



Central Depository Services (India) Limited

CDSL/A&I/PEN-TARIFF/SGM/2021-22/31 May 17, 2021

ACHINTYA SECURITIES PRIVATE LIMITED

DPID – 75900

7/118 - E

FIRST FLOOR

SWAROOP NAGAR

KANPUR - 208002



Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

SEBI Circular no. MRD/Dep/Cir-20/06 dated December 11, 2006 regarding the submission / dissemination of DP tariff / charges structure to CDSL latest by April 30 every year. DPs may refer to CDSL's communiqué nos. CDSL/OPS/DP/805 and CDSL/OP/DP/851 regarding the procedure for initial set up and modification of details submitted to CDSL.

2. However, we wish to bring to your notice that we have not received tariff structure report for March 2021 from you and therefore monetary penalty as provided in the operating instructions has been imposed upon you on May 14, 2021 as under: -

| Nature of non-compliance | Quantum of Penalty |
|---------------------------------|--------------------|
| ₹250/-per occasion (March 2021) | ₹250/- |
| Total | ₹250/- |

3. Please note that the aforesaid penalty has been included in the monthly bill of May 2021. You are requested to make payment of aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to Member Committee.

Thanking you.

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit, Inspection & Compliance

Cc: Managing Director

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