



# Central Depository Services (India) Limited

CDSL/A&I/PEN-TARIFF/UMC/2021-22

May 30, 2022

A C AGARWAL SHARE BROKERS PRIVATE LIMITED  
71300  
406, PAYAL COMPLEX  
SAYAJIGUNJ  
0  
BARODA 390005



**Kind Attention: Compliance Officer**

Dear Sir/Madam,

**Sub: Imposition of penalty**

SEBI Circular no. MRD/Dep/Cir-20/06 dated December 11, 2006 regarding the submission / dissemination of DP tariff / charges structure to CDSL latest by April 30 every year. DPs may refer to CDSL's communiqué nos. CDSL/OPS/DP/805 and CDSL/OP/DP/851 regarding the procedure for initial set up and modification of details submitted to CDSL.

2. However, we wish to bring to your notice that we have not received tariff structure report for March 2022 from you within stipulated time and therefore monetary penalty of Rs. 250/- as provided in the operating instructions has been imposed upon you.

3. Please note that the aforesaid penalty has been included in the monthly bill of May 2022. You are requested to make payment of aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to Member Committee.

Thanking you.

Yours faithfully,

**For Central Depository Services (India) Limited**

Sd/-

**Authorized Signatory  
Audit, Inspection & Compliance**