



Central Depository Services (India) Limited

CDSL/A&I/PEN-CAR/SNK/2026-27

April 30, 2026

5PAISA CAPITAL LIMITED

DP ID: 82500

1ST FLR, IIFL HSE, SUN INFOTECH,
PARK RD NO 16V, B-23, MIDC,
THANE-400604



Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Imposition of Penalty for Non submission of monthly Concurrent Audit report

Please note that the penalty has been imposed on you in accordance with the penalty outlined in Annexure 11.1 of the CDSL DP Operating Instructions.

As we did not receive the following reports within the prescribed timeline, monetary penalty as provided in the operating instructions has been imposed as under:

Nature of non-compliance	Quantum of Penalty (Rs.)
Compliance not submitted of CAR Risk Prone - November-2025	2,000/-
Total	2,000/-

The aforesaid penalty has been included in the monetary penalty bill for **April-2026**. Please note that in case of nonpayment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed.

You are kindly requested to make the payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee.

Thank you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Sachin Kanawade

Audit, Inspection & Compliance