



Central Depository Services (India) Limited

CDSL/A&I/PEN-PAN-MOD/UMC/2021-22

October 05, 2021



RKSV SECURITIES INDIA PRIVATE LIMITED

DPID 81800

SUNSHINE TOWER, 30 FLOOR,

MUMBAI-400013

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure in Annexure 11.1 of CDSL's DP Operating Instructions, a penalty of Rs. 50 per BOID has been imposed on you for incorrect entry of PAN details in the CDSL System

Audit Type	Comp Id	Non - Compliance Description	No. of BOs	Quantum of Penalty (Rs.)
PAN Modification	1112	Incorrect entry of PAN details in CDAS in the month July-August-21	1	50

Please ensure to select proper reason code while processing PAN modifications requests. For details kindly refer communicate nos. CDSL/OPS/DP/2221 dated 28-Dec-2010 and CDSL/OPS/DP/1454 dated 05-Feb-2009.

The detail of such PAN is made available in Billing Folder.

Queries, if any, may be sent to vijayk@cdslindia.com/skhot@cdslindia.com or on telephone no (022) 2305 8689 / 8522

Thanking you.

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit Inspection & Compliance