• Central Depository Services (India) Limited

CDSL/A&I/MC-PENALTY/SGM/2024-25

June 7, 2024

A C AGARWAL SHARE BROKERS PRIVATE LIMITED DPID – 71300 406, PAYAL COMPLEX SAYAJIGUNJ BARODA – 390005

EMPOWERING INVESTORS A SEBI Initiative

Kind Attention: Compliance officer

Dear Sir / Madam,

Sub: Delayed in payment of Penalty

Please refer to our letter no CDSL/PEN-INSP/MRM/2023-24 dated December 20, 2023 whereby you were informed that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

The due date for payment was 25th January 2024, however the payment was received on 3rd June 2024.

2. Since, you have made payment of penalty amount of Rs.5000/- (Bill date: 31/12/2023) after 30 days from the due date of the bill, an additional penalty levied of 15% on the penalty amount. Please note that the aforesaid penalty imposed will be included in the monthly bill of May 2024.

Yours faithfully,

For Central Depository Services (India) Limited.

Sd/-Sameer Masurkar Audit, Inspection & Compliance

Cc: Managing Director A C AGARWAL SHARE BROKERS PRIVATE LIMITED DPID – 71300 406, PAYAL COMPLEX SAYAJIGUNJ BARODA – 390005

Regd. Office: Unit No. A – 2501, A Wing, Marathon Futurex, 25th Floor, Mafatlal Mill Compounds, N. M. Joshi Marg, Lower Parel (East), Mumbai – 400 013.