



Central Depository Services (India) Limited



CDSL/A&I/PEN-IAR/MRM/2024-25

June 06, 2024

A C AGARWAL SHARE BROKERS PRIVATE LIMITED

DP ID: 71300

406, PAYAL COMPLEX,

SAYAJIGUNJ

BARODA-390005

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.9,000/- has been imposed on you. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty Rs.
Internal Audit - September -2023 (Rs.2000 per occasion plus additional Rs.1000 for any delay per fortnight till the submission of revised report)	375	IAR Compliance not submitted	9,000
Total			9,000

Please note that the aforesaid penalty has been included in the monthly bill of May-2024.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you,

Yours faithfully,

For Central Depository Services (India) Limited

Sd/-

Authorized Signatory

Audit Inspection & Compliance