



Central Depository Services (India) Limited

CDSL/A&I/PEN-SPINSP/SNK/2026-27

April 17, 2026



5PAISA CAPITAL LIMITED

DPID 82500

1ST FLR, IIFL HSE, SUN INFOTECH,
PARK, RD NO 16V, B-23, MIDC,
THANE IND AREA, WAGLE ESTATE,
MUMBAI - 400604

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please refer to the inspection report of your DP ID 82500 forwarded to you vide our letters:

CDSL/A&I/SI/SMK/2026/4 dated 08-Jan-2026

CDSL/A&I/SI/HGD/2026/13 dated 16-Feb-2026

CDSL/A&I/SI/HGD/2026/15 dated 05-Mar-2026

whereby we had instructed you to submit the complete compliance duly countersigned by the internal/concurrent auditors for pending observations of inspection. Subsequently, we have sent a reminder to you.

2. As we have not received the complete compliance report on April 16, 2026, which is a delay of 98 days from the first intimation of the non-compliance letter, and has exceeded the stipulated timeline, an incremental monetary penalty of Rs. 15,000/- has been imposed in accordance with the decision of the Member Committee.

3. Please note that the aforesaid penalty will be included in the monetary penalty bill of April-2026. Further, please note that in case of continued non-compliance or non-payment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed.

4. You are kindly requested to submit compliance and make payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee.

Thank you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Sachin Kanawade

Audit, Inspection & Compliance