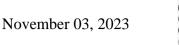


CDSL/A&I/IG-PEN/MRM/2023-24



A SEBI Initiative



153/154, 4TH CRS, DOLLARS CLNY OPP. CLARENCE PUBLIC SCHOOL J P NAGAR 4TH PHASE BANGALORE-560078 **KARNATAKA**

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

SEBI vide circular no. SEBI/HO/MIRSD/MIRSD6/CIR/P/2017/20 dated March 10, 2017 has directed that all grievances are required to be addressed/redressed by depository participants within a period of 15 days from the receipt of complaint. Penalty as per operating instructions will be levied for non-redressal of grievances within the stipulated period.

2. During the month of October 2023, you have not resolved a complaint even after 30 days from the date of receipt. Hence, the penalty as follows has been imposed as under:

Audit	Comp	Non - Compliance	Penalty	Quantum	Complainant	Received
Type	Id	Description	towards	of Penalty	Name	Date
Penalty	77	All the grievances of	ВО	500	Vikas Kumar	14-09-2023
Points		BOs arising at the main	Grievances			
(October-		DP or at the branch are	not redressed			
2023)		recorded properly and	within 30			
		redressed within the	days			
		stipulated time of 30				
		days. (except disputes /				
		court cases)				
			Total	500		

3. Please note that the aforesaid penalty has been included in the monthly bill of October -2023.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you.

Yours faithfully,

For Central Depository Services (India) Limited

Sd/-

Authorized Signatory Audit, Inspection & Compliance

Regd. Office: Unit No. A – 2501, A Wing, Marathon Futurex, 25th Floor, Mafatlal Mill Compounds, N. M. Joshi Marg, Lower Parel (East), Mumbai – 400013. Phone: 91-22-2302 3333 Fax: 91-22-2300 2043 Website: www.cdslindia.com CDSL:L67120MH1997PLC112443