



# Central Depository Services (India) Limited

CDSL/A&I/PEN-IAR/MRM/2022-23

November 03, 2022



**RKSV SECURITIES INDIA PRIVATE LIMITED**  
**DPID 81800**  
SUNSHINE TOWER, 30 FLOOR,  
SENAPATI BAPAT MARG,  
DADAR (WEST),  
MUMBAI-400013  
MAHARASHTRA

## Kind Attention: Compliance Officer

Dear Sir/Madam,

### Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.2,000/- has been imposed on you. The details of compliance requirement which was not adhered to and penalty imposed upon you, are mentioned below:

<b>Audit Type</b>	<b>Comp Id</b>	<b>Non - Compliance Description</b>	<b>Quantum of Penalty</b>
Internal Audit March-2022	375	IAR Compliance not submitted	2,000
<b>Total</b>			<b>2,000</b>

Please note that the aforesaid penalty has been included in the monthly bill of October-2022. You are requested to make payment of aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to Member Committee.

Thanking you.

Yours faithfully,  
For **Central Depository Services (India) Limited**

Sd/-

**Authorized Signatory**  
**Audit Inspection & Compliance**