

## Central Depository Services (India) Limited

CDSL/A&I/IG-PEN/MRM/2023-24

March 02, 2024

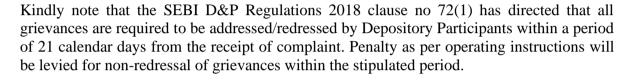
ZERODHA BROKING LIMITED DP ID: 81600

153/154, 4TH CRS, DOLLARS CLNY OPP. CLARENCE PUBLIC SCHOOL J P NAGAR 4TH PHASE BANGALORE-560078

**Kind Attention: Compliance Officer** 

Dear Sir/Madam,

**Sub: Imposition of penalty** 



2. During the month of February 2024, you have not resolved a complaint even after 30 days from the date of receipt. Hence, the penalty as follows has been imposed as under:

Comp	Non - Compliance	Penalty	Quantum of	Complainant	Received
Id	Description	towards	Penalty	Name	Date
77	All the grievances of	ВО	500	Manohar	17-01-2024
	BOs arising at the main	Grievance		Sullad	
	DP or at the branch are	s not			
	recorded properly and	redressed			
	redressed within the	within 30	500	Bharat Koli	18-01-2024
	stipulated time of 30	days			
	days. (except disputes /				
	court cases)				
		Total	1000		
	Id	Id Description  77 All the grievances of BOs arising at the main DP or at the branch are recorded properly and redressed within the stipulated time of 30 days. (except disputes /	Id Description towards  77 All the grievances of BO Grievance DP or at the branch are recorded properly and redressed within the stipulated time of 30 days. (except disputes / court cases)	IdDescriptiontowardsPenalty77All the grievances of BOs arising at the main DP or at the branch are recorded properly and redressed within the stipulated time of 30 days. (except disputes / court cases)BO Grievance s not redressed within 30 days	IdDescriptiontowardsPenaltyName77All the grievances of BOs arising at the main DP or at the branch are recorded properly and redressed within the stipulated time of 30 days. (except disputes / court cases)BO S00 Manohar Sullad500 Bharat Koli

3. Please note that the aforesaid penalty has been included in the monthly bill of February-2024.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you.,

Yours faithfully,

For Central Depository Services (India) Limited

Sd/-

**Authorized Signatory Audit, Inspection & Compliance** 

