



Central Depository Services (India) Limited

CDSL/A&I/PEN-IAR/MRM/2024-25

March 03, 2025



A C AGARWAL SHARE BROKERS PRIVATE LIMITED

DP ID – 71300

406, PAYAL COMPLEX

SAYAJIGUNJ

BARODA-390005

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please note that the penalty has been imposed on you in accordance with the penalty outlined in Annexure 11.1 of the CDSL DP Operating Instructions.

As we did not receive the following reports within the prescribed timeline, monetary penalty as provided in the operating instructions has been imposed as under

Nature of Non-compliance	Quantum of Penalty (Rs.)
Compliance not submitted of DP Internal Audit Report - September-2024 [Rs.2,000/- per occasion plus additional Rs.1,000/- for any delay per fortnight till the submission of revised report]	3,000
Total	3,000

The aforesaid penalty has been included in the monetary penalty bill for **February-2025**. Please note that in case of continued non-compliance or nonpayment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed.

You are kindly requested to submit compliance and make payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that in case of continued non-compliance or if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee.

Thanking you.,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit Inspection & Compliance