



Central Depository Services (India) Limited

CDSL/A&I/PEN/MRM/2024-25

June 06, 2024



ZERODHA BROKING LIMITED

DPID-81600

153/154, 4TH CRS, DOLLARS CLNY
OPP. CLARENCE PUBLIC SCHOOL
J P NAGAR 4TH PHASE
BANGALORE 560078

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.5000/- has been imposed on you. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

BO ID	NATURE OF NON-COMPLIANCE	PENAL ACTION (Rs.)
1208160014747920	Account opened without obtaining adequate proof of identity or any other document prescribed under guidelines of CDSL / SEBI / PMLA.	5,000/- per account.

Kindly note that the aforementioned penalty has been included in the monthly bill for May 2024.

You are requested to make payment of the aforementioned penalty amount immediately upon receipt of the bill. Please also note that if the penalty amount is not paid by the due date of the bill, the matter will be reported to the Member Committee.

Queries, if any, may be sent to vishalgs@cdslindia.com / sheron@cdslindia.com or on telephone no (022) 2305 8522 / 8655.

Thanking you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit Inspection & Compliance