024-25

August 02, 2024



GROWW INVEST TECH PRIVATE LIMITED

DP ID: 88700

NO 11, 80 FT ROAD 4TH BLOCK,
S T BED, KORAMANGALA,
BANGALORE, KARNATAKA, INDIA - 560034

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Kindly note that as per SEBI D&P Regulations 2018 clause no 72(1) all grievances are required to be addressed or redressed by Depository Participants within a period of 21 calendar days from the receipt of complaint. Penalty as per operating instructions will be levied for non-redressal of grievances within the stipulated period.

2. During the month of July 2024, you have not resolved a complaint even after 30 days from the date of receipt. Hence, the penalty as follows has been imposed as under:

Audit Type	Comp Id	Non - Compliance Description	Penalty towards	Quantum of Penalty	Complainant Name	Received Date
Penalty Points (July-2024)	77	All the grievances of BOs arising at the main DP or at the branch are recorded properly and redressed within the stipulated time of 30 days. (except disputes / court cases)	BO Grievances not redressed within 30 days	500	SHRUTI KARKARE	30-05-2024
				500	RADHIKA WAGH	31-05-2024
				500	PUNEET AGARWAL	24-06-2024
			Total	1500		

3. Kindly note that the aforementioned penalty has been included in the monthly bill for July 2024.

You are requested to make payment of the aforementioned penalty amount immediately upon receipt of the bill. Please also note that if the penalty amount is not paid by the due date of the bill, the matter will be reported to the Member Committee.

Thanking you.,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit, Inspection & Compliance